Equivalence theorems for Banach algebras of étale groupoids.

Alonso Delfín Joint work (in progress) with Yeong Chyuan Chung and Zhen Wang

CU Boulder

March 2 NCG Festival Boulder 2025

- Morita Equivalent Banach Algebras
- 2 Topological Groupoids
- 3 Banach algebras of étale groupoids
- 4 Equivalence Theorems

- Morita Equivalent Banach Algebras
- 2 Topological Groupoids
- Banach algebras of étale groupoids
- 4 Equivalence Theorems

Banach Pairs

Fix a Banach algebra B.

A (right) Banach *B*-module is a Banach space X that is a (right) *B*-module and such that $||xb||_X \le ||x||_X ||b||_B$ for all $x \in X$, $b \in B$.

Definition

A Banach B-pair is a pair (X,Y) such that X is a left Banach B-module, Y is a right Banach B-module, and there is a \mathbb{C} -bilinear pairing $\langle - \mid - \rangle_B \colon X \times Y \to B$ satisfying

- $\bullet \langle bx \mid y \rangle_B = b \langle x \mid y \rangle_B$
- $\bullet \ \|\langle x \mid y \rangle_B\|_B \leq \|x\|_{\mathsf{X}} \|y\|_{\mathsf{Y}}.$

We say (X,Y) is *nondegenerate* when $BX \subseteq X$ and $YB \subseteq Y$ are both dense subspaces. We say (X,Y) is full when $\langle X \mid Y \rangle_B \subseteq B$ is dense.

If A is a C*-algebra and X a right Hilbert A-module, then (\widetilde{X}, X) is a Banach A-pair.

Banach Correspondences

For a (right) Banach B-module X, we denote $\operatorname{Hom}(X_B) \subseteq \mathcal{L}(X)$ to the algebra of bounded (right) B-module homomorphisms $X \to X$. For a Banach B-pair (X,Y), we define the Banach algebra $\mathcal{L}_B((X,Y))$ by

$$\mathcal{L}_{B}((\mathsf{X},\mathsf{Y})) = \{(t,s) \colon \langle t(x) \mid y \rangle_{B} = \langle x, s(y) \rangle_{B} \} \subseteq \mathsf{Hom}(_{B}\mathsf{X}) \times \mathsf{Hom}(\mathsf{Y}_{B})^{\mathsf{op}}$$

Definition

Let A and B be Banach algebras. We say $((X,Y), \varphi_A)$ is a Banach (A,B)-correspondence if (X,Y) is a Banach B-pair (X,Y) and $\varphi_A \colon A \to \mathcal{L}_B \big((X,Y) \big)$ is a contractive algebra homomorphism.

Let $((X,Y), \varphi_A)$ be a Banach (A,B)-correspondence. For each $a \in A$ put $\varphi_A(a) = (t_a,s_a)$ and denote $x \cdot a = t_a(x)$ and $a \cdot y = s_a(y)$. Then X is a B-A Banach bimodule, Y is an A-B Banach bimodule, and

$$\langle x \cdot a \mid y \rangle_B = \langle x \mid a \cdot y \rangle_B$$

Morita Equivalence

Definition (V. Lafforgue (2002))

Two Banach algebras A and B are Morita Equivalent if there are Banach bimodules $X = {}_{B}X_{A}$, $Y = {}_{A}Y_{B}$, and bilinear pairings

$$\langle - \mid - \rangle_B \colon \mathsf{X} \times \mathsf{Y} \to B \text{ and } (- \mid -)_A \colon \mathsf{Y} \times \mathsf{X} \to A \text{ such that}$$

- (X,Y) with $\langle | \rangle_B$ is a Banach (A,B)-correspondence that is full and nondegenerate as a Banach B-pair,
- (Y,X) with $(-\mid -)_A$ is a Banach (B,A)-correspondence that is full and nondegenerate as a Banach A-pair,
- $\langle x_1 \mid y \rangle_B \cdot x_2 = x_1 \cdot (y \mid x_2)_A$ for all $x_1, x_2 \in X$, $y \in Y$,
- $y_1 \cdot \langle x \mid y_2 \rangle_B = (y_1 \mid x)_A \cdot y_2$ for all $x \in X$, $y_1, y_2 \in Y$.

Example $(p \in (1, \infty), q = \frac{p}{p-1}, \text{ and } (\Omega, \Sigma, \mu) \text{ a measure space})$

Then $\mathcal{K}(L^p(\mu))$ and \mathbb{C} are Morita equivalent Banach algebras via the modules $\mathsf{X} = L^q(\mu)$, $\mathsf{Y} = L^p(\mu)$ and the pairings

$$\langle \eta \mid \xi \rangle_{\mathbb{C}} = \int \eta \xi d\mu, \quad (\xi \mid \eta)_{\mathcal{K}(L^p(\mu))} = (\zeta \mapsto \xi \langle \eta \mid \zeta \rangle_{\mathbb{C}})$$

Linking Algebra

Let A and B be Morita Equivalent Banach algebras via the pair $(X,Y) = ({}_BX_A, {}_AY_B)$.

Definition

The Linking algebra is the Banach space $L=A\oplus X\oplus Y\oplus B$ where the multiplication is given by formal matrix multiplication when seeing elements in L as 2×2 matrices in

$$L = \begin{bmatrix} A & \mathsf{Y} \\ \mathsf{X} & B \end{bmatrix}.$$

That is,

$$\begin{bmatrix} a_1 & y_1 \\ x_1 & b_1 \end{bmatrix} \begin{bmatrix} a_2 & y_2 \\ x_2 & b_2 \end{bmatrix} = \begin{bmatrix} a_1 a_2 + (y_1 \mid x_2)_A & a_1 \cdot y_2 + y_1 \cdot b_2 \\ x_1 \cdot a_2 + b_1 \cdot x_2 & \langle x_1 \mid y_2 \rangle_B + b_1 b_2 \end{bmatrix}.$$

In fact, if $(X,Y) \oplus B = ({}_{B}X \oplus {}_{B}B, Y_{B} \oplus B_{B})$, then

$$L = \mathcal{K}_B((\mathsf{X},\mathsf{Y}) \oplus B) \subseteq \mathcal{L}_B((\mathsf{X},\mathsf{Y}) \oplus B).$$

- Morita Equivalent Banach Algebras
- 2 Topological Groupoids
- Banach algebras of étale groupoids
- 4 Equivalence Theorems

Étale Groupoids

We fix a grouopoid G with set of composable pairs $G^{(2)} \subseteq G \times G$ and unit space $G^{(0)} = \{ \gamma \in G \colon \gamma^{-1} = \gamma = \gamma^2 \}$. Recall that the range and source maps $r,s \colon G \to G^{(0)}$ are given by $r(\gamma) = \gamma \gamma^{-1}$, $s(\gamma) = \gamma^{-1} \gamma$.

- G is a topological groupoid when G is a topological space such that $\gamma \mapsto \gamma^{-1}$ is a continuous map from G to G, and $(\gamma, \eta) \mapsto \gamma \eta$ is continuous map from $G^{(2)}$ to G.
- G is called étale if G is locally compact, locally Hausdorff, and in addition both s and r are local homeomorphisms.

The condition of being étale implies that both $G_u := s^{-1}(u)$ and $G^u := r^{-1}(u)$ are countable discrete spaces for each $u \in G^{(u)}$.

Thus, for an étale gruopoid G we think of both G_u and G^u as measure spaces equipped with counting measure.

Throughout the talk G will be locally compact, Hausdorff, and étale.

Definition

A left G-space is a locally compact Hausdorff space Z together with a continous open map $r_Z\colon Z\to G^{(0)}$ and a continuous map $(\gamma,z)\mapsto \gamma\cdot z\in Z$ defined on $G*Z=\{(\gamma,z)\colon s(\gamma)=r_Z(z)\}$, such that

- $\textbf{ if } (\gamma',\gamma) \in G^{(2)} \text{ and } (\gamma,z) \in G*Z \text{, then } (\gamma',\gamma \cdot z) \in G*Z \text{ and } (\gamma'\gamma) \cdot z = \gamma' \cdot (\gamma \cdot z).$
 - We say Z is free if $\gamma \cdot z = z$ implies $\gamma = r_Z(z)$;
 - We say Z is proper if the map $\Theta: G*Z \to Z\times Z$ given by $\Theta(\gamma,z)=(\gamma\cdot z,z)$ is a proper map of G*Z into $Z\times Z$, i.e., Θ is a closed map such that the inverse image of compact sets are compact.

Right G-spaces are defined similarly except that the structure map is denoted by s_Z instead of r_Z .

Groupoid Equivalences

Definition

Let G and H be groupoids. A (G,H)-equivalence is a space Z such that

- Z is a free and proper left G-space;
- $extbf{2}$ Z is a free and proper right H-space;
- the actions of G and H on Z commute;
- r_Z induces a homeomorphism of Z/H onto $G^{(0)}$;
- s_Z induces a homeomorphism of $G \setminus Z$ onto $H^{(0)}$.

Let Z be a (G, H)-equivalence.

ullet There is a continuous map $Z*_sZ o G$, $(z_1,z_2)\mapsto {}_G[z_1,z_2]$,

$$_{G}[z_{1},z_{2}]\cdot z_{2}=z_{1}\;\forall\;(z_{1},z_{2})\in Z\ast_{s}Z.$$

• There is a continuous map $Z *_r Z \to G$, $(z_1, z_2) \mapsto [z_1, z_2]_H$,

$$z_1 \cdot [z_1, z_2]_H = z_2 \ \forall \ (z_1, z_2) \in Z *_r Z.$$

The linking groupoid

Define the opposite space of a (G,H)-equivalence Z to be a copy $Z^{\mathrm{op}}:=\{z:z\in Z\}$ of Z with the structure of a (H,G)-equivalence determined by

$$r(\bar{z}) = s(z), s(\bar{z}) = r(z), \eta \cdot \bar{z} = \overline{z \cdot \eta^{-1}}, \bar{z} \cdot \gamma = \overline{\gamma^{-1} \cdot z}.$$

Definition

The linking groupoid K of of a (G,H)-equivalence Z is defined as the disjoint union $K=G\sqcup Z^{\mathrm{op}}\sqcup Z\sqcup H.$

K is a locally compact Hausdorff groupoid, and the groupoid operations are the ones inherited from G, Z^{op}, Z , and H:

- $K^{(0)} = G^{(0)} \sqcup H^{(0)}$,
- source and range are the inherited ones,
- ullet multiplication and inversion restrict to the ones on G and H, and obey

$$z_1\overline{z_2} = {}_G[z_1,z_2], \ z_1\overline{z_2} = [z_1,z_2]_H, \ z^{-1} = \overline{z}, \ \text{and} \ \overline{z}^{-1} = z.$$

- Morita Equivalent Banach Algebras
- 2 Topological Groupoids
- 3 Banach algebras of étale groupoids
- 4 Equivalence Theorems

Banach groupoid algebras

Recall G will be locally compact, Hausdorff, and étale.

$$C_c(G) := \{ f \colon G \to \mathbb{C} \colon f \text{ is continous and has compact support} \}$$

We get a convolution product that makes $C_c(G)$ into an algebra:

$$(f * g)(\gamma) = \sum_{\{\eta \in G: \, r(\eta) = r(\gamma)\}} f(\eta)g(\eta^{-1}\gamma).$$

There's three submultiplicative norms on $C_c(G)$:

$$||f||_{I,s} = \sup_{u \in G^{(0)}} \sum_{\gamma \in G_u} |f(\gamma)|, \quad ||f||_{I,r} = \sup_{u \in G^{(0)}} \sum_{\gamma \in G^u} |f(\gamma)|,$$

and $||f||_I = \max\{||f||_{I,s}, ||f||_{I,r}\}$. The completions of $C_c(G)$ with respect to these norms are respectively denoted by

$$F_{I,s}(G)$$
, $F_{I,r}(G)$, and $F_{I}(G)$.

Reduced L^p groupoid algebras

Fix $p \in [1, \infty]$. Each $u \in G^{(0)}$ induces a representation of $C_c(G)$ on $\ell^p(G_u)$, denoted $\mathrm{Ind}_u \colon C_c(G) \to \mathcal{L}(\ell^p(G_u))$, and defined by

$$[(\operatorname{Ind}_{u}f)\xi](\gamma) = \sum_{\{\eta \in G: \, r(\eta) = r(\gamma)\}} f(\eta)\xi(\eta^{-1}\gamma)$$

for every $f \in C_c(G)$, $\xi \in \ell^p(G_u)$, and $\gamma \in G_u$. This gives the *p-reduced* norm on $C_c(G)$:

$$||f||_{p,\text{red}} = \sup_{u \in G^{(0)}} ||\text{Ind}_u f||_{\mathcal{L}(\ell^p(G_u))}.$$

We denote by $F_{\text{red}}^p(G)$ to the completion of $C_c(G)$ w.r.t. $||f||_{p,\text{red}}$.

Proposition

If $p \in (1, \infty)$ and p' is its Hölder conjugate, then

$$||f||_{p,\text{red}} \le ||f||_{I,s}^{1/p} ||f||_{I,r}^{1/p'} \le ||f||_{I}$$

Proof. Apply the Riesz-Thorin interpolation theorem.

Full L^p groupoid algebras and amenability

Let \mathcal{R}_p be the class of all contractive representations of $(C_c(G), \|-\|_I)$ on L^p spaces. Put

$$||f||_{L^p} = \sup_{\varphi \in \mathcal{R}_p} ||\varphi(f)||.$$

 $F^p(G)$ is defined as the completion of $C_c(G)$ w.r.t. $||f||_{L^p}$.

Proposition

$$F^1_{\mathrm{red}}(G) = F^1(G) = F_{I,s}(G)$$
 and $F^{\infty}_{\mathrm{red}}(G) = F^{\infty}(G) = F_{I,r}(G)$.

Theorem (Gardella-Lupini (2017))

If G is an amenable groupoid, then $F_{\text{red}}^p(G)$ and $F^p(G)$ are p-completely isometrically isomorphic.

- Morita Equivalent Banach Algebras
- 2 Topological Groupoids
- Banach algebras of étale groupoids
- 4 Equivalence Theorems

Known results

Theorem (P. Mulhy, J. Renault, D.P. Williams (1987))

If G and H are equivalent groupoids, then $F^2(G)$ and $F^2(G)$ are Morita equivalent C*-algebras.

Theorem (Known since 1983, proved A. Sims, D.P. Williams (2012))

If G and H are equivalent groupoids, then $F^2_{\rm red}(G)$ and $F^2_{\rm red}(G)$ are Morita equivalent C^* -algebras.

Theorem (W. Paravicini (2008))

If G and H are equivalent groupoids, then $F_I(G)$ and $F_I(H)$ are Morita equivalent Banach algebras.

Unconditional Completions

An unconditional completion $\mathcal{A}(G)$ of $C_c(G)$ is a Banach algebra containing $C_c(G)$ as a dense subalgebra and having the property

$$|f(\gamma)| \le |g(\gamma)| \ \forall \gamma \in G \implies ||f||_{\mathcal{A}(G)} \le ||g||_{\mathcal{A}(G)}$$

for all $f,g \in C_c(G)$.

Theorem (W. Paravicini (2008))

If G and H are equivalent groupoids, then A(G) and A(H) are Morita equivalent Banach algebras.

Unfortunately, the algebras $F^p_{\mathrm{red}}(G)$ and $F_p(G)$ are not generally unconditional completions of $C_c(G)$. They are when $p=1,\infty$, but we have counterexamples for all other $p\in(1,\infty)$.

Equivalence Theorem for $F^p_{\text{red}}(G)$

Theorem (Chung, D., Wang (2025))

Let $p \in (1, \infty)$. If G and H are equivalent groupoids, then $F_{\mathrm{red}}^p(G)$ and $F_{\mathrm{red}}^p(G)$ are Morita equivalent L^p -operator algebras.

Proof idea: Given a (G,H) equivalence Z, the space $C_c(Z)$ has a natural structure of a $C_c(G)$ - $C_c(H)$ -bimodule (actions being left and right translation). Define $\langle - | - \rangle_{C_c(H)} \colon C_c(Z^{\operatorname{op}}) \times C_c(Z) \to C_c(H)$ by

$$\langle \phi \mid \psi \rangle_{C_c(H)}(\eta) = \sum_{r(\gamma) = r_Z(z)} \phi(\overline{\gamma^{-1} \cdot z}) \psi(\gamma^{-1} \cdot z \cdot \eta),$$

for any
$$s_Z(z) = r(\eta)$$
. Also $(-\mid -)_{C_c(G)} : C_c(Z) \times C_c(Z^{\mathrm{op}}) \to C_c(G)$ by

$$(\psi \mid \phi)_{\mathcal{C}_c(G)}(\gamma) = \sum_{r(\eta) = s_Z(z)} \psi(z \cdot \eta) \phi(\overline{\gamma^{-1} \cdot z \cdot \eta}),$$

for any
$$r_Z(z) = s(\gamma)$$
.

Next, we realize both $C_c(Z^{op})$ and $C_c(Z)$ as p-operator spaces, and complete them in the p-operator norm. The respective completions X_Z and Y_Z are now our candidate to implement the Morita equivalence between $F^p_{\rm red}(G)$ - $F^p_{\rm red}(H)$. Indeed, we have

$$\left\| \begin{bmatrix} f & 0 \\ 0 & 0 \end{bmatrix} \right\|_{F^p_{\text{red}}(K)} = \|f\|_{F^p_{\text{red}}(G)}, \ \left\| \begin{bmatrix} 0 & 0 \\ 0 & g \end{bmatrix} \right\|_{F^p_{\text{red}}(K)} = \|g\|_{F^p_{\text{red}}(H)},$$

and $C_c(G)$ contains an approximate identity $(e_\lambda)_{\lambda\in\Lambda}$ with respect to the inductive limit topology for both the convolution product on $C_c(G)$ and the actions of $C_c(G)$ on $C_c(Z)$ and $C_c(Z^{\operatorname{op}})$, where each e_λ is a finite sum of elements of the form $(\psi\mid\phi)_{C_c(G)}$.

Consequences

Suppose that G and H are countable discrete groups acting freely and properly on the left and right, respectively, of a compact Hausdorff space Z, such that the actions commute.

Then the transformation groupoids $G \rtimes Z/H$ and $H \rtimes G \backslash Z$ are equivalent and therefore

$$F_{\text{red}}^p(G \rtimes Z/H) \cong_{\mathbf{M}} F_{\text{red}}^p(H \rtimes G \backslash Z)$$

A result by Y. Choi, E. Gardella, and H. Thiel shows that for any $p \in [1,\infty)$, the algebra $F^p_{\mathrm{red}}(G \rtimes X)$ is isometrically isomorphic to the crossed product $F^p_{\mathrm{red}}(G,C(X))$. Thus,

$$F_{\text{red}}^p(G, C(Z/H)) \cong_{\text{M}} F_{\text{red}}^p(H, C(G\backslash Z)),$$

which is a p-version of the Green-Rieffel imprimitivity theorem.

What's Next?

- The main result is likely to hold also when G and H are locally Hausdorff. This is more technical to prove.
- Is $F_{\text{red}}^p(K)$ isometrically isomorphic to the Linking algebra L of the equivalence bimodule (X_Z, Y_Z) between $F_{\text{red}}^p(G)$ and $F_{\text{red}}^p(H)$?
- For the full algebra case, so far we only know

$$\left\|\begin{bmatrix}f&0\\0&0\end{bmatrix}\right\|_{F^p(K)}\leq \|f\|_{F^p(G)},\ \left\|\begin{bmatrix}0&0\\0&g\end{bmatrix}\right\|_{F^p_{\mathrm{red}}(K)}\leq \|g\|_{F^p(H)}.$$

When p=2, the reverse inequality comes Renault's disintegration theorem. We don't have a version of this for $p \neq 2$.

Thank you! Questions?