

# $L^p$ -Spectral Triples: Group and UHF Algebras

Alonso Delfín  
(Joint work with Carla Farsi and Judith Packer)

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# Outline

- 1 Classic Spectral Triples and Quantum Metrics
- 2  $L^p$ -Spectral Triples
- 3  $L^p$ -Group Algebras
- 4  $L^p$  UHF-Algebras

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# Classic Spectral Triple

## Definition

A *spectral triple*  $(A, \mathcal{H}, D)$  consists of a unital  $C^*$ -algebra  $A$  faithfully represented on a Hilbert space  $\mathcal{H}$  via  $\varphi: A \rightarrow \mathcal{B}(\mathcal{H})$ , and an unbounded selfadjoint operator  $D: \text{dom}(D) \subseteq \mathcal{H} \rightarrow \mathcal{H}$  such that

- 1  $(I + D^2)^{-1} \in \mathcal{K}(\mathcal{H})$ ,
- 2  $\{a \in A: \|[D, \varphi(a)]\|_{\mathcal{B}(\mathcal{H})} < \infty\}$  is a norm dense  $*$ -subalgebra of  $A$ .

Since  $D$  is required to be selfadjoint, condition 1 is equivalent to asking for  $D$  to have compact resolvent, that is

- 1'  $(D - \lambda I)^{-1} \in \mathcal{K}(\mathcal{H})$  for all  $\lambda \in \mathbb{C} \setminus \sigma(D)$ .

Let  $B$  be a complex normed space. In 1998 M. Rieffel gave a general setting to get compact metrics on certain subspaces of  $B' = \mathcal{B}(B, \mathbb{C})$ . The ingredients are:

- A subspace  $\mathcal{L}$  of  $B$ , not necessarily closed.
- A seminorm  $L: \mathcal{L} \rightarrow \mathbb{R}_{\geq 0}$  with  $\ker(L) \neq \{0\}$ .
- A continuous linear functional,  $\varphi: \ker(L) \rightarrow \mathbb{C}$  with  $\|\varphi\| = 1$ .
- We ask that  $\mathcal{L}$  separate the points of

$$S := \{\omega \in B' : \omega = \varphi \text{ on } \ker(L), \text{ and } \|\omega\| = 1\}.$$

We define an extended pseudo metric  $d_S: S \times S \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$ , by

$$d_S(\omega, \psi) := \sup\{|\omega(b) - \psi(b)| : b \in \mathcal{L}, L(b) \leq 1\}.$$

### Theorem (Rieffel 98)

*With the ingredients described above, we put  $\mathcal{L}_1 := \{b \in \mathcal{L} : L(b) \leq 1\}$ . If the image of  $\mathcal{L}_1$  in  $\mathcal{L}/\ker(L)$  is totally bounded for  $\|\cdot\|_{B/\ker(L)}$ , then the  $d_S$ -topology on  $S$  agrees with the weak-\* topology.*

# Length Functions

A length function  $\mathbb{L}: G \rightarrow \mathbb{R}_{\geq 0}$  on a group  $G$  is a function satisfying

$$\mathbb{L}(g) = 0 \Leftrightarrow g = 1_G, \quad \mathbb{L}(g^{-1}) = \mathbb{L}(g), \quad \mathbb{L}(gh) \leq \mathbb{L}(g) + \mathbb{L}(h).$$

We say  $\mathbb{L}$  is *proper* if in addition  $B_{\mathbb{L}}(R) := \mathbb{L}^{-1}([0, R]) \subseteq G$  is a finite set for all  $R \in \mathbb{R}_{\geq 0}$ .

## Definition

Let  $\mathbb{L}$  be a proper length function on a group  $G$ . We say that  $\mathbb{L}$  has

- *strong polynomial growth* if there exist constants  $C_{\mathbb{L}}, d < \infty$  such that  $C_{\mathbb{L}}^{-1}R^d \leq \text{card}(B_{\mathbb{L}}(R)) \leq C_{\mathbb{L}}R^d$  for all  $R \geq 1$ .
- *bounded doubling* if there exists a constant  $C_{\mathbb{L}} < \infty$  such that  $\text{card}(B_{\mathbb{L}}(2R)) \leq C_{\mathbb{L}}\text{card}(B_{\mathbb{L}}(R))$  for all  $R \geq 1$ .
- *polynomial growth* if there exist constants  $C_{\mathbb{L}}, d < \infty$  such that  $\text{card}(B_{\mathbb{L}}(R)) \leq C_{\mathbb{L}}R^d$  for all  $R \geq 1$ .

In general  $\text{SPG} \Rightarrow \text{BD} \Rightarrow \text{PG}$ ; and these are equivalent for finitely generated groups.

# Quantum Compact Metrics from Spectral Triples

Let  $(A, \mathcal{H}, D)$  be a spectral triple. A. Connes defined an extended pseudometric  $\text{mk}_D$  on  $S(A)$ , the state space of  $A$ , by

$$\text{mk}_D(\omega, \psi) := \sup\{|\omega(a) - \psi(a)| : a \in A, \|[D, \varphi(a)]\| \leq 1\}.$$

## Definition

We say  $(A, \mathcal{H}, D)$  is a *metric spectral triple* when  $\text{mk}_D$  is a metric whose topology on  $S(A)$  agrees with the weak- $*$  topology. This makes  $S(A)$  a *quantum compact metric space*.

## Example (Christ-Rieffel 2017)

Let  $G$  be a countable discrete group, let  $\mathbb{L}$  be a proper length function on  $G$ , and let  $D_{\mathbb{L}} : C_c(G) \rightarrow \ell^2(G)$  be given by

$$(D_{\mathbb{L}}\xi)(g) := \mathbb{L}(g)\xi(g).$$

Then  $(C_r^*(G), \ell^2(G), D_{\mathbb{L}})$  is a metric spectral triple when  $\mathbb{L}$  has bounded doubling.

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## Definition

Let  $p \in [1, \infty)$  and let  $A$  be a Banach algebra. We say  $A$  is an  $L^p$ -operator algebra if there is a measure space  $(X, \mu)$  and an isometric homomorphism  $\varphi: A \rightarrow \mathcal{B}(L^p(\mu))$ .

Minor modifications to the classic definition give the following:

## Definition

Let  $p \in [1, \infty)$  and let  $(X, \mu)$  be a measure space. An  $L^p$ -spectral triple  $(A, L^p(\mu), D)$  consists of a unital  $L^p$ -operator algebra  $A$ , a unital isometric homomorphism  $\varphi: A \rightarrow \mathcal{B}(L^p(\mu))$ , and an unbounded operator  $D: \text{dom}(D) \subseteq L^p(\mu) \rightarrow L^p(\mu)$ , satisfying

- 1  $(I + D^2)^{-1}, (D - \lambda I)^{-1} \in \mathcal{K}(L^p(\mu))$  for all  $\lambda \in \mathbb{C} \setminus \sigma(D)$ ,
- 2  $\{a \in A: \|[D, \varphi(a)]\| < \infty\}$  is a norm dense subalgebra of  $A$ .

$S(A) := \{\omega \in A': \|\omega\| = \omega(1_A) = 1\}$  is the state space of a unital Banach algebra  $A$ . Thus, we will say  $(A, L^p(\mu), D)$  is a *metric  $L^p$ -spectral triple* when the  $\text{mk}_D$ -metric topology on  $S(A)$  agrees with the weak-\* topology.

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Let  $p \in [1, \infty)$  and let  $G$  be a discrete group. Then  $\ell^1(G)$  acts on  $\ell^p(G)$  as left convolution operators. That is,  $\lambda_p: \ell^1(G) \rightarrow \mathcal{B}(\ell^p(G))$  is given by

$$(\lambda_p(a)b)(g) = \sum_{h \in G} a(h)b(h^{-1}g)$$

### Definition

For  $p \in [1, \infty)$ , the *reduced  $L^p$ -operator algebra* of  $G$  is

$$F_r^p(G) = \overline{\lambda_p(\ell^1(G))} \subseteq \mathcal{B}(\ell^p(G))$$

**Fact:**  $F_r^2(G) = C_r^*(G)$ .

### Theorem (D., Farsi, Packer (2025))

Let  $p \in [1, \infty)$ , let  $G$  be a countable discrete group, and let  $\mathbb{L}$  be a proper length function on  $G$ . Then,  $(F_r^p(G), \ell^p(G), D_{\mathbb{L}})$  is an  $L^p$ -spectral triple

Quantum Compact Metrics on  $S(F_r^p(\mathbb{Z}))$ 

For  $\beta \in (0, 1]$  define  $\mathbb{L}_\beta: \mathbb{Z} \rightarrow \mathbb{R}_{\geq 0}$  by  $\mathbb{L}_\beta(n) := |n|^\beta$ .

## Theorem (Rieffel (2002))

Let  $\omega$  be a translation-bounded function on  $\mathbb{Z}$  such that  $\omega(0) = 0$ . If  $\mathbb{L}_\beta/\omega$  is a bounded function (ignoring  $n = 0$ ) for some  $\beta$  with  $1/2 < \beta \leq 1$ , then  $\text{mk}_{D_\omega}$  is a quantum compact metric on the state space of  $C_r^*(\mathbb{Z}) = C(\mathbb{T})$ .

## Theorem (D., Farsi, Packer (2025))

Let  $\frac{1}{p} + \frac{1}{q} = 1$ , and let  $\omega$  be a translation-bounded function on  $\mathbb{Z}$  such that  $\omega(0) = 0$ . If  $\mathbb{L}_\beta/\omega$  is a bounded function (ignoring  $n = 0$ ) for some  $\beta$  with  $1/q < \beta \leq 1$ , then  $\text{mk}_{D_\omega}$  is a quantum compact metric on the state space of  $F_r^p(\mathbb{Z})$ .

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# Christensen-Ivan AF Metric Spectral Triples

Let  $A = \lim_{\rightarrow} A_n \subseteq \mathcal{B}(\mathcal{H})$  an infinite dimensional unital  $C^*$  AF-algebra with  $A_n \subseteq \mathcal{B}(\mathcal{H}_n)$  and  $\mathcal{H}_n \subseteq \mathcal{H}$ . Let  $P_n: \mathcal{H} \rightarrow \mathcal{H}_n$  and  $\alpha = (\alpha_n)_{n=0}^{\infty}$  a sequence in  $\mathbb{R}_{\geq 0}$  with  $\alpha_0 = 0$ . Put

$$D_{\alpha} = \sum_{n=1}^{\infty} \alpha_n (P_n - P_{n-1}).$$

## Theorem (Christensen, Ivan (2006))

*There exists  $\alpha = (\alpha_n)_{n=0}^{\infty}$ , a sequence in  $\mathbb{R}_{\geq 0}$ , with  $\alpha_0 = 0$  such that  $(A, \mathcal{H}, D_{\alpha})$  is a metric spectral triple.*

The proof relies on the GNS construction and the existence of a separating and cyclic vector on  $\mathcal{H}$ .

# $L^p$ UHF-algebras

General (spatial)  $L^p$  AF-Algebras were recently defined and classified by N. C. Phillips and M. G. Viola, but we need more structure on the acting  $L^p$ -spaces. A concrete and more manageable case is the UHF one:

## Definition

Let  $d: \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Z}_{\geq 2}$  be a sequence. An  $L^p$  UHF-algebra of infinite tensor product type is the direct limit of  $((A_n)_{n=0}^{\infty}, (\varphi_{m,n})_{0 \leq n \leq m})$  where each  $A_n$  is an  $L^p$ -operator algebra that acts on a probability space and such that each  $A_n$  algebraically isomorphic to  $M_n$  where

$$M_n := \mathbb{M}_{d(0)\dots d(n)}(\mathbb{C}) \cong \bigotimes_{j=0}^n \mathbb{M}_{d(j)}(\mathbb{C}).$$

Fix  $p \in [1, \infty)$  and  $d: \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Z}_{\geq 2}$ . Let  $(X_n, \mu_n)_{n=0}^{\infty}$  be probability spaces and let  $(\rho_j)_{j=0}^{\infty}$  be unital algebra homomorphism

$$\rho_j: \mathbb{M}_{d(j)}(\mathbb{C}) \rightarrow \mathcal{B}(L^p(X_j, \mu_j)).$$

In addition, we assume that for each  $j \in \mathbb{Z}_{\geq 1}$

- 1  $X_j$  consists of finitely many points and is a compact metric space with metric  $\text{dist}_j: X_j \times X_j \rightarrow \mathbb{R}_{\geq 0}$  bounded by 1,

and in particular for  $j = 0$

- 2  $L^p(X_0, \mu_0) = \ell_1^p = \mathbb{C}$  and  $\rho_0(z)\zeta = z\zeta$  for any  $z, \zeta \in \mathbb{C}$ .

Now, for each  $m \in \mathbb{Z}_{\geq 0}$ , we define

$$(X_{\leq m}, \mu_{\leq m}) := \prod_{j=0}^m (X_j, \mu_j), \quad (X_{\geq m}, \mu_{\geq m}) := \prod_{j=m}^{\infty} (X_j, \mu_j).$$

On  $M_n$  we define  $\|a_0 \otimes \dots \otimes a_n\| := \|\rho_0(a_0) \otimes_p \dots \otimes_p \rho_n(a_n)\|$ , which makes  $M_n$  an  $L^p$ -operator algebra acting on  $L^p(\mu_{\leq n})$ . Filling in tensor identities, we get isometric embeddings  $\rho_{n,\infty}: M_n \rightarrow \mathcal{B}(L^p(\mu_{\geq 0}))$ .

$$A(\rho, d) := \overline{\bigcup_{j=0}^{\infty} \rho_{j,\infty}(M_j)} \subseteq \mathcal{B}(L^p(\mu_{\geq 0})).$$



Metric  $L^p$  UHF triple

With  $(X_n, \mu_n)_{n=0}^\infty$  as before, we define

- $\iota_n: L^p(\mu_{\leq n}) \rightarrow L^p(\mu_{\geq 0})$  by  $(\iota_n \xi)(x_0, \dots, x_n, \mathbf{x}) := \xi(x_0, \dots, x_n)$ ,
- $\pi_n: L^p(\mu_{\geq 0}) \rightarrow L^p(\mu_{\leq n})$  by

$$(\pi_n \eta)(x_0, \dots, x_n) := \int_{X_{\geq n+1}} \eta(x_0, \dots, x_n, \mathbf{x}) d\mu_{\geq n+1}(\mathbf{x}),$$

- $P_n: L^p(\mu_{\geq 0}) \rightarrow L^p(\mu_{\geq 0})$  by  $P_n := \iota_n \circ \pi_n$ .

**Facts:**  $P_n P_m = P_m P_n = P_n$  for  $m \in \mathbb{Z}_{\geq n}$ ,  $\|P_n\| = 1$ ,  $P_n \xrightarrow{\text{SOT}} \text{Id}_{\geq 0}$ , and for any  $a \in \rho_{n, \infty}(M_n)$ , if  $m \in \mathbb{Z}_{\geq n}$ ,  $a P_m = P_m a$ .

## Theorem (D., Farsi, Packer (2025))

There exists  $\alpha = (\alpha_n)_{n=0}^\infty$ , a sequence in  $\mathbb{R}_{\geq 0}$ , with  $\alpha_0 = 0$  such that  $(A(d, \rho), L^p(\mu_{\geq 0}), D_\alpha)$  is a metric  $L^p$ -spectral triple, where

$$D_\alpha = \sum_{n=1}^{\infty} \alpha_n (P_n - P_{n-1}).$$

Thank you!  
Questions?