## Quantifier elimination, Part 2

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We have shown that the theory of infinite sets has q.e. using "brute force". Now we are going to develop other techniques to establish that a theory has q.e.

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**Definition.** If A is an *L*-structure, then the **atomic diagram** of A is the  $L_A$ -theory axiomatized by the  $\pm$ **atomic** sentences that hold in  $A_A$ .

Diagram Lemma.

**Diagram Lemma.**  $\mathbf{B}_A$  is a model of the atomic diagram of  $\mathbf{A}$  iff the mapping  $h : \mathbf{A} \to \mathbf{B} : a^{\mathbf{A}} \to a^{\mathbf{B}}$  is an embedding.

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*Proof.* If  $\mathbf{B}_A$  is a model of the atomic diagram of  $\mathbf{A}$ , then  $\mathbf{B}_A$  satisfies all  $L_A$ -sentences of the form  $\pm(a_i = a_j), \pm(F(a_{i_1}, \ldots, a_{i_n}) = a_j), \pm R(a_{i_1}, \ldots, a_{i_n})$  that are true in  $\mathbf{A}$ .

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Theorem.

**Theorem.** Let T be an L-theory and  $\varphi(\mathbf{x})$  be an L-formula. TFAE. (1)  $T \models (\forall \mathbf{x})(\varphi(\mathbf{x}) \leftrightarrow \alpha(\mathbf{x}))$  for some quantifier free formula  $\alpha(\mathbf{x})$ .

**Theorem.** Let *T* be an *L*-theory and  $\varphi(\mathbf{x})$  be an *L*-formula. TFAE. (1)  $T \models (\forall \mathbf{x})(\varphi(\mathbf{x}) \leftrightarrow \alpha(\mathbf{x}))$  for some quantifier free formula  $\alpha(\mathbf{x})$ . (2) For all  $\mathbf{B}, \mathbf{C} \models T, \mathbf{A} \leq \mathbf{B}, \mathbf{C}$  and  $\mathbf{a} \in A^n, \mathbf{B} \models \varphi[\mathbf{a}]$  iff  $\mathbf{C} \models \varphi[\mathbf{a}]$ .

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Proof.  $[(1) \Rightarrow (2)]$
**Theorem.** Let *T* be an *L*-theory and  $\varphi(\mathbf{x})$  be an *L*-formula. TFAE. (1)  $T \models (\forall \mathbf{x})(\varphi(\mathbf{x}) \leftrightarrow \alpha(\mathbf{x}))$  for some quantifier free formula  $\alpha(\mathbf{x})$ . (2) For all  $\mathbf{B}, \mathbf{C} \models T, \mathbf{A} \leq \mathbf{B}, \mathbf{C}$  and  $\mathbf{a} \in A^n, \mathbf{B} \models \varphi[\mathbf{a}]$  iff  $\mathbf{C} \models \varphi[\mathbf{a}]$ .

Proof.

 $[(1) \Rightarrow (2)] \mathbf{B} \models \varphi[\mathbf{a}] \text{ iff } \mathbf{B} \models \alpha[\mathbf{a}] \text{ iff } \mathbf{A} \models \alpha[\mathbf{a}] \text{ iff } \mathbf{C} \models \alpha[\mathbf{a}] \text{ iff } \mathbf{C} \models \varphi[\mathbf{a}].$ This uses the fact that q.f. formulas are preserved in passing to substructures or extensions.

 $[(2)\Rightarrow(1)]$ 

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$$\Sigma = \{ \alpha(\mathbf{c}) \in L^{q.f.}(\mathbf{c}) \mid T \models \varphi(\mathbf{c}) \to \alpha(\mathbf{c}) \}$$

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be the set of q.f. sentences in  $L_{\mathbf{c}}$  that are consequences of  $T \cup \{\varphi(\mathbf{c})\}$ . (Note that a q.f.  $L_{\mathbf{c}}$ -sentence  $\alpha(\mathbf{c})$  is obtained from a q.f. *L*-formula  $\alpha(\mathbf{x})$  by replacing  $\mathbf{x}$  with  $\mathbf{c}$ .)

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If Claim 1 is false, there is an  $L_{\mathbf{c}}$ -structure  $\mathbf{B}_{\mathbf{c}}$  such that  $\mathbf{B}_{\mathbf{c}} \models T \cup \Sigma \cup \{\neg \varphi(\mathbf{c})\}$ . Let  $\mathbf{A}_{\mathbf{c}} = \langle \mathbf{c} \rangle_{\mathbf{B}_{\mathbf{c}}}$  be the substructure in  $\mathbf{B}_{\mathbf{c}}$ generated by (the elements in)  $\mathbf{c}$ . For the underlying *L*-structures  $\mathbf{A}$  and  $\mathbf{B}$  we have  $\mathbf{B} \models T$ ,  $\mathbf{A} \leq \mathbf{B}$ ,  $\mathbf{c} \in A$  and  $\mathbf{B} \not\models \varphi[\mathbf{c}]$ . To conclude the proof of the claim we intend to obtain a contradiction using condition (2) of the theorem. Claim 1.  $T \cup \Sigma \models \varphi(\mathbf{c})$ .

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If Claim 1 is false, there is an  $L_{\mathbf{c}}$ -structure  $\mathbf{B}_{\mathbf{c}}$  such that  $\mathbf{B}_{\mathbf{c}} \models T \cup \Sigma \cup \{\neg \varphi(\mathbf{c})\}$ . Let  $\mathbf{A}_{\mathbf{c}} = \langle \mathbf{c} \rangle_{\mathbf{B}_{\mathbf{c}}}$  be the substructure in  $\mathbf{B}_{\mathbf{c}}$ generated by (the elements in)  $\mathbf{c}$ . For the underlying *L*-structures  $\mathbf{A}$  and  $\mathbf{B}$  we have  $\mathbf{B} \models T$ ,  $\mathbf{A} \leq \mathbf{B}$ ,  $\mathbf{c} \in A$  and  $\mathbf{B} \not\models \varphi[\mathbf{c}]$ . To conclude the proof of the claim we intend to obtain a contradiction using condition (2) of the theorem. To do this it suffices to exhibit an *L*-structure  $\mathbf{C}$  such that  $\mathbf{C} \models T$ ,  $\mathbf{A} \leq \mathbf{C}$ and  $\mathbf{C} \models \varphi[\mathbf{c}]$ . Claim 1.  $T \cup \Sigma \models \varphi(\mathbf{c})$ .

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If not, then there is a finite conjunction  $\psi(\mathbf{c})$  of members of  $\text{Diag}(\mathbf{A}_{\mathbf{c}})$  such that  $T \cup \{\psi(\mathbf{c}), \varphi(\mathbf{c})\}$  has no model. This fact is expressible as  $T \models \varphi(\mathbf{c}) \rightarrow \neg \psi(\mathbf{c})$ , hence  $\neg \psi(\mathbf{c}) \in \Sigma$ . Hence  $\mathbf{B}_{\mathbf{c}} \models \neg \psi(\mathbf{c})$ , hence  $\mathbf{A}_{\mathbf{c}} \models \neg \psi(\mathbf{c})$  since  $\psi(\mathbf{c})$  is q.f. But  $\psi(\mathbf{c}) \in \text{Diag}(\mathbf{A}_{\mathbf{c}})$ , so this is a contradiction. The Subclaim is proved.

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To complete the proof of the theorem, let  $\alpha(\mathbf{c}) = \wedge \alpha_i(\mathbf{c})$  be a finite conjunction of members of  $\Sigma$  for which  $T \cup \{\alpha(\mathbf{c})\} \models \varphi(\mathbf{c})$ ;

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The Subclaim and condition (2) of the theorem lead to a contradiction in the proof of the Claim. Namely, if  $\Gamma$  has a model,  $\mathbf{C}_{\mathbf{c}}$ , then the  $\mathcal{L}$ -reducts of  $\mathbf{A}_{\mathbf{c}}, \mathbf{B}_{\mathbf{c}}$ , and  $\mathbf{C}_{\mathbf{c}}$  are structures satisfying  $\mathbf{B}, \mathbf{C} \models T, \mathbf{A} \leq \mathbf{B}, \mathbf{C}, \mathbf{a} = \mathbf{c}^{\mathbf{A}}, \mathbf{B} \models \neg \varphi[\mathbf{a}]$  while  $\mathbf{C} \models \varphi[\mathbf{a}]$ . The Claim is proved.

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**Corollary.** An *L*-theory *T* has q.e. if and only if, whenever **A** is a substructure of a model of *T*, the  $L_A$ -theory  $T \cup \text{Diag}(\mathbf{A})$  is complete.
## A 'local' characterization of q.e.

**Corollary.** *T* has q.e. if and only if for all  $\mathbf{B}, \mathbf{C} \models T$ , if  $\mathbf{b} \in \mathbf{B}^n$  and  $\mathbf{c} \in \mathbf{C}^n$ ,  $\operatorname{typ}_{\mathbf{B}}^{q,f.}(\mathbf{b}) = \operatorname{typ}_{\mathbf{C}}^{q,f.}(\mathbf{c})$  implies  $\operatorname{typ}_{\mathbf{B}}(\mathbf{b}) = \operatorname{typ}_{\mathbf{C}}(\mathbf{c})$ .

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