

# Commutative Algebra- HW2

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**Problem 9.** This problem involves Nakayama's Lemma. Suppose that  $(R, \mathfrak{m})$  is a local ring with maximal ideal  $\mathfrak{m}$ , and that  $M$  is a finitely generated  $R$ -module.

- (a) Show that a subset  $F \subseteq M$  is a generating set if and only if  $F/\mathfrak{m}$  is a generating set for the  $R/\mathfrak{m}$ -vector space  $M/\mathfrak{m}M$ . Conclude that all minimal generating sets for  $M$  have the same size.
- (b) Show that a homomorphism  $\varphi : M \rightarrow N$  between finitely generated  $R$ -modules is surjective if and only if the induced map  $\varphi_{\mathfrak{m}} : M/\mathfrak{m}M \rightarrow N/\mathfrak{m}N$  is surjective.

**Theorem 1.** Suppose that  $(R, \mathfrak{m})$  is a local ring with maximal ideal  $\mathfrak{m}$ , and that  $M$  is a finitely generated  $R$ -module. Let  $F \subset M$ . We have that  $F$  is a generating set of  $M$  if and only if  $F/\mathfrak{m}$  is a generating set for the  $R/\mathfrak{m}$ -vector space  $M/\mathfrak{m}M$ .

We break the proof of this theorem into a series of propositions.

**Proposition 2.** Suppose that  $(R, \mathfrak{m})$  is a local ring with maximal ideal  $\mathfrak{m}$ , and that  $M$  is a finitely generated  $R$ -module. Let  $F \subset M$ . If that  $F$  is a generating set of  $M$ , then  $F/\mathfrak{m}$  is a generating set for the  $R/\mathfrak{m}$ -vector space  $M/\mathfrak{m}M$ .

*Proof.* Denote  $F = \{f_1, \dots, f_n\}$ . Suppose first that  $F$  generates  $M$ . Consider the natural projection map  $\pi : M \rightarrow M/\mathfrak{m}M$ . Observe that  $\pi(F) \subset M/\mathfrak{m}M$ . So  $\langle \pi(F) \rangle \subset M/\mathfrak{m}M$ . We now show that  $\langle \pi(F) \rangle \supset M/\mathfrak{m}M$ . Let  $x + \mathfrak{m}M \in M/\mathfrak{m}M$ , and denote  $x \in M$  to be a preimage of  $x + \mathfrak{m}M$  under the projection map. As  $F$  generates  $M$ , we may write  $x$  as an  $R$ -linear combination in terms of  $F$ :

$$x = \sum_{i=1}^n r_i f_i,$$

where  $r_1, \dots, r_n \in R$ . It follows that:

$$\begin{aligned} x + \mathfrak{m}M &= \pi(x) \\ &= \pi\left(\sum_{i=1}^n r_i f_i\right) \\ &= \sum_{i=1}^n (r_i + \mathfrak{m})\pi(f_i). \end{aligned}$$

So  $x + \mathfrak{m}M \in \langle \pi(F) \rangle$ . As  $x + \mathfrak{m}M$  was arbitrary, it follows that  $\langle \pi(F) \rangle \supset M/\mathfrak{m}M$ . So  $\pi(F) = F/\mathfrak{m}$  generates  $M/\mathfrak{m}M$ , as desired.  $\square$

We recall Corollary 2.7 from Atiyah-Macdonald.

**Lemma 3** (Corollary 2.7, Atiyah-Macdonald). Let  $M$  be a finitely generated  $R$ -module, let  $N \leq M$  be a sub-module, and let  $I$  be an ideal of the Jacobson radical  $J(R)$ . If  $M = IM + N$ , then  $M = N$ .

*Proof.* We note that:

$$I(M/N) = (IM + N)/N = M/N.$$

The last equality follows from the assumption that  $IM + N = M$ . As  $I(M/N) = M/N$ , we have by Nakayama's Lemma that  $M/N = 0$ . So  $M = N$ .  $\square$

**Proposition 4.** Suppose that  $(R, \mathfrak{m})$  is a local ring with maximal ideal  $\mathfrak{m}$ , and that  $M$  is a finitely generated  $R$ -module. Let  $F \subset M$ . If  $F/\mathfrak{m}$  is a generating set for the  $R/\mathfrak{m}$ -vector space  $M/\mathfrak{m}M$ , then  $F$  is a generating set of  $M$ .

*Proof.* Denote  $F = \{f_1, \dots, f_n\}$ , and let  $N = \langle F \rangle$  be the submodule of  $M$  generated by  $F$ . As  $F/\mathfrak{m}$  generates  $M/\mathfrak{m}M$ , it follows that:

$$M/\mathfrak{m}M = \sum_{i=1}^n (R/\mathfrak{m})(f_i + \mathfrak{m}M).$$

Thus,

$$\begin{aligned} M/\mathfrak{m}M &= \left\{ \left( \sum_{i=1}^n r_i f_i \right) + \mathfrak{m}M : r_1, \dots, r_n \in R \right\} \\ &= (N + \mathfrak{m}M)/\mathfrak{m}M. \end{aligned}$$

So  $M = N + \mathfrak{m}M$ . By Corollary 2.7 from Atiyah-Macdonald, we have that  $M = N$ . So  $F$  is a generating set for  $M$ , as desired.  $\square$

We obtain the following corollary to Theorem 1.

**Corollary 5.** Suppose that  $(R, \mathfrak{m})$  is a local ring with maximal ideal  $\mathfrak{m}$ , and that  $M$  is a finitely generated  $R$ -module. All minimal generating sets have size  $\dim_{R/\mathfrak{m}}(M/\mathfrak{m}M)$ .

*Proof.* Let  $F$  be a minimal generating set for  $M$ . We have by Theorem 1 that  $F/\mathfrak{m}$  is a generating set for  $M/\mathfrak{m}M$ . We note that if  $F/\mathfrak{m}$  is a basis for  $M/\mathfrak{m}M$ , then we are done. So we claim that  $F/\mathfrak{m}$  is a minimal generating set for  $M/\mathfrak{m}M$ . Suppose to the contrary that  $F'/\mathfrak{m} \subsetneq F/\mathfrak{m}$  is a smaller generating set for  $M/\mathfrak{m}M$ . Then by Theorem 1,  $F' \subsetneq F$  is a generating set for  $M$ , contradicting the minimality of  $F$ . So  $F/\mathfrak{m}$  is indeed a basis for  $M/\mathfrak{m}M$ , as desired.  $\square$

**Theorem 6.** Suppose that  $(R, \mathfrak{m})$  is a local ring with maximal ideal  $\mathfrak{m}$ . Let  $M, N$  be finitely generated  $R$ -modules, and let  $\varphi : M \rightarrow N$  be an  $R$ -module homomorphism. We have that  $\varphi$  is surjective if and only if the induced map  $\varphi_{\mathfrak{m}} : M/\mathfrak{m}M \rightarrow N/\mathfrak{m}N$  is surjective.

*Proof.* Let  $\pi_M : M \rightarrow M/\mathfrak{m}M, \pi_N : N \rightarrow N/\mathfrak{m}N$  be the natural projection maps. We note that  $\pi_N \circ \varphi : M \rightarrow N/\mathfrak{m}N$  is an  $R$ -module homomorphism. We claim that  $\mathfrak{m}M \subset \ker(\pi_N \circ \varphi)$ . As  $\varphi$  is an  $R$ -module homomorphism, we have that:

$$(\pi_N \circ \varphi)(\mathfrak{m}M) = \pi_N(\mathfrak{m}\varphi(M)).$$

Now we note that  $\mathfrak{m}\varphi(M) \subset \mathfrak{m}N$ . So  $\pi_N(\mathfrak{m}\varphi(M)) = 0$ . Thus,  $\mathfrak{m}M \subset \ker(\pi_N \circ \varphi)$ . So by the universal property of quotients, there exists an induced map  $\varphi_{\mathfrak{m}} : M/\mathfrak{m}M \rightarrow N/\mathfrak{m}N$  which satisfies:

$$\pi_N \circ \varphi = \varphi_{\mathfrak{m}} \circ \pi_M.$$

Let  $F$  be a generating set for  $M$ . As  $F$  is a generating set for  $M$ ,  $\varphi$  is determined by  $\varphi(F)$ . By Theorem 1,  $F/\mathfrak{m}$  is a generating set for  $M/\mathfrak{m}M$ . Suppose first that  $\varphi$  is surjective. So  $\langle \varphi(F) \rangle = N$ . By Theorem 1,  $\varphi(F)/\mathfrak{m}$  is a generating set for  $N/\mathfrak{m}N$ . As  $\varphi_{\mathfrak{m}}(F/\mathfrak{m}) = \varphi(F)/\mathfrak{m}$ , it follows that  $\varphi_{\mathfrak{m}}$  is surjective.

Conversely, suppose that  $\varphi_{\mathfrak{m}}$  is surjective. As  $F/\mathfrak{m}$  is a generating set for  $M/\mathfrak{m}M$  and  $\varphi_{\mathfrak{m}}$  is surjective, we have that  $\varphi_{\mathfrak{m}}(F/\mathfrak{m}) = \varphi(F)/\mathfrak{m}$  is a generating set for  $N/\mathfrak{m}N$ . By Theorem 1,  $\varphi(F)$  is a generating set for  $N$ . Thus,  $\varphi$  is surjective.  $\square$