

# Quantifier elimination

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# Things you already know

It is possible to express that

$$\varphi(a, b, c) : (\exists x)(ax^2 + bx + c = 0)$$

is true in the ordered field  $\mathbb{R}$ , for a given choice of  $a, b, c$ , in a different way that doesn't use a quantifier:

$$((a \neq 0) \wedge (b^2 - 4ac \geq 0)) \vee ((a = 0) \wedge ((b \neq 0) \vee (c = 0))).$$

It is possible to express that  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_2(\mathbb{R})$  has an inverse using quantifiers:

$$(\exists t)(\exists u)(\exists v)(\exists w) \left( \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} t & u \\ v & w \end{bmatrix} = \begin{bmatrix} t & u \\ v & w \end{bmatrix} \cdot \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right)$$

or without:

$$ad - bc \neq 0.$$

**Definition.** A theory  $T$  has **quantifier elimination** if for every formula  $\varphi(\mathbf{x})$  there is a quantifier-free formula  $\alpha(\mathbf{x})$  such that

$$T \models (\forall \mathbf{x})(\varphi(\mathbf{x}) \leftrightarrow \alpha(\mathbf{x})).$$

### Examples.

- 1 The theory of infinite sets in the language of equality.
- 2 The theory of dense linear order in the language of ordered sets.
- 3 The theory of algebraically closed fields in the ring/field language.
- 4 The theory of the real numbers in the language of ordered fields.

### Non-examples.

- 1 The theory of  $\langle \mathbb{N}; \leq \rangle$  in the language of ordered sets.
- 2 The theory of the real numbers in the ring/field language.

# Why do we care?

If  $T$  has q.e., then

- 1 all embeddings between models are elementary,
- 2 easier to check completeness: if there is a constant in the language, check whether the theory decides the truth of every quantifier-free sentence. If no constant, must also check whether the theory decides the truth of every q.f., 1-variable, atomic formula  $\alpha(x)$ , where  $T \models (\forall x)(\forall y)(\alpha(x) \leftrightarrow \alpha(y))$ .
- 3 easier to establish  $\omega$ -categoricity: any complete theory in a finite relational language, which has an infinite model, will be  $\omega$ -categorical. (May not be true if  $T$  does not have q.e.!)
- 4 becomes easier to classify definable sets in models.

# What's language got to do with it?

## How to cheat.

The **Morleyization** of an  $L$ -theory  $T$  is the theory  $T'$  in an expanded language having a new relation symbol  $R_\varphi(x_1, \dots, x_n)$  for every  $L$ -formula  $\varphi(x_1, \dots, x_n)$  where we add to  $T$  the sentences

$$(\forall \mathbf{x})(\varphi(\mathbf{x}) \leftrightarrow R_\varphi(\mathbf{x})).$$

It is obvious that the models of  $T$  and  $T'$  are essentially the same, and that  $T'$  has q.e.

This is of theoretical value, and typically doesn't bypass any practical complications.

# The field of real numbers is an interesting example.

(Tarski-Seidenberg) Implies that the theory of the ordered field  $\langle \mathbb{R}; +, -, 0, \cdot, 1, \leq \rangle$  has q.e.

(Macintyre) The only theories of fields with q.e. are the algebraically closed fields. In particular,  $\langle \mathbb{R}; +, -, 0, \cdot, 1 \rangle$  does not have q.e.

Thus every use of a quantifier in a formula for  $\mathbb{R}$  can be reduced to

$$\varphi_{\leq}(x, y) : \quad (\exists z)(y = x + z^2).$$

# Methods for establishing q.e.

- ① BRUTE FORCE!! (Requires analysis/classification of the q.f. formulas.)
- ②  $\approx \approx$  gentle  $\approx$  persuasion  $\approx \approx \approx \approx \approx \approx$

# Brute force example

The theory  $T$  of infinite sets in the language of equality has q.e.

Any formula can be put in the form  $(Q_1 x_{i_1}) \cdots (Q_n x_{i_n})(\bigvee \bigwedge \pm \text{atomic})$ .

To eliminate  $Q$ 's, it suffices to eliminate  $\exists y$  from  $(\exists y)(\bigvee \bigwedge \pm \text{atomic})$ .

But  $\exists$  distributes over  $\bigvee$ , so it suffices to eliminate  $\exists y$  from  $(\exists y)(\bigwedge \pm \text{atomic})$ .  
Such formulas are “primitive formulas”.

**“Arrangement” of variables:** For some equivalence relation  $E$  on  $\{1, \dots, n\}$

$$\text{Arr}_E(x_1, \dots, x_n) = \bigwedge_{(i,j) \in E} (x_i = x_j) \wedge \bigwedge_{(i,j) \notin E} \neg(x_i = x_j).$$

This is a conjunction of  $\pm \text{atomic}$ .

## Brute force example: more

**Lemma.** (Classification of q.f. formulas modulo  $T$ .) Any q.f. formula is either inconsistent (e.g.  $\neg(x_i = x_i) \equiv \perp$ ) or is equivalent to a disjunction of finitely many arrangements. (I.e., any “partial arrangement” is a disjunction of “total arrangements”.)

Hence, if  $\varphi(\mathbf{x}, y)$  is q.f.,  $T \models (\forall \mathbf{x})((\exists y)\varphi(\mathbf{x}, y) \leftrightarrow (\exists y)(\bigvee_k \text{Arr}_{E_k}(\mathbf{x}, y)))$ .

Hence suffices to eliminate  $\exists y$  in  $(\exists y)\text{Arr}_E(x_1, \dots, x_n, y)$ .

Let  $E^*$  be the restriction of  $E$  from  $\{x_1, \dots, x_n, y\}$  to  $\{x_1, \dots, x_n\}$ . Then note that

$$T \models (\forall \mathbf{x})((\exists y)\text{Arr}_E(\mathbf{x}, y) \leftrightarrow \text{Arr}_{E^*}(\mathbf{x})).$$