

Oracles and relativization

Peter Mayr

Computability Theory, October 23, 2023

Idea: Measure the hardness of a problem P by considering the problems that can be solved using P as oracle.

An **oracle** is a black box that solves

- ▶ decision problems: Is $x \in A$?

or

- ▶ function problems: What is $f(x)$ for a total function f ?

Oracle machines

We use the definition from

- ▶ Van Melkebeek, Randomness and Completeness in Computational Complexity, 2000.

Definition

An **oracle TM** M^O (for $O = A, f$) is a DTM with additional oracle tape and two special states query, response.

Computation is as usual except in query:

- ▶ Then the content of the oracle tape is considered as input x for the oracle O : Is $x \in A$? What is $f(x)$?
- ▶ x is replaced by the answer: $0/1, f(x)$
- ▶ M^O changes to response.

Hence an instance of O is solved in a single step of M^O .

Alternative definition for oracle machines

- Soare, Turing computability: theory and applications, 2016.

Alternative Definition

For $A \subseteq \mathbb{N}$ an **oracle TM** M^A is a DTM with additional oracle tape that is read-only and contains the characteristic function χ_A as sequence over $\{0, 1\}$.

Note

- Here M^A can look up whether $x \in A$ on the oracle tape in $\sim x$ steps.
- Recall: (The graph of) a function $f: \mathbb{N} \rightarrow \mathbb{N}$ encodes as a subset of \mathbb{N} ,

$$A := \{2^x 3^{f(x)} : x \in \mathbb{N}\}.$$

Conversely subsets encode as characteristic functions. Hence M^f and M^A have the **same computational power**.

- The difference in the two definitions is relevant only for analysing their **different computational complexity**.

Convention

We will only consider oracles $A \subseteq \mathbb{N}$ in the following.

(No restriction and makes notation easier and concrete)

Note

- ▶ Every oracle TM M^A can be coded as an ordinary DTM (independent of A) by some $e \in \mathbb{N}$.
- ▶ If the oracle TM M_e^A on input x halts with output y , write

$$\varphi_e^A(x) = y.$$

$\varphi_e^{(k),A}: \mathbb{N}^k \rightarrow_p \mathbb{N}$ is the partial function computed by M_e^A .

Computations with oracles

Definition

Fix $A \subseteq \mathbb{N}$.

1. $f: \mathbb{N}^k \rightarrow_p \mathbb{N}$ is **computable in A** if there exists e such that

$$f = \varphi_e^A$$

$P \subseteq \mathbb{N}^k$ is **computable in A** if its characteristic function is.

2. $g: \mathbb{N}^k \rightarrow_p \mathbb{N}$ is **recursive in A** if g is obtained by composition, primitive recursion and search μ from 0, successor, projections and the characteristic function χ_A of A .
 $P \subseteq \mathbb{N}^k$ is **recursive in A** if its characteristic function is.

Theorem

A function f is computable in A iff f is recursive in A .

Proof.

Relativization of the proof that computable = recursive. □

Example

- ▶ If A is computable, then computable in A is just computable.
- ▶ Every c.e. set is computable in K .

If $f: A \rightarrow K$ is a many-one reduction, then $\chi_A = \chi_K \circ f$.

Basic results relativized to A

Our current theory for computable functions can be relativized to functions that are computable in A .

Relativized Enumeration Theorem

There exists $z \in \mathbb{N}$ such that for all $A \subseteq \mathbb{N}$ and all $x, y \in \mathbb{N}$

$$\varphi_x^A(y) = \varphi_z^A(x, y).$$

Relativized S_n^m -Theorem

For every $m, n \geq 1$ there exists an injective **computable function** s_n^m such that for all $A \subseteq \mathbb{N}$ and all $x \in \mathbb{N}, \bar{y} \in \mathbb{N}^m, \bar{z} \in \mathbb{N}^n$

$$\varphi_{s_n^m(x, \bar{y})}^A(\bar{z}) = \varphi_x^A(\bar{y}, \bar{z}).$$

Proof sketch

- ▶ $M_{s(x,y)}$ on input z simulates M_x on input (y, z) , which makes $s(x, y)$ computable and independent of A .
- ▶ s can be made injective (e.g. by setting the accept state of $M_{s(x,y)}$ as $2^x 3^y$).

Relativized Recursion Theorem

For all $A \subseteq \mathbb{N}$ and all $x, y \in \mathbb{N}$, if $f(x, y)$ is computable in A , then there is a computable function $n(y)$ such that

$$\varphi_{n(y)}^A = \varphi_{f(n(y), y)}^A.$$

Furthermore $n(y)$ does not depend on A .

Proof sketch

n is obtained from the computable (independent of A) $d(x, y)$ obtained from the S_n^m -Theorem.