

## Math 2130. Lecture 9.

02.05.2021

- Last time :
- geometry of vectors in  $\mathbb{R}^2$  and  $\mathbb{R}^3$
  - soln sets of homogeneous and non-homogeneous systems.

$$C\vec{x} = \vec{0}$$

$$C\vec{x} = \vec{b} \quad ( \vec{b} \neq \vec{0} )$$

Done with Sections 1.1 - 1.7. (We'll skip 1.6.)

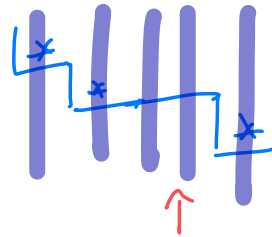
- Today.
- Review / Loose ends : numerical restrictions on spanning / linearly ind. sets on  $\mathbb{R}^n$ .
  - Introduction to linear transformations.

# 1. Size of spanning / lin. ind. sets.

key: # pivots  $\leq \min(\# \text{ cols of } C, \# \text{ rows of } C)$ .

Let  $S = \{\vec{v}_1, \dots, \vec{v}_k\} \in \mathbb{R}^n$ . Let  $C = [\vec{v}_1 \mid \dots \mid \vec{v}_k]$ .

Recall that  $S$  spans  $\mathbb{R}^n$  iff  $EF(C)$  has no zero row, iff every row in  $C$  is pivot.



It follows that if  $S$  spans  $\mathbb{R}^n$ , then

$$n = \# \text{ rows in } C = \# \text{ pivot row} = \# \text{ pivots in } EF(C) \leq \# \text{ cols in } C = k$$

i.e., a spanning set in  $\mathbb{R}^n$  must have at least  $n$  vectors.

e.g. for a set  $S$  to span  $\mathbb{R}^2$ ,  $S$  needs to have at least two vectors.  
(Span of one vector is at most a line, not a plane.)

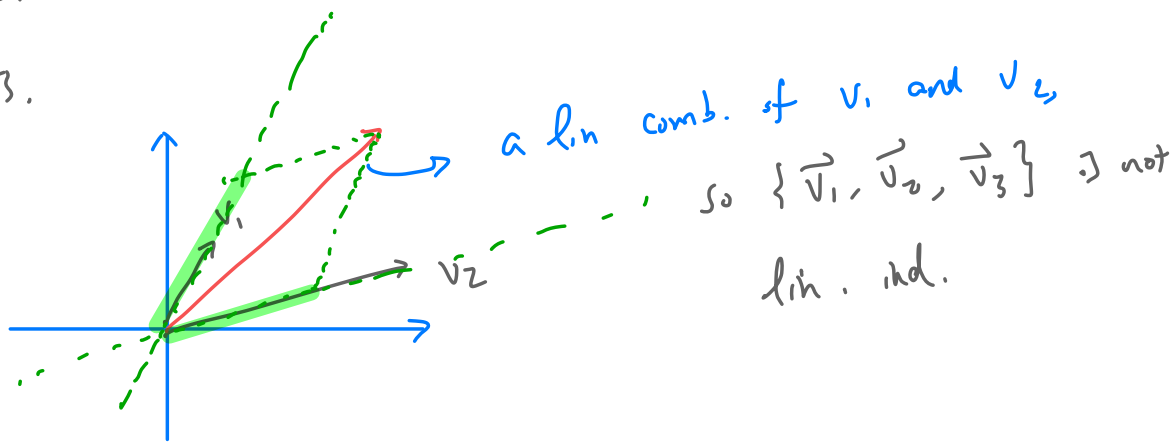
Similarly, recall that  $S$  is lin. ind. iff every col in  $C$  is pivot.

It follows that if  $S \in \mathbb{R}^n \Rightarrow$  lin. ind., then

$$k = \# \text{ cols in } C = \# \text{ pivot cols} = \# \text{ pivots in } C \leq \# \text{ rows in } C = n,$$

ie, a lin. ind. set in  $\mathbb{R}^n$  can have at most  $n$  elts.

e.g. for a set  $S$  to be lin. ind. in  $\mathbb{R}^2$ ,  $S$  can have at most two vectors.



Note: The converses of the two facts don't hold.

True: if  $|S| < n$ , then  $S$  doesn't span  $\mathbb{R}^n$ .

Converse: If  $|S| \geq n$ , then  $S$  spans  $\mathbb{R}^n$ .  $\rightarrow$  not true.

e.g.  $S = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 2 \\ 2 \end{bmatrix} \right\} \subseteq \mathbb{R}^2$

True: If  $|S| > n$ , then  $S$  is not lin ind in  $\mathbb{R}^n$ .

Converse: if  $|S| \leq n$ , then  $S$  is lin ind in  $\mathbb{R}^n$ .  $\rightarrow$  not true

e.g.  $S = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix} \right\} \subseteq \mathbb{R}^2$ .



Examples. Determine if  $S$  spans  $\mathbb{R}^n$  and if  $S$  is lin. ind.

(1)  $S = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \begin{bmatrix} 1 \\ 3 \end{bmatrix} \right\} \in \mathbb{R}^2$ .

Soln:  $|S| = 3 > 2$ , so  $S$  is not lin. ind.

Spanning:  $\begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 3 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \end{bmatrix}$

There's no zero row in the E.F.  
so  $S$  spans  $\mathbb{R}^2$ .

(2)  $T = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix} \right\} \in \mathbb{R}^3$  E.F.

Soln:  $|T| = 2 < 3$ , so  $T$  does not span  $\mathbb{R}^3$ ;  $T$  contains  $\vec{0}$ , so  $T$  is not lin. ind.

(3).  $U = \left\{ \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}, \begin{bmatrix} 2 \\ 4 \\ 6 \end{bmatrix}, \begin{bmatrix} 1 \\ 3 \\ 2 \end{bmatrix} \right\} \subseteq \mathbb{R}^3$ .

Soln: HW.

## 2. Linear transformation.

Def: A map  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is called a linear transformation if it respects vector addition and scalar multiplication, i.e., if

*↳ "linearity"*

$$\left\{ \begin{array}{l} T(\vec{v} + \vec{w}) = T(\vec{v}) + T(\vec{w}) \quad \forall \vec{v}, \vec{w} \in \mathbb{R}^n \\ \text{and } T(c \cdot \vec{v}) = c \cdot T(\vec{v}) \quad \forall c \in \mathbb{R}, \vec{v} \in \mathbb{R}^n. \end{array} \right.$$

Note: The above def. can be generalized to so-called maps between

"vector spaces", which are certain sets where addition and scalar multiplication

(make sense and behave well. e.g.  $P_3 = \{ \text{polynomials in } \mathbb{R}[t] \text{ of degree } \leq 3 \}$   
the elts are called "vectors" *↳ formal def*  $P_2 = \{ \dots \leq 2 \}$   
 $T: P_3 \rightarrow P_2 \quad f(t) \mapsto f'(t)$  e.g.  $t^2 \mapsto 2t$  is linear!

Note: The map  $T: P_3 \rightarrow P_2$  given by formal diff is linear because diff respects add. and scalar mult. by calculus.

$$(f+g)' = f' + g', \quad (c \cdot f)' = c \cdot f'.$$

Using linearity. Let  $S = \{\vec{v}_1, \dots, \vec{v}_k\} \subseteq \mathbb{R}^n$  be a spanning set of  $\mathbb{R}^n$ . Suppose we have a lin. transf.  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  and suppose we know  $T(\vec{v}_1), T(\vec{v}_2), \dots, T(\vec{v}_k)$ . Then in theory we know  $T(\vec{v})$  for all  $\vec{v} \in \mathbb{R}^n$ .

Reason:  $S$  spans  $\mathbb{R}^n$ , so  $\vec{v} = c_1 \vec{v}_1 + \dots + c_k \vec{v}_k$  for some  $c_1, \dots, c_k$ .  
Linearity of  $T$  then forces  $T(\vec{v}) = T(c_1 \vec{v}_1 + \dots + c_k \vec{v}_k)$   
 $= T(c_1 \vec{v}_1) + T(c_2 \vec{v}_2) + \dots + T(c_k \vec{v}_k)$   
 $= c_1 T(\vec{v}_1) + c_2 T(\vec{v}_2) + \dots + c_k T(\vec{v}_k)$

## Examples

(1) Say we have a lin. map  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  with

$$\underbrace{T\left(\begin{bmatrix} 1 \\ 2 \end{bmatrix}\right)}_{\text{known}} = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix}, \quad \underbrace{T\left(\begin{bmatrix} 2 \\ 3 \end{bmatrix}\right)}_{\text{known}} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}.$$

Then

$$T\left(\begin{bmatrix} 3 \\ 5 \end{bmatrix}\right) = T(\vec{v}_1 + \vec{v}_2) = T(\vec{v}_1) + T(\vec{v}_2) = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \\ 4 \end{bmatrix}$$

$$T\left(\begin{bmatrix} 5 \\ 8 \end{bmatrix}\right) = T(\vec{v}_1 + 2\vec{v}_2) = T(\vec{v}_1) + 2T(\vec{v}_2) = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix} + 2 \cdot \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \\ 5 \end{bmatrix}$$

$$\begin{aligned} T\left(\begin{bmatrix} -1 \\ -1 \end{bmatrix}\right) &= T(\vec{v}_1 - \vec{v}_2) \\ &= T(\vec{v}_1 + (-1)\vec{v}_2) = T(\vec{v}_1) + (-1)T(\vec{v}_2) \\ &= T(\vec{v}_1) - T(\vec{v}_2) = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix} - \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \\ 2 \end{bmatrix}. \end{aligned}$$

i). Say we have a lin map  $u: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with

$$u\left(\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}, \quad u\left(\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \quad u\left(\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} -1 \\ -1 \\ -1 \end{bmatrix}.$$

Then for an arbitrary elt  $\vec{v} = \begin{bmatrix} a \\ b \\ c \end{bmatrix} \in \mathbb{R}^3$ , since

$$\vec{v} = a \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + c \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix},$$

We have

$$u(\vec{v}) = u\left(a \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + c \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}\right) = a u\left(\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}\right) + b u\left(\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}\right) + c u\left(\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}\right)$$

$$= a \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + c \begin{bmatrix} -1 \\ -1 \\ -1 \end{bmatrix} = \begin{bmatrix} a-c \\ 2a+b-c \\ 3a-c \end{bmatrix}.$$

More on linear  
maps next time.  
□