

# Filtered Boolean powers and their automorphism groups

Peter Mayr

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Mathematics  
UNIVERSITY OF COLORADO **BOULDER**

Joint work with Nik Ruškuc (University of St Andrews)

# Outline

1. varieties generated by simple Mal'cev algebras
2. properties of filtered Boolean powers

# 1. Varieties

# Primal algebras

A finite algebra  $\mathbf{A}$  is **primal** if any  $f: A^k \rightarrow A$  is a term function of  $\mathbf{A}$ .

## Example

- ▶ Boolean algebra of size 2
- ▶  $(\mathbb{Z}_p, +, \cdot, 0, 1)$  for  $p$  prime

Let  $V := V(\mathbf{A})$  be the variety generated by a primal  $\mathbf{A}$ .

- ▶  $V_{\text{fin}} = \{\mathbf{A}^k \mid k \in \mathbb{N}\}$
- ▶  $V =$  Boolean powers  $\mathbf{A}^{\mathbf{B}}$  (Foster 1953)
- ▶  $V$  is categorically equivalent to the variety of Boolean algebras (Hu 1969)

# Functionally complete algebras

A finite algebra  $\mathbf{A}$  is **functionally complete** if any  $f: A^k \rightarrow A$  is a **polynomial** function of  $\mathbf{A}$ .

## Example

- ▶ finite simple nonabelian groups
- ▶ finite fields

For Mal'cev algebras (having a ternary term  $m(x, y, y) = m(y, y, x) = x$ , e.g. (quasi)groups, rings, ...)

- ▶ functionally complete = simple nonabelian

Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra,  $V := V(\mathbf{A})$ ,  $W := V(\text{proper subalgebras of } \mathbf{A})$ .

- ▶  $V_{\text{fin}} = \{\mathbf{A}^k \times \mathbf{C} \mid k \in \mathbb{N}, \mathbf{C} \in W_{\text{fin}}\}$

## Finite direct powers

### Lemma (Foster, Pixley, Werner)

Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra,  $k, n \in \mathbb{N}$ .  
Then every homomorphism  $h: \mathbf{A}^k \rightarrow \mathbf{A}^n$  is of the form

$$h(x_1, \dots, x_k) = (\alpha_1(x_{i_1}), \dots, \alpha_n(x_{i_n}))$$

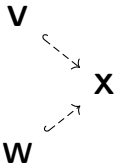
for  $\alpha_1, \dots, \alpha_n$  automorphisms or endomorphisms to a 1-element subalgebra of  $\mathbf{A}$ .

# Finite direct powers are (essentially) a Fraïssé class

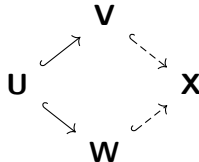
Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra.

$K := \{\mathbf{A}^k \mid k \in \mathbb{N}\}$  has

▶ the **joint embedding property (JEP)**,



▶ the **amalgamation property (AP)**,



▶ the **hereditary property (HP)** iff all proper subalgebras of  $\mathbf{A}$  are trivial.

**Note.** In general  $V(\mathbf{A})_{\text{fin}}$  does not have AP.

## Fraïssé limit

$K := \{\mathbf{A}^k \mid k \in \mathbb{N}\}$  for  $\mathbf{A}$  a finite simple nonabelian Mal'cev algebra

### Theorem (Fraïssé)

There exists a unique (up to isomorphism) countable algebra  $\mathbf{D} =: \text{Flim}K$ , the **Fraïssé limit** of  $K$ , such that

1. every finitely generated subalgebra of  $\mathbf{D}$  embeds into some element of  $K$ ,
2.  $\mathbf{D}$  is a direct limit of algebras in  $K$ ,
3. every isomorphism between subalgebras of  $\mathbf{D}$  that are in  $K$  extends to an automorphism of  $\mathbf{D}$  ( $K$ -homogeneous).

Moreover,  $\text{Flim}K$  is  $\omega$ -categorical.

### Question

What is  $\text{Flim}K$  explicitly?



## Filtered Boolean powers (Arens, Kaplansky 1948)

**A** algebra (with discrete topology),  
**B** Boolean algebra with Stone space  $X$ .

$$\mathbf{A}^{\mathbf{B}} := \{f: X \rightarrow A \mid f \text{ continuous}\} \leq \mathbf{A}^X$$

is a **Boolean power**.

$e$  is **idempotent** if  $\{e\} \leq \mathbf{A}$ .

For distinct  $x_1, \dots, x_n \in X$  and idempotents  $e_1, \dots, e_n$  in  $\mathbf{A}$ ,

$$(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n} := \{f \in \mathbf{A}^{\mathbf{B}} \mid f(x_i) = e_i \text{ for all } i\} \leq \mathbf{A}^X$$

is a **filtered Boolean power**.

# The Fraïssé limit as filtered Boolean power

Theorem (M, Ruškuc 2023)

For a finite simple nonabelian Mal'cev algebra  $\mathbf{A}$

$$\text{Flim}(\mathbf{A}^k \mid k \in \mathbb{N}) \cong (\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$$

where

- ▶  $e_1, \dots, e_n$  is the set of idempotents of  $\mathbf{A}$ ,
- ▶  $\mathbf{B}$  is the countable atomless Boolean algebra with distinct  $x_1, \dots, x_n$  in the Cantor space  $X$ .

Proof.

Check that  $(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  satisfies the defining properties of the Fraïssé limit. □

## Free algebras of countable rank in $V(\mathbf{A})$

Theorem (M, Ruškuc 2023; for groups Bryant, Groves 1991)

Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra,  $V := V(\mathbf{A})$ ,  $\theta$  minimal such that  $\mathbf{F}_V(\omega)/\theta$  is in  $W := V(\text{proper subalgebras of } \mathbf{A})$ . Then each  $\theta$ -class, which is a subalgebra of  $\mathbf{F}_V(\omega)$ , is isomorphic to

$$\text{Flim}(\mathbf{A}^k \mid k \in \mathbb{N}) \cong (\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}.$$

Proof.

Consider  $\mathbf{F}_V(\omega) \leq \mathbf{A}^{\mathbf{A}^{\mathbb{N}}}$ .

□

Corollary (M, Ruškuc 2023)

For  $\mathbf{A}$  a finite simple nonabelian group, loop, ring,

$$\mathbf{F}_V(\omega) \cong (\mathbf{A}^{\mathbf{B}})_{e_1}^{x_1} \rtimes \mathbf{F}_W(\omega).$$

## 2. Automorphisms of filtered Boolean powers

## Largeness in permutation groups

Let  $M$  be a countable infinite structure,  $G := \text{Aut}M$ .

- ▶  $G$  is a topological (Polish) group under **pointwise convergence**: basic open sets are cosets of stabilizers of finite tuples over  $M$

$$G_{m_1, \dots, m_k} := \{g \in G \mid g(m_i) = m_i \text{ for all } i \leq k\}.$$

- ▶  $M$  has the **small index property (SIP)** if each  $H \leq G$  of index  $< 2^{\aleph_0}$  is open.
- ▶  $G$  has **uncountable cofinality** if it is not a countable union of a chain of proper subgroups.
- ▶  $G$  has the **Bergman property** if for each generating set  $1 \in E = E^{-1}$  of  $G$  there exists  $k \in \mathbb{N}$  such that  $G = E^k$ .
- ▶  $G$  has **ample generics** if for each  $n \in \mathbb{N}$  the conjugacy action of  $G$  on  $G^n$  has a comeager orbit (i.e. one containing the intersection of countably many dense open subsets of  $G^n$ ).

## Theorem (Kechris, Rosendal 2007)

1. Ample generics imply SIP and uniqueness of the Polish topology on  $\text{Aut}M$ .
2. For  $\omega$ -categorical  $M$ , ample generics imply uncountable cofinality and the Bergman property for  $\text{Aut}M$ .

	SIP	uncountable cofinality	Bergman	ample generics
$\mathbb{N}$	Dixon Neumann Thomas '86	Macpherson Neumann '86	Bergman '06	Kechris Rosendal '07
random graph	Hodges Hodkinson Lascar Shelah '93	Hodges Hodkinson Lascar Shelah '93	Kechris Rosendal '07	Hrushovsky '92
$(\mathbb{Q}, \leq)$	Truss '89	Gourion '92	Droste Holland '05	no, Hodkinson
free group of rank $\omega$	Bryant Evans '97	Bryant Evans '97	Tolstykh '07	Bryant Evans '97
Cantor space	Truss '87	Droste Göbel '05	Droste Göbel '05	Kwiatkowska '12
$(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$	M Ruškuc '23	M Ruškuc '23	M Ruškuc '23	???

## $\omega$ -categorical filtered Boolean powers

A countable structure  $M$  is  $\omega$ -**categorical** if its theory has a unique countable model (up to isomorphism).

### Theorem

Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra,

$\mathbf{B}$  the countable atomless Boolean algebra.

Then any filtered Boolean power  $(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  is  $\omega$ -categorical.

### Proof.

By Macintyre, Rosenstein 1976

- ▶ the augmented Boolean algebra  $(\mathbf{B}, x_1, \dots, x_n)$  is  $\omega$ -categorical
- ▶ and hence  $(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  is.





## Congruences

Congruences of a Boolean algebra  $\mathbf{B}$  are determined by **filters** (the classes of 1).

The **equalizer** of  $f, g \in \mathbf{A}^{\mathbf{B}}$  is

$$[[f = g]] := \{x \in X \mid f(x) = g(x)\}.$$

For a filter  $F$  on  $\mathbf{B}$ ,

$$\theta_F := \{(f, g) \in \mathbf{A}^{\mathbf{B}} \mid [[f = g]] \in F\}$$

is a congruence of  $\mathbf{A}^{\mathbf{B}}$ .

**Lemma** (cf. Burris 1975)

Let  $\mathbf{A}$  be a finite simple non-abelian Mal'cev algebra,  $\mathbf{B}$  a Boolean algebra. Then

$$\text{Con}(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n} = \{\theta_F \mid F \text{ is a filter contained in } \bigcap_{i=1}^n x_i\}.$$

# Automorphism groups of filtered Boolean powers

Automorphisms of a Boolean algebra  $\mathbf{B}$  correspond to **homeomorphisms** (continuous bijections) of its Stone space  $X$ ,

$$\text{Aut } \mathbf{B} \cong \text{Homeo } X.$$

Theorem (M, Ruškuc 2023; for groups cf. Apps 1981)

Let  $\mathbf{A}$  be a finite simple non-abelian Mal'cev algebra with idempotents  $e_1, \dots, e_n$  in distinct  $\text{Aut } \mathbf{A}$ -orbits,  
 $\mathbf{B}$  the countable atomless Boolean algebra,  
 $X$  the Cantor space with distinct  $x_1, \dots, x_n \in X$ . Then

$$\text{Aut}(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n} \cong N \rtimes (\text{Homeo } X)_{x_1, \dots, x_n}$$

where  $N$  is isomorphic to the closure of  $((\text{Aut } \mathbf{A})^{\mathbf{B}})_{1, \dots, 1}^{x_1, \dots, x_n}$ .

# SIP for expanded Boolean algebras

Theorem (M, Ruškuc 2023; for  $n = 0$  Truss 1987)

Let  $G := (\text{Homeo}X)_{x_1, \dots, x_n}$  for  $x_1, \dots, x_n$  in the Cantor space  $X$ ,  
let  $H \leq G$  such that  $|G : H| < 2^{\aleph_0}$ .

Then there exist clopens  $b_1, \dots, b_m$  partitioning  $X$  such that  
 $G_{b_1, \dots, b_m} \leq H$ .

Proof.

Uses piecewise patching of homeomorphisms on clopens in  
 $X \setminus \{x_1, \dots, x_n\}$ . □

## SIP for filtered Boolean powers

Theorem (M, Ruškuc 2023, for groups Bryant, Evans 1997)

Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra,

$\mathbf{B}$  the countable atomless Boolean algebra.

Then any filtered Boolean power  $(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  has SIP.

Proof.

- ▶  $\text{Aut}(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n} \cong N \rtimes C$  for  $C := (\text{Homeo}X)_{x_1, \dots, x_n}$ .
- ▶ Let  $H \leq \text{Aut}(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  have small index.
- ▶ Then  $C \cap H$  has small index in  $C$  and contains  $C_{b_1, \dots, b_m}$  for clopens  $b_1, \dots, b_m$  partitioning  $X$ .
- ▶  $N \cap H$  has small index in  $N$  and is invariant under  $C_{b_1, \dots, b_m}$ .
- ▶  $H$  contains the stabilizer of the **finitely many** functions that are constant on  $b_1, \dots, b_m$ .



# Uncountable strong cofinality for expanded Boolean algebras

$G$  has **uncountable strong cofinality** if  $G$  is not a countable union of proper subsets  $U_1 \subseteq U_2 \subseteq \dots$  with  $U_i = U_i^{-1}$  and  $U_i^2 \subseteq U_{i+1}$  for all  $i \in \mathbb{N}$ .

Lemma (Droste, Göbel 2005)

uncountable strong cofinality

$\Leftrightarrow$  uncountable cofinality and Bergman property

Theorem (M, Ruškuc 2023; for  $n = 0$  Droste, Göbel 2005)

Let  $X$  be the Cantor space,  $x_1, \dots, x_n \in X$ .

Then  $(\text{Homeo}X)_{x_1, \dots, x_n}$  has uncountable strong cofinality.

Proof.

Uses piecewise patching of homeomorphisms on clopens in

$X \setminus \{x_1, \dots, x_n\}$ .



# Uncountable strong cofinality for filtered Boolean powers

Theorem (M, Ruškuc 2023)

Let  $\mathbf{A}$  be a finite simple nonabelian Mal'cev algebra,  
 $\mathbf{B}$  the countable atomless Boolean algebra.

Then for any filtered Boolean power  $\text{Aut}(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  has  
uncountable strong cofinality.

Proof.

Uses the semidirect decomposition of  $\text{Aut}(\mathbf{A}^{\mathbf{B}})_{e_1, \dots, e_n}^{x_1, \dots, x_n}$  and the  
result on  $(\text{Homeo}X)_{x_1, \dots, x_n}$ . □

## Questions

## Open

Let  $\mathbf{A}$  be a finite simple nonabelian group (Mal'cev algebra).

### Question

Is every  $\mathbf{C}$  in  $V(\mathbf{A})$  an extension of a filtered Boolean power of  $\mathbf{A}$  by a group in  $V(\text{proper subgroups of } \mathbf{A})$ ?

True for countable  $\mathbf{C}$ .

### Question (Bryant, Evans 1997)

Does the free group of countable rank in  $V(\mathbf{A})$  have SIP (uncountable cofinality, Bergman property)?

### Question

For  $\mathbf{B}$  the countable atomless Boolean algebra, does  $\text{Aut}(\mathbf{A}^{\mathbf{B}})_{e_1}^{x_1}$  have ample generics?