

**Problem 7.**

- (a) Show that if  $(P_i)_{i \in I}$  is a chain of primes, then  $\bigcup_{i \in I} P_i$  and  $\bigcap_{i \in I} P_i$  are primes.
- (b) Show that if  $I$  is an ideal contained in a prime  $P$ , then there is a prime ideal  $P'$  such that  $I \subseteq P' \subseteq P$  and  $P'$  is “minimal over  $I$ ”.
- (c) Show that if  $I$  is an ideal containing a prime  $P$ , then there is a prime ideal  $P'$  such that  $P \subseteq P' \subseteq I$  and  $P'$  is “maximal under  $I$ ”.

**Theorem 1.** *Let  $(P_i)_{i \in I}$  be a chain of primes, then  $\bigcup_{i \in I} P_i$  and  $\bigcap_{i \in I} P_i$  are primes.*

*Proof.*

**$\bigcup_{i \in I} P_i$  is prime:** We first show that  $\bigcup_{i \in I} P_i$  is an ideal. Suppose  $a, b \in \bigcup_{i \in I} P_i$ , then there are  $i_0$  and  $i_1$  such that  $a \in P_{i_0}$  and  $b \in P_{i_1}$ . The collection of  $P_i$  form a chain, so we may assume without loss of generality that  $P_{i_0} \subseteq P_{i_1}$ . That is,  $a, b \in P_{i_1}$ . Since  $P_{i_1}$  is an ideal then  $a + b \in P_{i_1} \subseteq \bigcup_{i \in I} P_i$  and  $ra \in P_{i_1} \subseteq \bigcup_{i \in I} P_i$  for all  $r \in R$ .

If  $ab \in \bigcup_{i \in I} P_i$ , then there exists  $i_0$  such that  $ab \in P_{i_0}$ . Since  $P_{i_0}$  is a prime, then either  $a$  or  $b$  is in  $P_{i_0}$ , so  $a$  or  $b$  is in  $\bigcup_{i \in I} P_i$ .

**$\bigcap_{i \in I} P_i$  is prime:** Suppose  $a, b \in \bigcap_{i \in I} P_i$ , then for all  $i \in I$ ,  $a, b \in P_i$ . Each  $P_i$  is an ideal, so  $a + b \in P_i$  and  $ra \in P_i$  for all  $r \in R$  and  $i \in I$ . It follows that  $a + b \in \bigcap_{i \in I} P_i$  and  $ra \in \bigcap_{i \in I} P_i$ .

Suppose  $ab \in \bigcap_{i \in I} P_i$ . If  $a$  and  $b$  are in  $P_i$  for all  $i$ , then  $a$  and  $b$  will also be in  $\bigcap_{i \in I} P_i$ . If not, then without loss of generality suppose there exists  $i_0$  such that  $b \notin P_{i_0}$ . Then,  $a \in P_{i_0}$  and clearly  $a \in P_{i_1}$  for any  $i_1 \in I$  such that  $P_{i_0} \subseteq P_{i_1}$ . On the other hand, if  $P_{i_1} \subseteq P_{i_0}$ , suppose for contradiction that  $a \notin P_{i_1}$ . Then  $b \in P_{i_1}$  because  $P_{i_1}$  is prime, but this contradicts that  $P_{i_1} \subseteq P_{i_0}$ . It follows that  $a \in P_i$  for all  $i \in I$  so  $a \in \bigcap_{i \in I} P_i$ .

□

**Definition 1.** *Let  $I$  be an ideal. A prime  $P'$  containing  $I$  is called **minimal over  $I$**  if there does not exist another prime  $P$  containing  $I$  such that  $I \subseteq P \subsetneq P'$ . A prime  $P'$  contained in  $I$  is called **maximal under  $I$**  if there does not exist another prime  $P$  contained within  $I$  satisfying  $P' \subsetneq P \subseteq I$ .*

**Corollary 1.** *Let  $I$  be an ideal and  $P$  be a prime containing  $I$ . Then there exists a prime  $P'$  contained in  $P$  that is minimal over  $I$ .*

*Proof.* Consider  $\mathcal{C} = \{Q \text{ prime ideal} : I \subseteq Q \subseteq P\}$ , with the partial ordering  $Q \preceq P$  if  $Q \subseteq P$ . Observe that  $\mathcal{C}$  is nonempty because  $P \in \mathcal{C}$ . Let  $\{P_i\}_{i \in I}$  be a chain, and let  $\tilde{P} = \bigcap_{i \in I} P_i$ . Clearly,  $I \subseteq \tilde{P} \subseteq P_i \subseteq P$  for all  $i$ . Then by Proposition 1  $\tilde{P}$  is prime. That is,  $\tilde{P}$  is an upper bound for this chain. Zorn’s lemma then guarantees a maximal element  $P'$  with respect to this ordering. That is, there are no prime  $Q$  satisfies  $I \subseteq Q \subsetneq P'$ . □

**Corollary 2.** *Let  $I$  be an ideal and  $P$  be a prime contained in  $I$ . Then there exists a prime  $P'$  containing  $P$  that is maximal under  $I$ .*

*Proof.* Define  $\mathcal{C} = \{Q \text{ prime ideal} : P \subseteq Q \subseteq I\}$  with the ordering  $P \preceq Q$  if  $P \subseteq Q$ . Again,  $\mathcal{C}$  is nonempty because  $P \in \mathcal{C}$ . For any chain  $\{P_i\}_{i \in I}$  define  $\tilde{P} = \bigcup_{i \in I} P_i$ . Since  $P \subseteq P_i \subseteq I$  for all  $i$ , then  $P \subseteq \tilde{P} \subseteq I$ . Furthermore,  $\tilde{P}$  is prime by Proposition 1 so  $\tilde{P}$  is an upper bound for this chain. By Zorn's lemma, there is a prime  $P'$  maximal with respect to this ordering. That is, there are no primes  $Q$  which satisfies  $P' \subsetneq Q \subseteq I$ .  $\square$