

# Rubik's cube versus Sudoku

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# 1. Rubik's cube

# Rubik's cube

Invented by Ernő Rubik (1974).  
6 colored, revolving faces



Goal: After arbitrary rotations, return the faces to only show one color again.

# Idea: Solving by layers

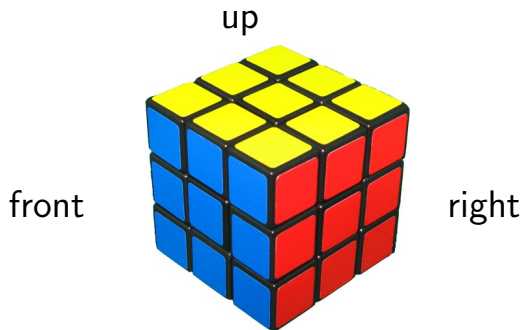
1. Solve top.
2. Solve sides, fixing the top.
3. Solve bottom, fixing the rest.

# What is the math background?

- ▶ A sequence of rotations has to be reversed.
- ▶ Solution requires “computations with rotations”.
- ▶ Abstract Algebra has tools for that (Computational Group Theory).

# Rubik's cube as group

6 faces: front, back, up, down, left, right.



$f$  ... rotation of the front face by  $90^\circ$  around the clock, etc.

Rotations  $f, b, u, d, l, r$  move the 8 corner and 12 edge pieces. The 6 central pieces remain fixed.

Enumerate the moving pieces <sup>a</sup>:

			1	2	3									
			4	up	5									
			6	7	8									
9	10	11	17	18	19	25	26	27	33	34	35			
12	left	13	20	front	21	28	right	29	36	back	37			
14	15	16	22	23	24	30	31	32	38	39	40			
			41	42	43									
			44	down	45									
			46	47	48									

Rotation  $f$  yields permutation of pieces.

$f : 17 \rightarrow 19 \rightarrow 24 \rightarrow 22 \rightarrow 17, 6 \rightarrow 25 \rightarrow \dots$

<sup>a</sup>Schönert, Rubik's cube in GAP (1993)

# What is Computational Group Theory?

Efficient computations with permutations to answer questions like:

1. How many configurations of the cube are there?

43 252 003 274 489 856 000



2. Can you twist a single corner of the cube?

No,  $(6, 17, 11)$  is not generated by  $f, b, u, d, l, r$ .

3. How to write a permutation of the cube as a composition of  $f, b, u, d, l, r$ ?  
(i.e., how to solve a twisted cube?)

# Rubik's cube is in $P^b$



1. Computer Algebra can solve arbitrary  $n \times n \times n$  cubes efficiently.
2. Time is linear in the number of moving pieces.  
( $n = 30$  takes  $\sim 100$  times as long as  $n = 3$ ).

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<sup>b</sup>solvable in Polynomial time by a deterministic Turing machine  
(computer)

# How would God solve the cube?

- ▶ Computed solutions for the  $3 \times 3 \times 3$  cube take 60 – 100 rotations on average.
- ▶ What is the smallest number of rotations to solve any given configuration?

20

(Computer proof on Google's hardware<sup>c</sup>)

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<sup>c</sup>Rokicky, et al. God's number is 20 (2010)

## 2. Sudoku

# Sudoku

$9 \times 9$  grid subdivided into  $3 \times 3$  blocks

Each number from 1 to 9 occurs exactly once in

- ▶ each row,
- ▶ each column,
- ▶ each  $3 \times 3$  block.

**Goal:** Complete the grid starting from a few given numbers.

3								
		1	9	5				
	8					6		
8			6					
4		8						1
			2					
	6				2	8		
		4	1	9				5
						7		

Every puzzle has exactly one completion.

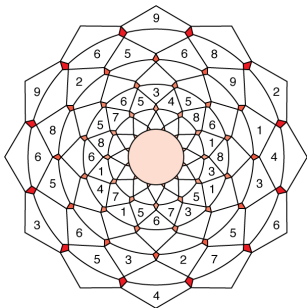
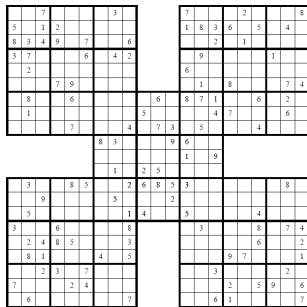
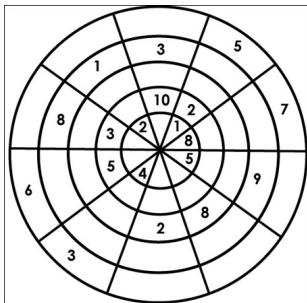
5	3	4	6	7	8	9	1	2
6	7	2	1	9	5	3	4	8
1	9	8	3	4	2	5	6	7
8	5	9	7	6	1	4	2	3
4	2	6	8	5	3	7	9	1
7	1	3	9	2	4	8	5	6
9	6	1	5	3	7	2	8	4
2	8	7	4	1	9	6	3	5
3	4	5	2	8	6	1	7	9



- ▶ Modern version by Howard Garns (Dell Puzzle Magazine, 1979).
- ▶ Popular as Sudoku in Japan since 1980s.
- ▶ International since 2005.



# Fun and games in all variations



# What is the math background?

- ▶ Not about numbers – they can be replaced by 9 arbitrary distinct symbols.
- ▶ Solution does not require calculations but logic.

# Combinatorics

1. How many  $9 \times 9$  Sudokus (full grids) are there?

6 670 903 752 021 072 936 960<sup>d</sup>

2. How many are really distinct (up to permutation of numbers, rows, columns, rotations, reflections)?

5 472 730 538<sup>e</sup>

3. How many distinct puzzles (partial grids) are there?

unknown

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<sup>d</sup>Felgenhauer & Jarvis. Enumerating possible Sudoku grids. 2005.

<sup>e</sup>Jarvis & Russell. 2005.

4. How many numbers need to be given to guarantee a unique completion?

17 or more<sup>f</sup>

				2	7	5	
	1	8		9			
4	9						
	3						8
			7		2		
			3				9
7							
5						8	

# Backtracking

A simple algorithm in 2 steps:

- ▶ **Forward:** Insert the smallest possible number in the next free spot.
- ▶ **Back:** Replace the last choice by the next largest possible number.

Strategy:

1. Forward as long as possible.
2. If you cannot go forward, the last choice was wrong. Go back and then forward again.

# Backtracking for a Sudokid

	1	2	
1			3

# Human approach

1. **Naked singles:** Fix a place. Which number can be inserted?

	1	2	*
1			3

2. **Hidden singles:** Fix a number. In which spot of a row, column, block can it be inserted?

	1	2	
		2	2
1		2	3

### 3. Pairs, triples, X-wing, Swordfish, ... backtracking

Hardness of a puzzle is measured by the complexity of the strategies required for solving.

Backtracking is necessary only for the hardest Sudokus.



# Solutions by computer

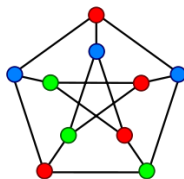
- ▶  $9 \times 9$  Sudokus are easily solved by backtracking.
- ▶ For  $n \times n$  Sudokus in general there is no efficient algorithm known.
- ▶ Time for backtracking is **exponential** in the number of places to fill.
- ▶  $n^2$  places filled with numbers 1 to  $n$ :  
 $n^{n^2}$  options

# Related problems

$n \times n$  Sudokus are **NP-complete problems**<sup>g</sup>.

Hence they are equivalent to

- ▶ Coloring graphs



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<sup>g</sup>in **NP**: solvable by a **N**on-deterministic Turing machine (computer that can guess) in **P**olynomial time

NP-complete: the hardest problems in NP

- ▶ Satisfiability of Boolean formulas (SAT)

$$(x_1 \wedge x_2) \vee (x_2 \wedge \neg x_3)$$

- ▶ Planning schedules
- ▶ Travelling Salesman

Real life applications for

- ▶ data base queries
- ▶ hardware/software verification

# NP-complete problems

For all these problems:

1. Given a solution, its correctness can be checked efficiently (**in NP**).
2. No efficient general algorithm for finding a solutions is known (exponential time).
3. An efficient algorithm for **one problem** (e.g.  $n^2 \times n^2$  Sudoku) would also solve **all others** efficiently (**NP-hard**).

# P versus NP (Cook, 1971):

The most famous open question in computer science:

**Is a problem for which solutions are easily verifiable also easily solvable?**

1 million Dollar for the answer (Millenium Prize of the Clay Mathematical Institute).

# P vs. NP (Cook, 1971):

The most famous open question in computer science (alternative formulation):

*Are Sudokus harder than Rubik's cube?*

1 million Dollar for the answer (Millenium Prize of the Clay Mathematical Institute).

# Research at CU Boulder, Math Dept

At the foundations group (Bulin, Kearnes, Mayr, Steindl, Szendrei, . . . ) we

- ▶ devise algorithms for computing efficiently with algebras other than groups,
- ▶ investigate the border between problems in P and NP-complete problems.