

# Reductions on Equivalence Relations Generated by Universal Sets

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# Outline

- 1 Equivalence Relations and Various Reductions
- 2 Equivalence Relations Generated by Universal Sets

# Reduction

## Definition

Let  $E, F$  be two equivalence relations on  $X, Y$  respectively, a function  $f : X \rightarrow Y$  is a **reduction** from  $E$  to  $F$  if

$$x_1 E x_2 \iff f(x_1) F f(x_2)$$

for all  $x_1, x_2 \in X$ .

## Fact (AC)

*The choice function  $f : X/E \rightarrow X$  is a reduction from  $id(X/E)$  to  $E$ , and  $E \leq F$  if and only if  $X/E$  embeds into  $Y/F$ .*

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# Polish space

## Definition

**Polish space:** a separable, completely metrizable topological space.

From now on,  $X, Y$  are Polish spaces.

## Example

- (1) separable Banach spaces:  $\mathbb{R}^n_{std}$ ,  $l^p$  ( $1 \leq p < \infty$ ),  
 $(C[0, 1], \|\cdot\|_{sup})$ .
- (2)  $[0, 1]_{std}$ ,  $(K([0, 1]), d_H)$ .

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# Borel hierarchy

## Definition

$\mathbf{B}(X)$ : *Borel sets* of  $X$  is the  $\sigma$ -algebra generated by the open sets of  $X$ .

$$\Sigma_1^0 = \text{open}, \quad \Pi_1^0 = \text{closed};$$

for  $1 \leq \alpha < \omega_1$ ,

$$\Sigma_\alpha^0 = \left\{ \bigcup_{n \in \omega} A_n : A_n \in \Pi_{\alpha_n}^0, \alpha_n < \alpha \right\};$$

$\Pi_\alpha^0 =$  the complements of  $\Sigma_\alpha^0$  sets;

$$\Delta_\alpha^0 = \Sigma_\alpha^0 \cap \Pi_\alpha^0.$$



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Below  $\Sigma_2^1$  and  $\Pi_2^1$ 

Let  $A \subseteq X$ ,

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**$\Sigma_1^1$  set:**  $A$  is  $\Sigma_1^1$  if it is a continuous image of some Polish space.

**$\Pi_1^1$  set:**  $A$  is  $\Pi_1^1$  if  $X \setminus A$  is  $\Sigma_1^1$ .

**$\sigma(\Sigma_1^1)$  set:** the  $\sigma$ -algebra generated by the  $\Sigma_1^1$  set.

**$\Sigma_2^1$  set:**  $A$  is  $\Sigma_2^1$  if it is a continuous image of some  $\Sigma_1^1$  set.

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**$\Delta_2^1$  set:**  $A$  is  $\Delta_2^1$  if it is both  $\Sigma_2^1$  and  $\Pi_2^1$ .

### Theorem (Suslin)

$A \subseteq X$  is Borel iff it is both  $\Sigma_1^1$  and  $\Pi_1^1$ .

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## BP sets

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**nowhere dense**: if the closure of  $A$  has no interior,

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## various reduction

## Definition

Assume  $X, Y$  are Polish spaces,  $\Gamma$  is a pointclass on  $X$ , a function  $f : X \rightarrow Y$  is called  $\Gamma$ -measurable if  $f^{-1}(U) \in \Gamma$  for each open set  $U \in \Gamma$ .

Let  $E, F$  be equivalence relations on  $X, Y$  respectively.

$E \leq_B F$  : there is a Borel reduction from  $E$  to  $F$ ;

$E \leq_{\sigma(\Sigma_1^1)} F$  : there is a  $\sigma(\Sigma_1^1)$ -measurable reduction from  $E$  to  $F$ ;

$E \leq_{\sigma(\Delta_1^1)} F$  : there is a  $\sigma(\Delta_1^1)$ -measurable reduction from  $E$  to  $F$ ;

$E \leq_{BP} F$  : there is a Baire reduction from  $E$  to  $F$ .

Also, we denote  $E <_{\Gamma} F$  if  $E \leq_{\Gamma} F$  and  $\neg(F \leq_{\Gamma} E)$ , denote  $E \sim_{\Gamma} F$  if  $E \leq_{\Gamma} F$  and  $F \leq_{\Gamma} E$ , for pointclass  $\Gamma$ .

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# classical equivalence relations

## Definition

$E$  is a **smooth equivalence relation** if  $E \leq_B id(\mathbb{R})$ .

$E_0$  on the Baire space  $\omega^\omega$  is defined by:

$$xE_0y \iff \exists n \forall m \geq n (x(m) = y(m))$$

## Theorem (Harrington-Kechris-Louveau)

If  $E$  is a Borel equivalence relation on  $X$ , then exactly one of the following is true:

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# Relationships between various reductions & examples

It's trivial that:

$$E \leq_B F \implies E \leq_{\sigma(\Sigma_1^1)} F \implies E \leq_{BP} F$$

But consider:

$$E \leq_B F \iff E \leq_{\sigma(\Sigma_1^1)} F?$$

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## 1st Example

Theorem (Harrington-Kechris-Louveau)

Let  $E$  be a Borel equivalence relation, then

$$E \leq_B id(\mathbb{R}) \iff E \leq_{\sigma(\Sigma_1^1)} id(\mathbb{R}),$$

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# Relationships between various reductions & examples

## 2nd example

Recall that  $E_\infty \subseteq 2^{\mathbb{F}_2} \times 2^{\mathbb{F}_2}$  is the equivalence relation defined by:

$$xE_\infty y \iff \exists z \in \mathbb{F}_2 (x = zy)$$

Fact

$E_\infty$  is a universal countable equivalence relation and  $E_0 <_B E_\infty$ .

Theorem (Sullivan-Weiss-Wright)

$$E_0 \sim_{BP} E_\infty$$

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## 3rd example

Given an equivalence relation  $E$  on  $X$ , the Friedman-Stanley jump  $E^+$  on  $X^\omega$  is defined by:

$$xE^+y \iff \forall n \exists m [x(n)Ey(m)] \wedge \forall i \exists j [x(j)Ey(i)].$$

Theorem (Friedman-Stanley)

*If  $E$  is Borel and has more than one equivalence classes, then  $E <_B E^+$ .*

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# Universal Set

## Definition

Let  $\Gamma$  be a pointclass of  $Y$ , and  $A \subseteq X \times Y$ . We say  $A$  is *universal* for  $\Gamma$  if  $\Gamma = \{A_x : x \in X\}$ .

If also  $x \neq y$  implies  $A_x \neq A_y$ , we say  $A$  is *uniquely universal* for  $\Gamma$ .

## Theorem (folklore)

*Every Borel pointclass  $\Sigma_\xi^0$  ( $\Pi_\xi^0$ ) has a Borel universal.*

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Complexity of  $E_A$ 

For  $A \subseteq X \times Y$ , denote  $A_x = \{y : (x, y) \in A\}$ .

## Definition

For any set  $A \subseteq X \times Y$ , define an equivalence relation  $E_A$  on  $X$  as

$$xE_Ax' \iff A_x = A_{x'}.$$

## Theorem

*Let  $A \subseteq X \times Y$  be a  $\Sigma_n^1$  set universal for all nonempty closed subsets of  $Y$ . Then  $E_A$  is  $\Pi_{n+1}^1$  and  $E_A \leq_{\sigma(\Sigma_n^1)} \text{id}(2^\omega)$ .*

*If  $A$  is Borel, then  $E_A$  is  $\Pi_1^1$ .*



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## Fact

*There exists a Borel set universal for all the countable subsets of Polish space  $X$*

Let  $A \subseteq X \times Y$  be universal for countable subsets of  $Y$ .

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- (1)  $A$  is  $\Sigma_1^1$ , then  $E_A$  is  $\sigma(\Sigma_1^1)$ ,  $E_A \leq_{\sigma(\Sigma_1^1)} =^+$  and  $=^+ \leq_{\Delta_2^1} E_A$ .
- (2)  $A$  is Borel, then  $E_A$  is Borel,  $E_A \leq_B =^+$  and  $=^+ \leq_{\sigma(\Sigma_1^1)} E_A$ .

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Independent Results on  $\Delta_2^1$ -reduction of  $E_A$ 

## Fact

Assume  $V = L$ . If  $E \subseteq X \times X$  is a  $\Delta_2^1$  equivalence relation, then  $E \leq_{\Delta_2^1} id(\mathbb{R})$

Let  $A \subseteq X \times Y$  be a  $\Sigma_1^1$  set universal for countable sets of  $Y$ .

## Corollary

Assume  $V = L$ . Then  $E_A \leq_{\Delta_2^1} id(\mathbb{R})$ .

## Theorem

Assume that every  $\Delta_2^1$  set has BP. If  $E$  is a  $\Sigma_3^0$  equivalence relation (say,  $id(\mathbb{R})$ ,  $E_0$ ,  $E_1$ ,  $E_\infty$ ), then  $E_A \not\leq_{\Delta_2^1} E$ .

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