

Solutions to HW 5.

1. Show that $f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}: (m, n) \mapsto 2^m(2n + 1) - 1$ is a bijection.

(f is injective) If $f(m, n) = f(p, q)$, then $2^m(2n + 1) - 1 = 2^p(2q + 1) - 1$, or $2^m(2n + 1) = 2^p(2q + 1)$ (= a nonzero natural number). By the uniqueness of prime factorizations, every nonzero natural number is expressible in a unique way as a product of a power of 2 and an odd number, hence $2^m = 2^p$ and $2n + 1 = 2q + 1$. From $2^m = 2^p$ we derive $m = p$ by unique factorization, and from $2n + 1 = 2q + 1$ we derive $2n = 2q$, and then $n = q$ with some arithmetic. This shows that $f(m, n) = f(p, q)$ implies $(m, n) = (p, q)$.

(f is surjective) If $k \in \mathbb{N}$, then we can solve $2^x(2y + 1) - 1 = k$ for $x, y \in \mathbb{N}$. Simply write this as $2^x(2y + 1) = k + 1$, then choose x so that 2^x is the exact power of 2 that divides $k + 1$ and choose y so that $2y + 1$ is the odd number that remains after dividing $k + 1$ by 2^x . The statement that $2^x(2y + 1) - 1 = k$ is solvable for $x, y \in \mathbb{N}$ is exactly what it means for f to be surjective.

2. Show that if $|X| = |Y|$, then $|\mathcal{P}(X)| = |\mathcal{P}(Y)|$.

I will write the solution in a general form below, but first let me explain the idea of the solution in small example. Let $X = \{0, 1\}$ and $Y = \{a, b\}$. Let $f: X \rightarrow Y$ be the bijection $0 \mapsto a, 1 \mapsto b$. Think of f as “renaming the elements 0, 1 using a, b ”. To prove that $|\mathcal{P}(X)| = |\mathcal{P}(Y)|$ we need to establish that there is a bijection $\hat{f}: \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$. Written out more fully, we need to establish that there is a bijection

$$\hat{f}: \{\emptyset, \{0\}, \{1\}, \{0, 1\}\} \rightarrow \{\emptyset, \{a\}, \{b\}, \{a, b\}\}.$$

The idea is to take \hat{f} to be the function that “renames inside the braces”. That is $\hat{f}(\emptyset) = \emptyset$, $\hat{f}(\{0\}) = \{a\}$, $\hat{f}(\{1\}) = \{b\}$, $\hat{f}(\{0, 1\}) = \{a, b\}$. So, the idea $\hat{f}(\{0, 1\}) = \{f(0), f(1)\}$ may be expressed as “renaming a set with \hat{f} means renaming the elements inside the set with f ”.

Let’s write this down for general X and Y . Since $|X| = |Y|$, there is a bijection $f: X \rightarrow Y$. Let $g: Y \rightarrow X$ be the inverse of f . Define functions $\hat{f}: \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$ by the rule $\hat{f}(S) = \{f(x) \in Y \mid x \in S\}$ and $\hat{g}: \mathcal{P}(Y) \rightarrow \mathcal{P}(X)$ by the rule $\hat{g}(T) = \{g(y) \in X \mid y \in T\}$. It is not hard to see that \hat{g} is the inverse of \hat{f} , as follows:

$$\hat{g} \circ \hat{f}(S) = \hat{g}(\{f(x) \in Y \mid x \in S\}) = \{g \circ f(x) \in X \mid x \in S\} = \{x \in X \mid x \in S\} = S$$

for any $S \in \mathcal{P}(X)$, and a similar argument shows that $\hat{f} \circ \hat{g}(T) = T$ for any $T \in \mathcal{P}(Y)$.

Since $\hat{f}: \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$ is invertible, it is a bijection, hence $|\mathcal{P}(X)| = |\mathcal{P}(Y)|$.

3. Let $\text{Eq}(\mathbb{N})$ be the set of equivalence relations on \mathbb{N} . Show that $|\mathcal{P}(\mathbb{N})| \leq |\text{Eq}(\mathbb{N})| \leq |\mathcal{P}(\mathbb{N} \times \mathbb{N})|$. Use Problem 2 to conclude that $|\text{Eq}(\mathbb{N})| = |\mathcal{P}(\mathbb{N})|$.

Let \mathbb{N}^+ be the set of nonzero natural numbers. According to the “Laws of Successor” (October 2 handout “arithmetic.pdf”), the successor function $S: \mathbb{N} \rightarrow \mathbb{N}^+$ is a bijection, so $|\mathbb{N}| = |\mathbb{N}^+|$. By Problem 2, $|\mathcal{P}(\mathbb{N})| = |\mathcal{P}(\mathbb{N}^+)|$.

Now we define a function $f: \mathcal{P}(\mathbb{N}^+) \rightarrow \text{Eq}(\mathbb{N})$ by defining $f(S)$ to equal the equivalence relation on \mathbb{N} with one equivalence class equal to $S \cup \{0\}$ and all other equivalence classes equal to singletons. That is, for $S \in \mathcal{P}(\mathbb{N}^+)$, define $f(S) = (S \cup \{0\})^2 \cup \{(n, n) \mid n \in \mathbb{N}\}$. The function f is injective, since if $S, T \in \mathcal{P}(\mathbb{N}^+)$ and $f(S) = f(T) = E$, then the E -equivalence class containing 0 is $S \cup \{0\} = T \cup \{0\}$; since both S and T consist of nonzero elements, $S = T$. Altogether this shows that

$$|\mathcal{P}(\mathbb{N})| = |\mathcal{P}(\mathbb{N}^+)| \leq |\text{Eq}(\mathbb{N})|. \quad (1)$$

Next, every equivalence relation on \mathbb{N} is a binary relation on \mathbb{N} , hence is a subset of $\mathbb{N} \times \mathbb{N}$. This means that $\text{Eq}(\mathbb{N}) \subseteq \mathcal{P}(\mathbb{N} \times \mathbb{N})$, hence $|\text{Eq}(\mathbb{N})| \leq |\mathcal{P}(\mathbb{N} \times \mathbb{N})|$. Since $|\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$, we derive from Problem 2 that

$$|\text{Eq}(\mathbb{N})| \leq |\mathcal{P}(\mathbb{N} \times \mathbb{N})| = |\mathcal{P}(\mathbb{N})|. \quad (2)$$

Combining (1) and (2) yields $|\mathcal{P}(\mathbb{N})| \leq |\text{Eq}(\mathbb{N})| \leq |\mathcal{P}(\mathbb{N})|$, so $|\text{Eq}(\mathbb{N})| = |\mathcal{P}(\mathbb{N})|$ by the Cantor-Bernstein-Schröder Theorem.