

Friday, 9/19-①

## More on completeness.

Recall:  $\mathbb{R}$  is the unique <sup>\*</sup> complete, ordered field.

Completeness means: if  $\emptyset \neq S \subseteq \mathbb{R}$  is bounded above (respectively, below) then  $\sup S$  (respectively,  $\inf S$ ) exists.

<sup>\*</sup> (proof omitted)

As a consequence,  $\mathbb{N}$  is unbounded in  $\mathbb{R}$ . This is the Archimedean property of  $\mathbb{R}$ , Thm. 3.3.9.

Example of an ordered field without the Archimedean property:

Let  $\mathbb{F} = \{ \text{rational functions over } \mathbb{R} \}$   
 $= \{ p(x)/q(x) : p(x), q(x) \text{ are polynomials with real coefficients and no common factors except } \pm 1, \text{ and } q(x) \neq 0 \}$ .

Define  $+$ ,  $-$ ,  $\times$ ,  $\div$  on  $\mathbb{F}$  as usual, and define  $>$  on  $\mathbb{F}$  by

$$\frac{p(x)}{q(x)} > \frac{r(x)}{s(x)} \quad \text{if} \quad \frac{p(x)}{q(x)} - \frac{r(x)}{s(x)}$$

is  $> 0$  for  $x$  large enough.

Then  $\mathbb{F}$  is an ordered field, containing  $\mathbb{N}$  (think of  $n \in \mathbb{N}$  as  $n/1 \in \mathbb{F}$ ).

FACT:  $\mathbb{F}$  does not satisfy the Archimedean property:

The polynomial  $\frac{x}{1}$  is  $> \frac{n}{1} \quad \forall n \in \mathbb{N}!!$

Other consequences of the Archimedean property:

Theorem 3.3.10. TFAE (the following are equivalent) to Thm. 3.3.9:

- (a)  $\forall z \in \mathbb{R}, \exists n \in \mathbb{N}: n > z.$   
 (b)  $\forall x > 0$  and  $\forall y \in \mathbb{R}, \exists n \in \mathbb{N}: nx > y.$   
 (c)  $\forall x > 0, \exists n \in \mathbb{N}: 0 < \frac{1}{n} < x.$

Proof We'll show only Thm. 3.3.9  $\Rightarrow$  (a) and (b)  $\Rightarrow$  (c). For the rest, DIY (do it yourself).

(i) By contrapositive: assume  $\exists z_0 \in \mathbb{R}: \forall n \in \mathbb{N}, n \leq z_0.$  Then  $z_0$  is an upper bound for  $\mathbb{N}.$  So Thm. 3.3.9 is false.

Therefore, Thm. 3.3.9  $\Rightarrow$  (a).

(ii) Assume (b) is true. Let  $y = 1.$  Then given  $x > 0, \exists n \in \mathbb{N}: nx > 1.$  Also  $1 > 0$  (Exercise 3(f), sec. 3.2.) So  $nx > 1 > 0.$  Multiply by  $\frac{1}{n}:$

$$x > \frac{1}{n} > 0. \quad (\text{by Axiom 04, p. 114}).$$

So (b)  $\Rightarrow$  (c).  $\square$

Theorem 3.3.13 (the "density" of  $\mathbb{Q}$  in  $\mathbb{R}$ ).  
 If  $x, y \in \mathbb{R}$  and  $x < y,$  then  $\exists q \in \mathbb{Q}:$

$$x < q < y.$$

Proof. We consider only  $x > 0.$  The other cases are similar.

Assume  $x, y \in \mathbb{R}$  and  $0 < x < y$ . By Thm. 3.3.10(c),  $\exists n \in \mathbb{N}: \frac{1}{n} < y - x$ . Multiply by  $n$  and rearrange to get  $nx + 1 < ny$ .

Now by Thm. 3.3.10(a),  $\exists m \in \mathbb{N}: m > nx$ . Any such  $m$  must be  $> 0$ , since  $n > 0$  and  $x > 0$ .

Let  $m_0$  be the smallest such  $m$ :  $m_0$  exists by the Well-Ordering property (Axiom 3.3.1.) Since  $m_0$  is minimal, we have  $m_0 - 1 \leq nx$ , so  $m_0 \leq nx + 1$ . In sum,

$$nx < m_0 \leq nx + 1 < ny.$$

Divide by  $n$ :

$$x < \frac{m_0}{n} < y.$$

So  $q = \frac{m_0}{n}$  works.

The cases  $x < 0$  and  $x = 0$  are similar.

So  $x, y \in \mathbb{R}$  and  $x < y \Rightarrow \exists q \in \mathbb{Q}: x < q < y$ .  $\square$