

Last time: We reduced

Engel's thm: $L \subset \mathfrak{gl}(V)$, x nilp $\forall x \in L \Rightarrow \exists$ full flag $0 = V_0 \subset V_1 \subset \dots \subset V_n = V$
s.t. $xV_i \subset V_{i-1} \quad \forall i$

to \downarrow (equiv, a Lie alg is nilp iff all its elts are ad-nilp.)

Lemma 1. $\exists 0 \neq v \in V$ s.t. $xv = 0$ under the same assumption.

Lie's thm: $L \subset \mathfrak{gl}(V) / k = \bar{k}$, char $k = 0$, L solvable

$\Rightarrow \exists$ full flag $0 = V_0 \subset V_1 \subset \dots \subset V_n = V$ s.t. $xV_i \in V_i \quad \forall x \in L$

to \downarrow

Lemma 2. $\exists 0 \neq v \in V$ s.t. $xv \in kv = \langle v \rangle \quad \forall x \in L$ under the same assumption.

Today. Proofs of the lemmas.

Proof of Lemma 1.

Preparation: observe that

(1) Given a nilp map $Z \in \mathfrak{gl}(V)$, $V \neq 0$,

$\exists 0 \neq v \in V$ s.t. $Zv = 0$: take any $0 \neq u \in V$, say $Z^N = 0$,

then $Z^N \cdot u = 0 \cdot u = 0$. Now take maximal k w/ $Z^k u \neq 0$.

and take $V = Z^k u$.

(2) Given a Lie algebra $L \subseteq \mathfrak{gl}(V)$ and $x \in L$,

if x is nilp, then x is ad-nilp.

Pf: Consider the maps $\lambda_x, \rho_x: \mathfrak{gl}(V) \rightarrow \mathfrak{gl}(V)$ w/ $\lambda_x(y) = xy$, $\rho_x(y) = yx$.

Then $\text{ad}_x(y) = xy - yx = \lambda_x(y) - \rho_x(y) = (\lambda_x - \rho_x)(y)$

and $\lambda_x \rho_x = \rho_x \lambda_x$.

Say $x^N = 0$ for some N , then

$$(\text{ad } x)^{2N+1} = (\lambda_x - \rho_x)^{2N+1} = \sum_{\substack{0 \leq i, j \leq 2N+1 \\ i+j=2N+1}} \overset{\text{scalar}}{C_{i,j}} \underbrace{\lambda_x^i}_{\substack{\text{max}(i,j) > N}} \underbrace{\rho_x^j} = 0 \quad \square$$

(3) Given a Lie algebra $L \in \mathfrak{gl}(V)$ and an ideal $A \subseteq L$,

the subspace $V_{A,0} := \{v \in V, av=0 \quad \forall a \in A\}$

is L -invariant.

Pf: Take $v \in V_{A,0}$, $y \in L$, then

$$a(yv) = (ya + \underbrace{[a,y]}_A)v = y \underbrace{av}_0 + \underbrace{[a,y]}_A v = 0 + 0 = 0 \quad \forall a \in A. \quad \square$$

Pf of Lemma 1. ([EW] P.46) Induct on $\dim L$.

Base case: $\dim L = 1$. Then $L = \langle z \rangle$, z nilp by assumption.

Done by observation (1).

Inductive Step: Strategy: We'll find a codimension - 1 ideal $A \in L$

so that $L = A \oplus \langle y \rangle$ for some $y \in L \setminus A$.

Then by induction, $V_{A,0} = \{v \in V, av = 0 \forall a \in A\}$
is nontrivial. By Ob. (3), $V_{A,0}$ is invariant under L
and hence invariant under y in particular. $V_{A,0} \subseteq y \cdot V$.

Ob (1) implies that $\exists 0 \neq v \in V_{A,0} \subseteq y \cdot V$ s.t. $yv = 0$.

It follows that $xv = 0 \forall x \in L$.

Here's how we find the desired A :

• Take a maximal subalgebra $A \in L$. Note \exists a Lie alg rep

$$\varphi: A \longrightarrow \mathfrak{gl}(L/A)$$

$$a \longmapsto (\varphi(a): L/A \rightarrow L/A, x+A \mapsto [a,x]+A \quad \forall x \in L)$$

To be checked: ① $\varphi(a)$ is well-defined and linear $\forall a \in A$.

② φ is linear

③ φ respects brackets: $\forall a, b \in A \quad [\varphi(a), \varphi(b)] = \varphi([a, b])$

• Consider the algebra

$$\varphi(A) \subseteq \mathfrak{gl}(L/A).$$

Ex: This follows from def. and the Jacobi identity.

Now, $\dim \varphi(A) \leq \dim A < \dim L$ and $\varphi(A) = \{ \varphi(a) : a \in A \}$ consists

$\varphi(a) \in \mathfrak{gl}(L/A)$ entirely of nilp maps (a is nilp $\xrightarrow{\text{ob (2)}}$ ad a nilp $\Rightarrow \varphi(a)$ nilp $\forall a \in A$)

So by induction. \exists a coset $0 \neq y + A \in L/A$ s.t. $\varphi(a) \cdot (y + A) = 0 \quad \forall a \in A$.

i.e., $[a, y] \in A \quad \forall a \in A$. Take $\tilde{A} = A \oplus \langle y \rangle$.

Then \tilde{A} is a subalgebra of L since $[a, y] \in A \quad \forall a \in A$.

By maximality of A , $\tilde{A} = L$. But then it follows that

A is an ideal in L . This gives us the desired $(n-1)$ ideal. \square

Pf of Lemma 2. (Sketch)

The proof is similar to that of Lemma 1. We'll

• Induct on $\dim L$. Base case: $\dim L = 1$, say $L = \langle z \rangle$, $z \neq 0$.

$\exists 0 \neq v \in V$ s.t. $zv \in kV$ by JNF considerations.

Jordan normal form

• To use induction, we'll find an ideal $A \in L$ of codimension 1

(Easy: L solvable $\Rightarrow \underline{L/[L,L]} \neq 0 \Rightarrow$ can lift a codim-1 subalg/ideal in $\underline{L/[L,L]}$ to a codim 1 ideal $A \in L$)
abelian, by the Correspondence thm
any subalg \Rightarrow an ideal

Now, by induction, $\exists 0 \neq v \in V$ s.t. $av \in kV$, say $av = \lambda(a)v \quad \forall a \in A$.

Think of λ as a function $\lambda: A \rightarrow k \quad a \mapsto \lambda(a)$.

Then $V_{A,\lambda} := \left\{ v \in V : av = \lambda(a)v \quad \forall a \in A \right\}$

\Rightarrow non trivial. \rightarrow counterpart for $V_{A,0}$

Hard: $V_{A,\lambda}$ is invariant under L . (Invariance Lemma, EW. Lemma 5.5)

(uses $\text{char}(K) = 0$)

The rest is the same as before:

A has codim 1 $\Rightarrow L = A \oplus \langle y \rangle$.

$V_{A,\lambda}$ is inv under $L \Rightarrow V_{A,\lambda} \ni y$.

Find e -vector $v \in V_{A,\lambda}$ of y . then $xv \in \langle v \rangle \quad \forall x \in L$. \square
(JNF)