



# Non-monogenic Division Fields and Endomorphisms of Abelian Varieties

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Hanson Smith

University of Connecticut

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# Background

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The maximal real subfield of the  $n^{\text{th}}$  cyclotomic field is  $\mathbb{Q}(\zeta_n + \zeta_n^{-1})$ .

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The maximal real subfield of the  $n^{\text{th}}$  cyclotomic field is  $\mathbb{Q}(\zeta_n + \zeta_n^{-1})$ . These number fields are also monogenic with  $\zeta_n + \zeta_n^{-1} = 2 \cos(2\pi/n)$  providing a generator.

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*Expectation is the root of all heartache.*

- William Shakespeare



# Dedekind-Kummer Factorization

## Theorem (Dedekind building on work of Kummer)

*Let  $f(x)$  be a monic, irreducible polynomial in  $\mathbb{Z}[x]$  with  $\alpha$  denoting a root. If  $p \in \mathbb{Z}$  is a prime that does not divide  $[\mathcal{O}_{\mathbb{Q}(\alpha)} : \mathbb{Z}[\alpha]]$ , then the factorization of  $p$  in  $\mathcal{O}_{\mathbb{Q}(\alpha)}$  mirrors the factorization of  $f(x)$  in  $\mathbb{F}_p[x]$ .*

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That is,

$$f(x) \equiv f_1(x)^{e_1} \cdots f_r(x)^{e_r} \pmod{p} \quad \text{and} \quad p = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r}.$$

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Thus, if this field is monogenic, there is a cubic polynomial that generates and has **three** distinct linear factors in  $\mathbb{F}_2[x]$ . In this case we say 2 is a *common index divisor*.

# Division Fields

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# Cyclotomic Fields $\mathbb{Q}(\mathbb{G}_m[n])$ and Division Fields $\mathbb{Q}(A[n])$

Recall that the  $n^{\text{th}}$   $\mathbb{G}_m$  division field (the  $n^{\text{th}}$  cyclotomic field) is monogenic. In analogy with  $\mathbb{G}_m$ , we can ask about the division fields of other abelian groups, like elliptic curve and other abelian varieties.



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Motivating question: When is  $\mathbb{Q}(A[n])$  monogenic?

Slightly more approachable, but still difficult question: When is  $\mathbb{Q}(E[n])$  monogenic?

González-Jiménez and Lozano-Robledo show that  $\mathbb{Q}(E[n])$  coincides with  $\mathbb{Q}(\zeta_n)$  sometimes. In particular when  $n = 2, 3, 4$ , and 5 this can happen.

## Splitting in $\mathbb{Q}(E[n])$

Let  $a_p$  be the trace of Frobenius at  $p$ , let  $b_p$  be the index  $[\mathcal{O}_K : \text{End}_{\mathbb{F}_p}(E)]$ , and write  $\Delta_{\text{End}}$  for the discriminant of  $\text{End}_{\mathbb{F}_p}(E)$ .

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$$\sigma_p = \begin{bmatrix} \frac{a_p + b_p \delta_{\text{End}}}{2} & b_p \\ \frac{b_p(\Delta_{\text{End}} - \delta_{\text{End}})}{4} & \frac{a_p - b_p \delta_{\text{End}}}{2} \end{bmatrix}, \quad (1)$$

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where  $\delta_{\text{End}} = 0, 1$  according to whether  $\Delta_{\text{End}} \equiv 0, 1$  modulo 4.

[Duke and Tóth, 2002]: Suppose  $n$  is prime to  $p$ . When reduced modulo  $n$ , the matrix  $\sigma_p$  yields a global representation of the Frobenius class over  $p$  in  $\text{Gal}(\mathbb{Q}(E[n])/\mathbb{Q})$ . In particular, the order of  $\sigma_p$  modulo  $n$  is the residue class degree of  $p$  in  $\mathbb{Q}(E[n])$ .

# Results for Division Fields of Elliptic Curves

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# Main Result A

There are a lot of division fields  $\mathbb{Q}(E[n])$  that are not monogenic!



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## **Algorithm/theorem statement for $p = 2$ (Smith)**

*If  $E$  is an elliptic curve over  $\mathbb{Q}$  whose reduction at the prime 2 has trace of Frobenius  $a_2$  and such that, for one of the  $n$  listed on the following slide, the Galois representation*

$$\rho_{E,n} : \text{Gal}(\mathbb{Q}(E[n])/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Z}/n\mathbb{Z})$$

*is surjective. Then  $\mathbb{Q}(E[n])$  is not monogenic. Moreover, 2 is a common index divisor of  $\mathbb{Q}(E[n])$ .*

# Results for $p = 2$

| $a_2$ | $\sigma_2$                                        | non-monogenic $n$                                                                                                                                               |
|-------|---------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0     | $\begin{bmatrix} 0 & 1 \\ 2 & 0 \end{bmatrix}$    | 3, 5, 9, 11, 15, 17, 21, 27, 33, 43, 51, 57, 63, 85, 91, 93, 105, 117, 129, 171, 195, 255, 257, 273, 315, 331, 341, 381, 455, 513, 585, 657, 683, 771, 819, 993 |
| 1     | $\begin{bmatrix} 1 & 1 \\ -2 & 0 \end{bmatrix}$   | 11                                                                                                                                                              |
| -1    | $\begin{bmatrix} 0 & 1 \\ -2 & -1 \end{bmatrix}$  | 11, 23                                                                                                                                                          |
| 2     | $\begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix}$   | 5, 13, 15, 17, 41, 51, 65, 85, 91, 105, 117, 145, 195, 205, 255, 257, 273, 315, 455, 565, 585, 771, 819                                                         |
| -2    | $\begin{bmatrix} -1 & 1 \\ -1 & -1 \end{bmatrix}$ | 5, 13, 15, 17, 41, 51, 65, 85, 91, 105, 117, 145, 195, 205, 255, 257, 273, 315, 455, 565, 585, 771, 819                                                         |

## Main Result B

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## Theorem (Smith)

*Let  $E/\mathbb{Q}$  be an elliptic curve without CM, then for infinitely many  $n > 1$  the division field  $\mathbb{Q}(E[n])$  is not monogenic.*

# Results for Abelian Varieties of Dimension $> 1$

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## ...Or How to Sound Like You Understood a Talk

If you do something for elliptic curves, you can always ask the question, “Can I do this for abelian varieties?”

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The construction of the Frobenius in [Duke and Tóth, 2002] was very important for our work with elliptic curves. They use Deuring lifting for their construction. For an arbitrary abelian variety such a canonical lift does not necessarily exist. Canonical lifts exist if we restrict to ordinary or almost ordinary abelian varieties, but we are interested in low  $p$ -rank too.



Instead, we opted to generalize the approach taken by [Centeleghe, 2016]  
This approach relies on the fact that if  $A$  is an abelian variety over a field  $k$  with CM by a Gorenstein ring (i.e., if  $\text{End}_k(A)$  is a Gorenstein ring), then the Tate module  $T_l(A)$  is free of rank one over  $\text{End}_k(A) \otimes \mathbb{Z}_l$ .

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# The Minimal Endomorphism Ring

Suppose  $|k| = p^m = q$ .  $\text{End}_k(A)$  must contain **Frobenius**  $\pi$  and its dual **verschiebung**  $\nu$ . In fact, all orders of  $\text{End}_k(A) \otimes \mathbb{Q}$  containing  $\pi$  and  $\nu$  are endomorphism rings. Thus the smallest possible endomorphism ring is  $\mathbb{Z}[\pi, \nu]$ .

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The characteristic polynomial of  $\pi$  and  $\nu$  is a *Weil  $q$ -polynomial*. We restrict to abelian varieties with irreducible Weil  $q$ -polynomials so that  $\mathbb{Z}[\pi, \nu]$  is Gorenstein.

# The Matrix Representing Frobenius

Let  $A/k$  be an abelian variety with  $\text{End}_k(A) \cong \mathbb{Z}[\pi, \nu]$ .

# The Matrix Representing Frobenius

Let  $A/k$  be an abelian variety with  $\text{End}_k(A) \cong \mathbb{Z}[\pi, \nu]$ . First note that  $\{1, \pi, \dots, \pi^g, \nu, \dots, \nu^{g-1}\}$  forms a  $\mathbb{Z}$ -basis for  $\mathbb{Z}[\pi, \nu]$ .

Write

$$f(x) = x^{2g} + a_{2g-1}x^{2g-1} + \dots + a_1x + a_0$$

for the Weil  $q$ -polynomial of  $A$ . The following matrix yields the action of  $\pi$  on  $\mathbb{Z}[\pi, \nu]$ , and hence on  $T_l(A)$ .



# The Matrix Representing Frobenius

$$\sigma_p = \begin{matrix} & 1 & \pi & \pi^2 & \pi^{g-2} & \pi^{g-1} & \pi^g & v & v^2 & v^3 & & v^{g-1} \\ \left[ \begin{array}{cccccccccccc} 0 & 0 & 0 & \dots & 0 & -qa_{g+1} & q & 0 & 0 & \dots & 0 \\ 1 & 0 & 0 & \dots & 0 & -a_g & 0 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 & -a_{g+1} & 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \dots & \vdots & -a_{g+i-1} & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & 1 & 0 & -a_{2g-2} & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 & 1 & -a_{2g-1} & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 & 0 & -qa_2 & 0 & q & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 & 0 & -qa_3 & 0 & 0 & q & \dots & 0 \\ \vdots & \vdots & \ddots & \dots & \vdots & -qa_{i+1} & \vdots & \vdots & \ddots & \dots & 0 \\ 0 & 0 & \dots & 0 & 0 & -qa_{g-1} & 0 & 0 & 0 & \dots & q \\ 0 & 0 & \dots & 0 & 0 & -q & 0 & 0 & 0 & \dots & 0 \end{array} \right. & \begin{array}{l} 1 \\ \pi \\ \pi^2 \\ \pi^i \\ \pi^{g-1} \\ \pi^g \\ v \\ v^2 \\ v^i \\ v^{g-2} \\ v^{g-1} \end{array} \end{matrix}$$

# Non-monogenic Division Fields of Abelian Surfaces

## Algorithm/theorem statement for $p = 2$ (Smith)

Let  $A/\mathbb{F}_p$  be an abelian surface and write the Weil polynomial of  $A$  as

$$x^4 + a_3x^3 + a_2x^2 + pa_3x + p^2.$$

Suppose the Weil polynomial is irreducible,  $\text{End}_k(A)$  is minimal, and

$$\rho_{\hat{A},n} : \text{Gal}(\mathbb{Q}(\hat{A}[n])/\mathbb{Q}) \rightarrow \text{GSp}_4(\mathbb{Z}/n\mathbb{Z})$$

is surjective for some  $\hat{A}$  that reduces to  $A$  modulo  $p$ . The following tables show the  $n < 500$  for which the prime 2 is a common index divisor of  $\mathbb{Q}(\hat{A}[n])$  over  $\mathbb{Q}$ .

# Non-monogenic Division Fields of Abelian Surfaces

| $a_3$ | $a_2$ | $p$ -rank | non-monogenic $n$                                                                                                                                                                                                                                                                                                                  |
|-------|-------|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| -3    | 5     | 2         | 3, 19, 31, 57, 61, 93, 171, 183                                                                                                                                                                                                                                                                                                    |
| -2    | 2     | 0         | 5, 7, 9, 13, 15, 21, 35, 37, 39, 45, 51, 61, 63, 65, 85, 91, 105, 109, 111, 117, 119, 133, 135, 153, 171, 185, 189, 195, 205, 219, 221, 241, 247, 255, 259, 273, 285, 305, 315, 325, 327, 333, 351, 357, 365, 377, 399, 455, 481, 485                                                                                              |
| -2    | 3     | 2         | 7, 47                                                                                                                                                                                                                                                                                                                              |
| -1    | -1    | 2         | 5, 9, 11, 15, 23, 37, 43, 45, 67, 111, 127, 135, 151, 185, 203, 301, 333                                                                                                                                                                                                                                                           |
| -1    | 0     | 1         | 47                                                                                                                                                                                                                                                                                                                                 |
| -1    | 1     | 2         | 3, 9, 103, 127                                                                                                                                                                                                                                                                                                                     |
| -1    | 3     | 2         | 5, 15, 59                                                                                                                                                                                                                                                                                                                          |
| 0     | -3    | 2         | 3, 5, 9, 11, 15, 23, 29, 33, 37, 45, 53, 87, 111, 135, 137, 185, 203, 233, 281, 301, 333                                                                                                                                                                                                                                           |
| 0     | -2    | 0         | 3, 5, 7, 9, 11, 13, 15, 19, 21, 27, 33, 35, 39, 43, 45, 51, 57, 63, 65, 67, 73, 77, 81, 85, 91, 93, 99, 105, 109, 111, 117, 119, 129, 133, 135, 151, 153, 171, 185, 189, 195, 201, 217, 219, 221, 231, 241, 247, 255, 259, 273, 279, 285, 301, 315, 327, 331, 333, 337, 341, 351, 357, 365, 381, 387, 399, 441, 453, 455, 481, 485 |

**Table 1:**  $n < 500$  where 2 is a common index divisor in  $\mathbb{Q}(\hat{A}[n])$

# Non-monogenic Division Fields of Abelian Surfaces

| $a_3$ | $a_2$ | $p$ -rank | non-monogenic $n$                                                                                                                                                                                                                                                                                                          |
|-------|-------|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0     | -1    | 2         | 3, 17, 19, 23, 31, 57, 61, 93, 171, 183, 229                                                                                                                                                                                                                                                                               |
| 0     | 1     | 2         | 3, 9, 17, 19, 23, 47, 57, 61, 69, 93, 171, 183, 229                                                                                                                                                                                                                                                                        |
| 0     | 2     | 0         | 3, 5, 7, 9, 13, 15, 19, 21, 27, 31, 35, 39, 45, 49, 51, 57, 63, 65, 73, 77, 85, 89, 91, 93, 99, 105, 109, 111, 117, 119, 127, 133, 135, 151, 153, 161, 171, 185, 189, 195, 217, 219, 221, 231, 241, 247, 255, 259, 273, 279, 285, 301, 315, 327, 331, 333, 337, 341, 351, 357, 365, 381, 387, 399, 441, 453, 455, 481, 485 |
| 1     | -1    | 2         | 5, 7, 9, 11, 15, 37, 43, 45, 67, 79, 111, 135, 185, 203, 301, 333                                                                                                                                                                                                                                                          |
| 1     | 0     | 1         | 47                                                                                                                                                                                                                                                                                                                         |
| 1     | 1     | 2         | 3, 9                                                                                                                                                                                                                                                                                                                       |
| 1     | 3     | 2         | 5, 15, 59                                                                                                                                                                                                                                                                                                                  |
| 2     | 2     | 0         | 5, 7, 9, 13, 15, 21, 35, 37, 39, 45, 51, 61, 63, 65, 85, 91, 105, 109, 111, 117, 119, 133, 135, 153, 171, 185, 189, 195, 205, 219, 221, 241, 247, 255, 259, 273, 285, 305, 315, 325, 327, 333, 351, 357, 365, 377, 399, 455, 481, 485                                                                                      |
| 2     | 3     | 2         | 7, 47                                                                                                                                                                                                                                                                                                                      |
| 3     | 5     | 2         | 3, 19, 31, 57, 61, 93, 171, 183                                                                                                                                                                                                                                                                                            |

**Table 2:**  $n < 500$  where 2 is a common index divisor in  $\mathbb{Q}(\hat{A}[n])$

# Non-monogenic Division Fields of Abelian Threefolds

## Algorithm/theorem statement for $p = 2$ (Smith)

Let  $A/\mathbb{F}_p$  be an abelian threefold and write the Weil polynomial of  $A$  as

$$x^6 + a_5x^5 + a_4x^4 + a_3x^3 + pa_5x^2 + p^2a_4x + p^3.$$

Suppose the Weil polynomial is irreducible,  $\text{End}_k(A)$  is minimal, and

$$\rho_{\hat{A},n} : \text{Gal}(\mathbb{Q}(\hat{A}[n])/\mathbb{Q}) \rightarrow \text{GSp}_6(\mathbb{Z}/n\mathbb{Z})$$

is surjective for some  $\hat{A}$  that reduces to  $A$  modulo  $p$ . The following tables show the  $n < 200$  for which the prime 2 is a common index divisor of  $\mathbb{Q}(\hat{A}[n])$  over  $\mathbb{Q}$ .

# Non-monogenic Division Fields of Abelian Threefolds

| $a_5$ | $a_4$ | $a_3$ | $p$ -rank | non-monogenic $n$                                                                                     | $a_5$ | $a_4$ | $a_3$ | $p$ -rank | non-monogenic $n$                   |
|-------|-------|-------|-----------|-------------------------------------------------------------------------------------------------------|-------|-------|-------|-----------|-------------------------------------|
| -4    | 9     | -15   | 3         | 7, 11, 23, 29, 43, 71, 87, 113, 127                                                                   | 0     | 1     | -3    | 3         | 3, 9                                |
| -3    | 2     | 1     | 3         | 7, 11, 29, 43, 71, 87, 113, 127                                                                       | 0     | 1     | -1    | 3         |                                     |
| -3    | 6     | -9    | 3         | 3, 9, 27, 153                                                                                         | 0     | 1     | 3     | 3         | 3, 9                                |
| -2    | 0     | 3     | 3         | 107, 149                                                                                              | 0     | 2     | -2    | 0         |                                     |
| -2    | 1     | 0     | 2         | 3, 5, 11, 55, 83                                                                                      | 0     | 2     | -1    | 3         | 7                                   |
| -2    | 3     | -5    | 3         | 3, 9, 27, 59, 63                                                                                      | 1     | -1    | -5    | 3         | 3, 9                                |
| -2    | 3     | -3    | 3         | 5, 83, 131                                                                                            | 1     | -1    | -4    | 2         | 3, 7, 49                            |
| -2    | 5     | -7    | 3         | 3, 7                                                                                                  | 1     | 0     | -3    | 3         | 7, 77, 103                          |
| -1    | -1    | 5     | 3         | 3, 9                                                                                                  | 1     | 0     | 1     | 3         | 3                                   |
| -1    | 0     | -1    | 3         | 3                                                                                                     | 1     | 1     | 0     | 2         | 3, 7                                |
| 0     | 0     | -3    | 3         | 3, 7, 9, 13, 15, 21, 27, 29, 31, 35, 39, 45, 63, 65, 87, 91, 93, 105, 117, 123, 141, 151, 195         | 2     | 4     | 6     | 0         | 3                                   |
| 0     | 0     | -2    | 0         | 3, 7, 11, 15, 23, 29, 37, 45, 67, 71, 79                                                              | 2     | 5     | 7     | 3         | 3, 7                                |
| 0     | 0     | -1    | 3         | 3, 5, 7, 15, 19, 21, 25, 35, 45, 63, 71, 75, 95, 97, 105, 123, 133                                    | 3     | 2     | -1    | 3         | 7, 11, 23, 29, 43, 71, 87, 113, 127 |
| 0     | 0     | 1     | 3         | 3, 5, 7, 15, 19, 21, 25, 35, 45, 47, 49, 63, 75, 95, 97, 105, 123, 133                                | 3     | 5     | 7     | 3         | 7                                   |
| 0     | 0     | 2     | 0         | 3, 7, 11, 15, 23, 29, 37, 45, 67                                                                      | 3     | 6     | 9     | 3         | 3, 9, 27, 153                       |
| 0     | 0     | 3     | 3         | 3, 7, 9, 13, 15, 21, 27, 29, 31, 35, 39, 45, 47, 63, 65, 71, 87, 91, 93, 105, 117, 123, 141, 151, 195 | 4     | 9     | 15    | 3         | 7, 11, 29, 43, 71, 87, 113, 127     |

Thank You!





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# An Example with an Ordinary Elliptic Curve

Suppose  $E$  is an elliptic curve with  $a_2 = 1$ . The characteristic polynomial of Frobenius is  $x^2 - x + 2$  and this has discriminant  $-7$ . Letting  $\pi$  denote the Frobenius endomorphism of  $E$  over  $\mathbb{F}_2$ , we have  $\text{End}_{\mathbb{F}_2}(E) \cong \mathbb{Z}[\pi] = \mathcal{O}_{\mathbb{Q}(\pi)}$ .

Combining all this information, we see Duke and Tóth's matrix representing  $\pi$  is

$$\sigma_2 = \begin{bmatrix} 8/2 & (-7 \cdot 8)/4 \\ 1 & -6/2 \end{bmatrix} = \begin{bmatrix} 4 & -14 \\ 1 & -3 \end{bmatrix}.$$

Denote the order of  $\sigma_2$  modulo  $n$  by  $\text{ord}(\sigma_2, n)$ . This is the residue class degree of 2 in  $\mathbb{Q}(E[n])$ .

# An Example with an Ordinary Elliptic Curve

Generically, we expect the degree of  $\mathbb{Q}(E[n])$  over  $\mathbb{Q}$  to be  $|\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})|$ . Thus 2 will split into  $\frac{|\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})|}{\mathrm{ord}(\sigma_2, n)}$  primes in  $\mathbb{Q}(E[n])$ .

The number of irreducible polynomials of degree  $m$  in  $\mathbb{F}_p[x]$  is  $\frac{1}{m} \sum_{d|m} p^d \mu\left(\frac{m}{d}\right)$ . With Dedekind's factorization theorem in mind, we compare  $\frac{|\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})|}{\mathrm{ord}(\sigma_2, n)}$  and  $\frac{1}{\mathrm{ord}(\sigma_2, n)} \sum_{d|\mathrm{ord}(\sigma_2, n)} 2^d \mu\left(\frac{\mathrm{ord}(\sigma_2, n)}{d}\right)$ .

If the number of irreducible polynomial of degree  $\mathrm{ord}(\sigma_2, n)$  in  $\mathbb{F}_2[x]$  is less than  $\frac{|\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})|}{\mathrm{ord}(\sigma_2, n)}$ , then 2 must divide the index of any monogenic order in  $\mathcal{O}_{\mathbb{Q}(E[n])}$ . We find that  $\sigma_2$  has order 10 modulo 11, so that 2 splits into 1320 primes in  $\mathbb{Q}(E[11])$ . There are only 99 irreducible polynomials of degree 10 in  $\mathbb{F}_2[x]$ . Thus 2 is a common index divisor of  $\mathbb{Q}(E[11])$  over  $\mathbb{Q}$ .