

L-algebras: the Yang–Baxter equation and algebraic logic

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The Yang–Baxter equation

Problem (Drinfeld)

Study set-theoretic solutions (to the YBE).

A **set-theoretic solution** (to the YBE) is a pair (X, r) , where X is a set and $r: X \times X \rightarrow X \times X$ is a bijective map such that

$$(r \times \text{id})(\text{id} \times r)(r \times \text{id}) = (\text{id} \times r)(r \times \text{id})(\text{id} \times r).$$

First works: Gateva–Ivanova and Van den Bergh and Etingof, Schedler and Soloviev.

Examples:

- ▶ The flip: $r(x, y) = (y, x)$.
- ▶ Let X be a set and $\sigma, \tau: X \rightarrow X$ be bijections such that $\sigma\tau = \tau\sigma$. Then

$$r(x, y) = (\sigma(y), \tau(x))$$

is a solution.

- ▶ Let $X = \mathbb{Z}/n$. Then

$$r(x, y) = (2x - y, x) \quad \text{and} \quad r(x, y) = (y - 1, x + 1)$$

are solutions.

More examples:

If X is a group, then

$$r(x, y) = (xyx^{-1}, x) \quad \text{and} \quad r(x, y) = (xy^{-1}x^{-1}, xy^2)$$

are solutions.

Problem

Construct (finite) set-theoretical solutions.

We deal with **non-degenerate** solutions, i.e. solutions

$$r(x, y) = (\sigma_x(y), \tau_y(x)),$$

where all maps $\sigma_x: X \rightarrow X$ and $\tau_x: X \rightarrow X$ are bijective. We consider **involutive solutions**, i.e. $r^2 = \text{id}$.

Convention:

A **solution** will be a non-degenerate involutive solution.

How many involutive solutions are there?

The number of solutions (up to isomorphism).

| size | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|------|----|----|-----|------|-------|--------|---------|
| | 23 | 88 | 595 | 3456 | 34530 | 321931 | 4895272 |

These solutions were constructed with Akgün and Mereb using **constraint programming** techniques.

Constraint programming is a **paradigm** for solving combinatorial problems. The idea is to search for variables that satisfy a certain number of constraints.

Involutive solutions are easier to construct than arbitrary solutions.
Let us write

$$r(x, y) = (\sigma_x(y), \tau_y(x)).$$

Assume that $r^2 = \text{id}$. Then

$$\sigma_y(x) = \tau_{\tau_x(y)}^{-1}(x)$$

for all x, y .

This means that to construct involutive solutions over a set X , one needs, only the set $\{\tau_x : x \in X\}$.

Which conditions on the set $\{\tau_x : x \in X\}$ are needed to construct involutive solutions?

This is how you find cycle sets!

Cycle sets

A **cycle set** is a pair (X, \cdot) , where X is a set and $X \times X \rightarrow X$, $(x, y) \mapsto x \cdot y$, is a binary operation such that

1. The **cycloid equation**

$$(x \cdot y) \cdot (x \cdot z) = (y \cdot x) \cdot (y \cdot z)$$

holds for all $x, y, z \in X$, and

2. the maps $\varphi_x: X \rightarrow X$, $y \mapsto x \cdot y$, are bijective for all $x \in X$.

Theorem (Rump)

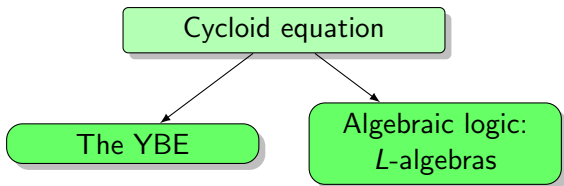
There exists a bijective correspondence between finite cycle sets and finite non-degenerate involutive solutions to the YBE.

The correspondence is given as follows: If (X, \cdot) is a cycle set, then

$$r(x, y) = ((y * x) \cdot y, y * x),$$

where $y * x = z$ if and only if $y \cdot z = x$, is a solution. Conversely, if (X, r) is a solution, then X with $x \cdot y = \tau_x^{-1}(y)$ is a cycle set.

The **cycloid equation** is relevant in extensions of classical logic, like the Birkhoff and Von Neumann approach¹ to quantum logic.



¹Ann. Math. 37(4) (1936), 823–843.

L-algebras

A set X with a binary operation $X \times X \rightarrow X$, $(x, y) \mapsto x \cdot y$, is an **L-algebra** if there exists an element $e \in X$ such that

$$e \cdot x = x \quad \text{and} \quad x \cdot e = x \cdot x = e \quad \text{for all } x \in X, \quad (1)$$

$$x \cdot y = y \cdot x = e \implies x = y, \quad (2)$$

and the **cycloid equation**

$$(x \cdot y) \cdot (x \cdot z) = (y \cdot x) \cdot (y \cdot z) \quad (3)$$

holds for all $x, y, z \in X$.

The element $e \in X$ is the **logical unit**.

Let X be an L -algebra. Then

$$x \leq y \iff x \cdot y = e$$

defines a **partial order** on X with greatest element e .

If you like algebraic logic, maybe you should write the binary operation \cdot with an arrow (e.g. \rightarrow) for “implication”. The logical unit is the “truth”.

Moreover, $x \leq y$ means that x entails y . (This means strong implication: x is true, so y is also true.)

Example

For a cycle set X and a formal symbol e , let $L_X = X \cup \{e\}$. The binary operation

$$L_X \times L_X \rightarrow L_X, \quad (x, y) \mapsto \begin{cases} e & \text{if } x = y \text{ or } y = e, \\ y & \text{if } x = e, \\ x \cdot y & \text{if } x \neq y, \end{cases}$$

turns L_X into a **discrete** L -algebra (i.e. $x < y \implies y = e$).

An L -algebra X is **self-similar** if for each $x, y \in X$ there exists an element $z = z(x, y) \in X$ such that $z \leq y$ and $y \cdot z = x$.

Notation: $z = xy$.

Facts:

1. xy is uniquely determined by $xy \leq y$ and $y \cdot (xy) = x$.
2. The operation $X \times X \rightarrow X$, $(x, y) \mapsto xy$, is well-defined, associative and

$$xe = ex = x, \quad (xy) \cdot z = x \cdot (y \cdot z)$$

hold for all $x, y, z \in X$.

Theorem (Rump)

Let X be an L -algebra X . Then there exists a unique (up to isomorphism) self-similar L -algebra $S(X)$ generated (as a monoid) by X and there is an embedding $X \hookrightarrow S(X)$ of L -algebras.

So X embeds into a “nicer” L -algebra $S(X)$.

Since $S(X)$ is left Ore, it admits a left quotient group $G(X)$, known as the **structure group** of X . There there exists a canonical map

$$X \hookrightarrow S(X) \rightarrow G(X).$$

Theorem (Rump)

Let X be an L -algebra. Then $G(X)$ is torsion-free.

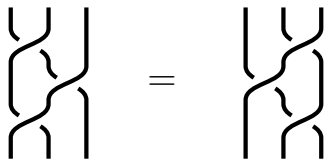
Example

Recall that the **braid group** \mathbb{B}_3 in three strands is the group with generators r and s and the relation $rsr = srs$.

Generators:

$$r = \begin{array}{c} \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \end{array} \quad s = \begin{array}{c} | \quad | \\ \diagdown \quad \diagup \\ | \quad | \end{array}$$

The defining relation $rsr = srs$ is the **Yang-Baxter equation**:


$$\begin{array}{c} \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \end{array} \begin{array}{c} \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \end{array} \begin{array}{c} | \quad | \\ \diagdown \quad \diagup \\ | \quad | \end{array} = \begin{array}{c} | \quad | \\ \diagdown \quad \diagup \\ | \quad | \end{array} \begin{array}{c} \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \end{array} \begin{array}{c} \diagup \quad \diagdown \\ | \quad | \\ \diagdown \quad \diagup \end{array}$$

Example

Let $X = \{e, x, y, xy, yx\}$ with the L -algebra structure given by

$$x \cdot y = xy, \quad y \cdot x = yx.$$

Then $G(X) \simeq \mathbb{B}_3$, the **braid group** in three strands. In particular, \mathbb{B}_3 is torsion-free.

Fact:

The braid group \mathbb{B}_n is the structure group of an L -algebra.

One can use the connection between the YBE and L -algebras to construct finite L -algebras of small size.

Let $X = \{1, \dots, n\}$. The element n will be the **logical unit**. An **L-algebra** structure on X is a matrix $(M_{ij})_{1 \leq i, j \leq n} \in \mathbb{Z}^{n \times n}$ satisfying the following conditions:

1. $M_{n,j} = j$ for all $j \in \{1, \dots, n\}$.
2. $M_{i,n} = n$ for all $i \in \{1, \dots, n\}$.
3. $M_{k,k} = n$ for all $k \in \{1, \dots, n\}$.
4. $M_{Mi,j, Mi,k} = M_{Mj,i, Mj,k}$ for all $i, j, k \in \{1, \dots, n\}$.
5. $M_{i,j} = n = M_{j,i} \implies i = j$.

There is a correspondence between finite L-algebras and matrices satisfying (1)–(5):

$$X \rightsquigarrow M_X,$$

where $(M_X)_{ij} = i \cdot j$.

Over the set of $n \times n$ matrices satisfying conditions (1)–(5) we consider the following equivalence relation:

$$M \sim N \iff \exists g \in \text{Sym}_{n-1} : N_{i,j} = g^{-1}(M_{g(i),g(j)}) \quad \forall i,j.$$

Then

$$X \simeq Y \iff M_X \sim M_Y.$$

Example

Let $X = \{x, y, e\}$ with

$$e \cdot y = y, \quad x \cdot y = y \cdot x = e \cdot x = x.$$

Then X is an L -algebra.

Let us compute M_X . For this, we need to change the labelling of the elements of X :

$$f: \{1, 2, 3\} \rightarrow \{x, y, e\}, \quad f(1) = x, \quad f(2) = y, \quad f(3) = e.$$

Then

$$M_X = \begin{pmatrix} 3 & 1 & 3 \\ 1 & 3 & 3 \\ 1 & 2 & 3 \end{pmatrix}.$$

The number of L -algebras (up to isomorphism).

| size | 3 | 4 | 5 | 6 | 7 | 8 |
|------|---|----|-----|-------|--------|-----------|
| | 5 | 44 | 632 | 15582 | 907806 | 377322225 |

The L -algebras were constructed with Dietzel and Menchón. The calculations use constraint programming techniques. The enumeration for **size eight** requires other ideas, like the **underlying poset structure** of the L -algebras.

What can you do with the database?

An L -algebra is then said to be **linear** if the partial order

$$x \leq y \iff x \cdot y = e$$

is a total order.

Theorem (with Dietzel and Menchón)

There are $B(n - 1)$ isomorphism classes of linear L -algebras of size n , where $B(n)$ denotes the n -th Bell number.

The first **Bell numbers** are 1,1,2,5,15,52,203,877,4140... This is the sequence A000110 in the OEIS.

Bell numbers count the **number of partitions of sets**. For example, the set $\{a, b, c\}$ admits five partitions:

$$\begin{aligned} & \{\{a, b, c\}\}, \\ & \{\{a, b\}, \{c\}\}, \\ & \{\{b, c\}, \{a\}\}, \\ & \{\{a, c\}, \{b\}\}, \\ & \{\{a\}, \{b\}, \{c\}\}. \end{aligned}$$

Thus $B(3) = 5$.

Problem

Let n be a positive integer. Find an **explicit bijection** between the L -algebras on the ordered set

$$\{1 < 2 < \cdots < n\},$$

where n is the logical unit, and partitions of the set $\{1, \dots, n-1\}$.

An L -algebra X is of type (F) if it satisfies

$$x \cdot y = x \cdot (x \cdot y) \quad \text{and} \quad x \cdot y = y \iff y \cdot x = x$$

for all $x, y \in X$; this class of (symmetric) L -algebras appears in the literature.

Conjecture

The number of L -algebras of type (F) and size n is F_n , the n -th Fibonacci number.

Hilbert algebras

An important family of L -algebras is that of **Hilbert algebras**. This is an L -algebra X such that

$$x \cdot (y \cdot z) = (x \cdot y) \cdot (x \cdot z)$$

for all $x, y, z \in X$.

The number of Hilbert algebras (up to isomorphism).

| size | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|------|---|---|----|----|-----|------|-------|---------|
| | 2 | 6 | 21 | 95 | 550 | 4036 | 37602 | 1043328 |

A geometric theory of L -algebras?

An **ideal** in an L -algebra X is a subset I of X such that the following conditions hold:

1. $e \in I$.
2. $x \in I$ and $x \cdot y \in I \implies y \in I$.
3. $x \in I \implies (x \cdot y) \cdot y \in I$.
4. $x \in I \implies y \cdot x \in I$ and $y \cdot (x \cdot y) \in I$.

Examples:

$\{e\}$ and X are ideals. The intersection of ideals is an ideal.

Theorem (Rump)

Let X be an L -algebra. There exists a bijective correspondence between **ideals** of X and **congruences** \sim on X for which the quotient X/\sim is an L -algebra.

The **correspondence** is given as follows $x \sim y \iff x \cdot y \in I$ and $y \cdot x \in I$. Conversely, if \sim is a congruence, then $I = \{x \in X : x \sim e\}$ is an ideal of X .

As usual, a **congruence** \sim on X is an equivalence relation on X compatible with the binary operation, i.e.

$$x \sim x_1 \text{ and } y \sim y_1 \implies x \cdot y \sim x_1 \cdot y_1.$$

An L -algebra X is said to be **distributive** if

$$I \cap (J \vee K) = (I \cap J) \vee (I \cap K)$$

for all ideals I, J and K , where $A \vee B$ denotes the ideal of X generated by $A \cup B$.

Example: Hilbert algebras are distributive.

Theorem (with Rump)

Finite L -algebras are distributive.

What now?

The ideals of an L -algebra X can be identified with the open sets of a topological space $\text{Spec}X$, the **spectrum** of X .

General problem

Study the spectrum of L -algebras.

Some questions:

1. Determine the spectrum in particular classes (e.g. linear).
2. What about simple L -algebras?