

## Counting basics.

**Definition 1.** Let  $A$  and  $B$  be sets.

- (i) If there exists a bijection from  $A$  to  $B$ , then we write  $|A| = |B|$  and say  $A$  and  $B$  have the same cardinality (or  $A$  and  $B$  are *equinumerous*, or are *equipotent*).
- (ii) If there exists an injection from  $A$  to  $B$ , then we write  $|A| \leq |B|$  and say the cardinality of  $A$  is less than or equal to the cardinality of  $B$ .
- (iii)  $|A| < |B|$  means “ $|A| \leq |B|$  and  $|A| \neq |B|$ ”.
- (iv) If  $n \in \mathbb{N}$ , then we write  $|A| = n$  to mean  $|A| = |n|$ , and we say that  $A$  has cardinality  $n$ .
- (v)  $A$  is *finite* if  $|A| = n$  for some natural number  $n$ . Otherwise  $A$  is *infinite*.
- (vi) An infinite set  $A$  is *countable* if  $|A| = |\mathbb{N}|$ . Otherwise  $A$  is *uncountable*.

### Comments.

- (i) The relation of “having the same cardinality” is reflexive, symmetric and transitive. This is easy to show.
- (ii) The Cantor-Schroeder-Bernstein Theorem asserts that

$$((|A| \leq |B|) \wedge (|B| \leq |A|)) \rightarrow |A| = |B|.$$

This is not easy to show. To prove it you must show that if there are injections  $f: A \rightarrow B$  and  $g: B \rightarrow A$ , then there is a bijection  $h: A \rightarrow B$ . You can read a proof at [http://en.wikipedia.org/wiki/Cantor-Bernstein-Schroeder\\_theorem](http://en.wikipedia.org/wiki/Cantor-Bernstein-Schroeder_theorem)

- (iii) Cantor’s Theorem asserts that  $|A| < |\mathcal{P}(A)|$ .
- (iv) Certain basic facts require proof, which we will not give in class:
  - (a) If  $m, n \in \mathbb{N}$  and  $|m| = |n|$ , then  $m = n$ .
  - (b)  $\mathbb{N}$  is infinite.
  - (c) A subset  $S$  of a finite set  $F$  is itself finite, and  $|S| \leq |F|$ . If  $S$  is a proper subset, then  $|S| < |F|$ .
  - (d) The union of two finite sets is finite.

### Two basic theorems about counting.

- (1) The Sum Rule: If  $A$  and  $B$  are disjoint finite sets, then  $|A \cup B| = |A| + |B|$ . (This means: if  $A \cap B = \emptyset$ ,  $|A| = m$  and  $|B| = n$ , then  $|A \cup B| = m + n$ .)
- (2) The Product Rule: If  $A$  and  $B$  are finite sets, then  $|A \times B| = |A| \cdot |B|$ . (This means what you think.)

Frequently the need to use the Sum Rule is signified by (exclusive) **or**, while the need to use the Product Rule is signified by (independent) **and**. For example, suppose you allow yourself to choose a dessert that is either an ice cream cone **or** a sundae, but not both. Suppose the type of ice cream cone is determined by one of 31 flavors of ice cream **and** one of 3 types of cone, while the choice of sundae is determined by selecting one of 7 possible toppings **and** one of 4 possible sizes. How many dessert choices do you have? Answer:  $(31 \times 3) + (7 \times 4) = 93 + 28 = 121$  choices.

**Exercises.**

- (1) Show that the empty set is finite.
- (2) Show that  $\mathbb{N}$  is countable.
- (3) Show that  $\mathbb{Z}$  is countable.
- (4) How many license plates have exactly 7 characters consisting of decimal digits (0,1,2,...,9) and letters of the alphabet (a, b, c, ..., z)? What if there are exactly 3 decimal digits, 4 letters of the alphabet, and the digits must come before the letters?
- (5) How many license plates have 3 decimal digits and 4 letters of the alphabet if the plate must start and end with a digit, and either start with 9 or end with 9?
- (6) How many 0,1-sequences of length  $n$  are there?
- (7) Show that if  $|A| = n$ , then  $|\mathcal{P}(A)| = 2^n$ .