

## MODEL THEORY: ASSIGNMENT 2, PROBLEM 2

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### PROBLEM 2

Let  $L$  be a language and let  $X = \text{Spec}(L)$  be its space of complete theories. Show that for any ordinal  $\alpha$  there is a theory  $T_\alpha$  such that  $V(T_\alpha)$  is the closed set of all complete  $L$ -theories of Cantor-Bendixson rank at least  $\alpha$ . Describe how to generate a set of axioms for  $T_\alpha$ .

If the set  $V(T_\alpha) = \{T \mid T_\alpha \subseteq T\}$ , where  $T$  is a complete theory, is to be the set of all complete theories with CB-rank at least  $\alpha$ , then  $T_\alpha$  must be a set of sentences such that all and only those complete theories containing  $T_\alpha$  are of CB-rank at least  $\alpha$ . For any theory  $T$ ,  $T$  has CB-rank  $\alpha$  if  $T \in X^{(\alpha)}$  and  $T \notin X^{(\alpha+1)}$ . So, for  $T$  to have CB-rank of at least  $\alpha$  all that need be is  $T \in X^{(\alpha)}$ . Since  $T_\alpha$  must be defined for all ordinals, the description of how to axiomatize  $T_\alpha$  will be broken up into the three cases: when  $\alpha = 0$ , when  $\alpha$  is a successor ordinal, and when  $\alpha$  is a limit ordinal. Take first the case where  $\alpha = 0$ .

**The zero case:** This case is somewhat trivial. Since every theory has CB-rank at least 0, what is wanted is a set of axioms that is included in every theory. Since  $\emptyset \subseteq T$  for all theories  $T$ ,  $\emptyset$  axiomatizes all theories of CB-rank at least 0 (the whole of  $X$ ). Now consider the case when  $\alpha$  is a successor ordinal.

**The successor ordinal case:** If  $T \in X^{(\alpha)}$  then, by the definition of the CB-derivative for successor ordinals,  $T$  is a limit point of the set  $X^{(\alpha-1)}$ . So, axioms for  $T_\alpha$  must imply that any complete theory  $T \supseteq T_\alpha$  is a limit point in  $X^{(\alpha-1)}$ . Since every point is a limit point or an isolated point but not both, the set of all limit points of  $X^{(\alpha-1)}$  may be defined as the complement in  $X^{(\alpha-1)}$  of the set of isolated points. A theory  $T$  is isolated in  $X^{(\alpha-1)}$  if there is some open set  $O$  whose intersection with  $X^{(\alpha-1)}$  is  $T$ . If  $O$  is an open set then  $O$  must be either in the basis of  $X$  or a union of basic sets. If  $O$  is the union of basic sets, then there is at least one set in said union that must have  $T$  as an element. Since the intersection of the union,  $O$ , with  $X^{(\alpha-1)}$  is just  $T$ , the intersection of the basic set containing  $T$  with  $X^{(\alpha-1)}$  will be just  $T$  as well. So, if  $T$  is an isolated point, then there is some set in the basis of  $X$  whose intersection with  $X^{(\alpha-1)}$  is  $T$ . Since the basic sets of  $X$  are clopen sets of the form  $O_\sigma = \{T \mid \sigma \in T\}$ , the set of all isolated points of  $X^{(\alpha-1)}$  would then be  $(\bigcup_{\sigma \in \theta_{\alpha-1}} O_\sigma)$ , where  $\theta_{\alpha-1} = \{\sigma \mid O_\sigma \cap X^{(\alpha-1)} = \{T\}\}$  for some theory  $T$ . I.e.  $O_\sigma$  is a singleton in  $X^{(\alpha-1)}$ . The set of all limit points would then be  $(\bigcup_{\sigma \in \theta_{\alpha-1}} O_\sigma)^C = \bigcap_{\sigma \in \theta_{\alpha-1}} (O_\sigma)^C = \bigcap_{\sigma \in \theta_{\alpha-1}} (O_{\neg\sigma})$ . Since  $O_{\neg\sigma} = \{T \mid \neg\sigma \in T\}$ , any  $T \in \bigcap_{\sigma \in \theta_{\alpha-1}} (O_{\neg\sigma})$  must have  $\{\neg\sigma \mid \sigma \in \theta_{\alpha-1}\} \subseteq T$ . Because the set of all limit points in  $X^{(\alpha-1)}$  is  $X^{(\alpha)}$ ,  $T \in X^{(\alpha)}$  only when  $\{\neg\sigma \mid \sigma \in \theta_{\alpha-1}\} \subseteq T$ . However, since  $\{\neg\sigma \mid \sigma \in \theta_{\alpha-1}\}$  only defines the limit points with respect to the set  $X^{(\alpha-1)}$ , it should be taken together with the axioms for  $T_{\alpha-1}$  to create a set of sentences that define theories

that are limit points for all  $X^\beta$  where  $\beta \leq \alpha - 1$ . So any  $T \supseteq (\{\neg\sigma \mid \sigma \in \theta_{\alpha-1}\} \cup T_{(\alpha-1)})$  has CB-rank of at least  $\alpha$ . Thus  $\{\neg\sigma \mid \sigma \in \theta_{\alpha-1}\} \cup T_{(\alpha-1)}$  constitutes a set of axioms for  $T_\alpha$ . Now let us consider when  $\alpha$  is a limit ordinal.

**The limit ordinal case:** The CB-derivative is defined at any limit ordinal  $\alpha$  as  $X^{(\alpha)} = \bigcap_{\beta < \alpha} X^{(\beta)}$ . So, if  $T \in X^{(\alpha)}$ , then  $T \in X^{(\beta)}$ , for all  $\beta < \alpha$ . This means  $T$  is a limit point in every  $X^{(\beta)}$ ,  $\beta < \alpha$ . But  $T$  is only a limit point in  $X^{(\beta)}$  if  $T_\beta \subseteq T$ . So,  $T \in X^{(\alpha)}$  if  $T_\beta \subseteq T$  for all  $\beta < \alpha$ . This means that  $\bigcup_{\beta < \alpha} T_\beta \subseteq T$  for any  $T \in X^{(\alpha)}$ . Thus,  $\bigcup_{\beta < \alpha} T_\beta$  constitutes a set of axioms for  $T_\alpha$ , for any limit ordinal  $\alpha$ .

In conclusion, we have  $\emptyset$  as the axioms for  $T_0$  and  $\{\neg\sigma \mid \sigma \in \theta_{\alpha-1}\} \cup T_{(\alpha-1)}$  as the axioms for  $T_\alpha$  when  $\alpha$  is a successor ordinal and  $\bigcup_{\beta < \alpha} T_\beta$  as the axioms when  $\alpha$  is a limit ordinal.