

# Reductions, Halting Problem

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## Recall

- ▶  $[M]$  denotes the encoding of the DTM  $M$ .
- ▶ The **self acceptance problem**

$$\text{SAP} := \{[M] : M \text{ is a DTM that accepts } [M]\}$$

is c.e. but its complement  $\overline{\text{SAP}}$  is not c.e. by a diagonalization argument.

- ▶ Hence SAP is not computable.

## Question

The **acceptance problem**

$$\text{AP} := \{([M], x) : M \text{ is a DTM}, x \in \Sigma^*, M \text{ accepts } x\}$$

is c.e. Is it computable?

# Piggy-backing

Using that SAP is not computable, we can show that AP is neither.

## Theorem

AP is not computable.

## Proof.

Seeking a contradiction, suppose  $U$  is a **halting** DTM with  $L(U) = \text{AP}$ . Note

$$[M] \in \text{SAP} \text{ iff } ([M], [M]) \in \text{AP}.$$

Hence SAP is computable by the following DTM  $U'$ :

- ▶ On input  $x$  run  $U$  on  $(x, x)$ .
- ▶ If  $U$  accepts  $(x, x)$ , then  $U'$  accepts.
- ▶ If  $U$  rejects  $(x, x)$ , then  $U'$  rejects (in particular if  $x$  is not TM-code).

Since  $U$  is halting, so is  $U'$ . Contradiction.



# Many-one reductions

## Definition

Let  $A, B \subseteq \Sigma^*$ . A many-one reduction from  $A$  to  $B$  is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$  such that

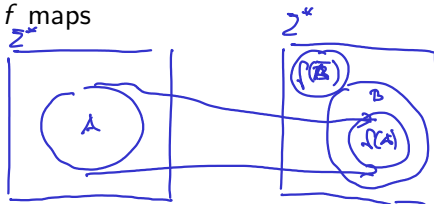
\* not necessarily  
injective

$$\forall x \in \Sigma^*: \underline{x \in A \text{ iff } f(x) \in B.}$$

If a many-one reduction from  $A$  to  $B$  exists,  $A$  is **many-one reducible** to  $B$  (short  $A \leq_m B$ ).

A many-one reduction  $f$  maps

- ▶  $A$  to  $B$  and
- ▶  $\bar{A}$  to  $\bar{B}$ .



## Example

$SAP \leq_m AP$  via  $x \mapsto (x, x)$

# Hard problems don't reduce to easy ones

## Theorem

Assume  $A \leq_m B$ .

If  $B$  is computable, c.e., co-c.e., respectively, then so is  $A$ .

(Often used in its contrapositive form.)

## Proof.

HW



## Note

- ▶  $A \leq_m B$  iff  $\overline{A} \leq_m \overline{B}$ .
- ▶  $\leq_m$  is transitive.
- ▶ Outlook: Polytime-, logspace- ... reductions are many-one reductions computable with restricted resources.

# Halting Problem

The **halting problem** is

$$\text{HP} := \{([M], x) : M \text{ is a DTM}, x \in \Sigma^*, M \text{ halts on } x\}.$$

## Theorem

HP is c.e. but not computable.

## Proof.

Show  $\text{HP} \leq_m \text{AP}$  and  $\text{AP} \leq_m \text{HP}$  (HW).



# Properties of c.e. languages

- ▶ A **property**  $S$  of c.e. languages is a set of c.e. languages.  
Ex. property finite = set of finite languages
- ▶  $S$  is **trivial** if  $S = \emptyset$  (*satisfied by no language*) or  $S =$  set of all c.e. languages.

## Rice's Theorem (1951)

Let  $S$  be a non-trivial property of c.e. languages. Then

$$P_S := \{[M] : M \text{ is a DTM with } L(M) \in S\}$$

is not computable.

*In short: No nontrivial property is computable.*

## Proof.

Wlog

- ▶  $\emptyset \notin S$  (else consider  $\bar{S}$ ).
- ▶ Fix DTM  $N$  such that  $L(N) \in S$  (possible since  $S \neq \emptyset$ ).

**Claim:**  $SAP \leq_m P_S$

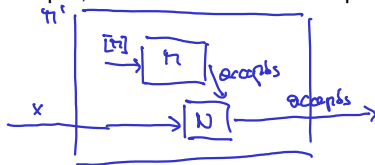
$$\{0,1\}^* \rightarrow \{0,1\}^*$$

- ▶ Need computable  $f: [M] \mapsto [M']$  such that

$$[M] \in L(M) \text{ iff } L(M') \in S \quad (\dagger)$$

(and non-TM codes are mapped to, say, 0).

- ▶  $M'$  does the following on input  $x$ :
  1. Run  $M$  on input  $[M]$ . If  $M$  rejects, then  $M'$  rejects.
  2. Else if  $M$  accepts, run  $N$  on  $x$ . If  $N$  accepts  $x$ , then  $M'$  accepts.





- ▶ Then

$$L(M') = \begin{cases} L(N) & \text{if } [M] \in L(M), \\ \emptyset & \text{else.} \end{cases}$$

*(Handwritten blue annotations:  $eS$  above the first case,  $\Phi_S$  below the second case)*

Hence  $(\dagger)$  holds.

- ▶ Note that  $[M']$  is computable from  $[M]$ ,  $[N]$  and  $[U]$  for a universal DTM  $U$ . □

## Note

The proof of Rice's Theorem for a non-trivial property  $S$  yields:

- ▶ if  $\emptyset \notin S$ , then  $\overline{P_S}$  is not c.e;
- ▶ if  $\emptyset \in S$ , then  $P_S$  is not c.e.

# Nothing can be decided

By Rice's Theorem no non-trivial property of c.e. languages (DTMs) is computable, in particular:

- ▶ Emptiness: Is  $L(M) = \emptyset$ ?
- ▶ Universality: Is  $L(M) = \Sigma^*$ ?
- ▶ Finiteness: Is  $L(M)$  finite?
- ▶ Regularity: Is  $L(M)$  regular?
- ▶ Computability: Is  $L(M)$  computable?
- ▶ Equality: Is  $L(M_1) = L(M_2)$ ? *even for fixed  $M_2$*
- ▶ Inclusion: Is  $L(M_1) \subseteq L(M_2)$ ?

## Question

Which of these (or their complements) are c.e.?