

Arithmetical hierarchy and Turing jumps

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Question

What is the connection between

- ▶ the arithmetical hierarchy (classification of sets by definability)
- ▶ and Turing degrees (classification by computability)?

Finite approximations

Analyzing oracle machines requires computable approximations:

If $\varphi_e^A(x) \downarrow$, then only a finite part of A is used in this computation.

- For $A \subseteq \mathbb{N}$ and $s \in \mathbb{N}$, the s -tuple

$$\sigma := (\chi_A(0), \chi_A(1), \dots, \chi_A(s-1)) \in \{0, 1\}^s$$

is an **initial segment** (finite approximation) of χ_A , denoted $\sigma \prec A$.

- For $\sigma \in \{0, 1\}^s$ write

$$|\sigma| = s,$$

$$\sigma = (\sigma(0), \dots, \sigma(s-1)) \text{ and}$$

$$\sharp(\sigma) := \prod_{i < |\sigma|} p_i^{\sigma(i)+1} \text{ (prime power encoding).}$$

Note that $|\sigma|, \sigma(i)$ are computable from $\sharp(\sigma), i$.

- For $\sigma, \tau \in \{0, 1\}^*$ write $\sigma \prec \tau$ and call σ an initial segment of τ if $|\sigma| \leq |\tau|$ and $\sigma(i) = \tau(i)$ for all $i \leq |\sigma|$.

Definition

- ▶ Let $A \subseteq \mathbb{N}$. If M_e^A halts on input x with output y and if u is the maximum element for which the oracle is used (queried for $u \in A$) during the computation, write

$$\varphi_e^A(x) := y \quad \text{use}_e^A(x) := u.$$

use_e^A is called the **use function** corresponding to $\varphi_e^A(x)$.

- ▶ $\varphi_e^\sigma(x) := y$ if $\varphi_e^A(x) = y$, $\sigma \in \{0, 1\}^*$ with $\sigma \prec A$ and $\text{use}_e^A(x) < |\sigma|$ (i.e., only σ is queried).
- ▶ $\varphi_{e,s}^A(x) := y$ if $\varphi_e^A(x) = y$ is computed by M_e^A in $< s$ steps and $e, x, y, \text{use}_e^A(x) < s$.
- ▶ $\varphi_{e,s}^\sigma(x) := y$ if $\varphi_{e,s}^A(x) = y$, $\sigma \in \{0, 1\}^*$ with $\sigma \prec A$ and $\text{use}_e^A(x) < |\sigma|$.
- ▶ $W_{e,s}^\sigma := \text{domain } \varphi_{e,s}^\sigma$, etc.

Computable approximations

Lemma

1. $\varphi_e^A(x) = y$ iff $\exists s \exists \sigma \prec A : \varphi_{e,s}^\sigma(x) = y$
2. If $\varphi_{e,s}^\sigma(x) = y$, then $\forall t \geq s \forall \tau \text{succ} \sigma : \varphi_{e,t}^\tau(x) = y$.
3. $W_{e,s}^\sigma$ (i.e. $\{(e, \#(\sigma), x, s) : \varphi_{e,s}^\sigma(x) \downarrow\}$) is computable.

Proof.

1. Any computation that halts, does so after finitely many steps, using a finite part of the oracle.
2. If a computation halts after s steps with access to σ , its output will not change when given more time and a larger part of the oracle.
3. Run M_e on x with queries to σ until it halts or s steps are completed.



Post's Theorem relating Σ_n and $\emptyset^{(n)}$

Recall

- ▶ $B \subseteq \mathbb{N}$ is Σ_n if there is some computable $R \subseteq \mathbb{N}^{n+1}$ such that $B = \{x : \exists y_1 \forall y_2 \dots \exists/\forall y_n (x, y_1, \dots, y_n) \in R\}$.
- ▶ $A' := \{x : \varphi_x^A(x) \downarrow\}$.

Post's Theorem

Let $n \in \mathbb{N}$, $B \subseteq \mathbb{N}$.

1. B is Σ_{n+1} iff B is c.e. in some Π_n -set iff B is c.e. in some Σ_n -set.
2. $\emptyset^{(n)}$ is Σ_n -complete for $n > 0$.
3. B is Σ_{n+1} iff B is $\emptyset^{(n)}$ -c.e.
4. B is Δ_{n+1} iff $B \leq_T \emptyset^{(n)}$.

Σ_{n+1} = c.e. in $\emptyset^{(n)}$
 Δ_{n+1} = computable in $\emptyset^{(n)}$
 Σ_n = many-one reducible to $\emptyset^{(n)}$

Note: Properties of Σ_1 relativize to $\Sigma_{n+1} = \Sigma_1^{\emptyset^{(n)}}$ by 3.

Proof 1.

\Rightarrow : Let $B \in \Sigma_{n+1}$. Then we have $R \in \Pi_n$ such that

$$x \in B \text{ iff } \exists y \ R(x, y).$$

Then $B \in \Sigma_1^R$, hence R -c.e.

\Leftarrow : Assume B is A -c.e. for some $A \in \Pi_n$. Then for some e

$$\begin{aligned} x \in B \text{ iff } x \in W_e^A \\ \text{iff } \exists s \exists \sigma \in \{0, 1\}^* : \sigma \prec A \wedge x \in \underbrace{W_{e,s}^\sigma}_{\text{computable}} \end{aligned}$$

Claim: $\sigma \prec A$ is Σ_{n+1}

$$\begin{aligned} \sigma \prec A \text{ iff } \forall y \leq |\sigma| : \sigma(y) = \chi_A(y) \\ \text{iff } \forall y \leq |\sigma| : \underbrace{(\sigma(y) = 1, y \in A)}_{\Pi_n} \vee \underbrace{(\sigma(y) = 0, y \notin A)}_{\Sigma_n} \end{aligned}$$

$\underbrace{\hspace{15em}}_{\Sigma_{n+1}}$

Since Σ_{n+1} is closed under bounded \forall , the claim follows.

Then $B \in \Sigma_{n+1}$.

Note: A -c.e. = \bar{A} -c.e. yields the second equivalence in 1.

Proof

2. Show $\emptyset^{(n)}$ is Σ_n -complete for $n > 0$ by induction.

Base case: For $n = 1$, $\emptyset' = K$ is Σ_1 -complete.

Induction step: Let $B \subseteq \mathbb{N}$. Then

- $B \in \Sigma_{n+1}$ iff B is c.e. in some Σ_n set by 1.
- iff B is c.e. in $\emptyset^{(n)}$ by induction assumption
- iff $B \leq_m \emptyset^{(n+1)}$ by the Jump Theorem 2.

Hence $\emptyset^{(n+1)}$ is Σ_{n+1} -complete.

3. follows from 1. and 2.

4. $B \in \Delta_{n+1}$ iff $B, \bar{B} \in \Sigma_{n+1}$
iff B, \bar{B} are $\emptyset^{(n)}$ -c.e. by 3.
iff B is $\emptyset^{(n)}$ -computable by Complementation Thm.

