

# Varieties of Heyting algebras: what we (still don't) know

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# DEFINITION

- A **Heyting algebra** is a bounded lattice  $A$  such that  $\wedge : A^2 \rightarrow A$  has a **residual**  $\rightarrow : A^2 \rightarrow A$  given by  $a \wedge x \leq b \iff x \leq a \rightarrow b$ .
- The class  $\mathbb{HA}$  of Heyting algebras is equationally definable, hence forms a variety.
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# EXAMPLES FROM LOGIC

- A standard example of a Heyting algebra is the **Lindenbaum algebra**  $\mathcal{L}$  of the intuitionistic calculus.
- In fact,  $\mathcal{L}$  generates **HA**.
- An **intermediate logic** is a logic between intuitionistic and classical logics (**Umezawa, 1950s**).
- The Lindenbaum algebra of each intermediate logic is a Heyting algebra.
- The lattice of intermediate logics is dually isomorphic to the lattice of nontrivial varieties of Heyting algebras.

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## OTHER EXAMPLES

- **Topology:** The opens  $\mathcal{O}(X)$  of each topological space  $X$  form a Heyting algebra, where  $U \rightarrow V = \text{int}(U^c \cup V)$ .
- **Kripke semantics:** In particular, the upsets  $\text{Up}(X)$  of each poset  $X$  form a Heyting algebra.
- **Locale theory:** Every locale is a Heyting algebra. In fact, a complete lattice is a Heyting algebra iff it is a locale (satisfies the infinite distributive law  $a \wedge \bigvee S = \bigvee \{a \wedge s \mid s \in S\}$ ).
- **Domain theory:** Every continuous distributive lattice is a Heyting algebra.
- **Topos theory:** The subobject classifier in every topos is a Heyting algebra.
- **Universal algebra:** Every algebraic distributive lattice is a Heyting algebra. Thus, the congruence lattice of every algebra in a congruence-distributive variety is a Heyting algebra.

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# INTERIOR OPERATORS AND HEYTING ALGEBRAS

- An **interior operator** on a boolean algebra is a unary function  $\Box : B \rightarrow B$  that satisfies the **Kuratowski axioms**:  $\Box 1 = 1$ ,  $\Box(a \wedge b) = \Box a \wedge \Box b$ ,  $\Box a \leq a$ , and  $\Box a \leq \Box \Box a$ .
- The fixpoints  $\text{Fix}(\Box)$  form a bounded sublattice of  $B$  which is a Heyting algebra, where  $a \rightarrow b = \Box(a^* \vee b)$ . Moreover, a bounded sublattice  $L$  of  $B$  is a Heyting algebra iff the embedding  $L \hookrightarrow B$  has a right adjoint.
- Let  $\mathbb{IA}$  be the variety of interior algebras. Associating with each  $(B, \Box) \in \mathbb{IA}$  the fixpoints  $\text{Fix}(\Box)$  defines a functor  $F : \mathbb{IA} \rightarrow \mathbb{HA}$ .



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# BOOLEAN ENVELOPES

- This functor has a left adjoint  $L : \mathbb{HA} \rightarrow \mathbb{IA}$ .
- For a Heyting algebra  $A$ , let  $B_A$  be the **boolean envelope** of  $A$  (the boolean algebra freely generated by  $A$ ).
- We can write each element of  $B_A$  in the **conjunctive normal form**:  $x = \bigwedge_{i=1}^n (a_i^* \vee b_i)$ , where  $a_i, b_i \in A$  and  $a_i^*$  is the complement of  $a_i$  in  $B_A$ .
- Define  $\square_A x = \bigwedge_{i=1}^n (a_i \rightarrow b_i)$ , where  $\rightarrow$  is the implication in  $A$ .
- Then  $(B_A, \square_A) \in \mathbb{IA}$  and this correspondence extends to a functor  $L : \mathbb{HA} \rightarrow \mathbb{IA}$  that is left adjoint to  $F : \mathbb{IA} \rightarrow \mathbb{HA}$ .

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# MODAL COMPANIONS

- For a variety  $\mathbb{U}$  of interior algebras, the class  $F(\mathbb{U}) = \{F(B, \Box) \mid (B, \Box) \in \mathbb{U}\}$  is a variety of Heyting algebras.
- Let  $\mathbb{V}$  be a variety of Heyting algebras. We call a variety  $\mathbb{U}$  of interior algebras a **modal companion** of  $\mathbb{V}$  if  $F(\mathbb{U}) = \mathbb{V}$ .
- Each  $\mathbb{V}$  has many modal companions (often continuum many).
- $F^{-1}(\mathbb{V}) := \{(B, \Box) \mid F(B, \Box) \in \mathbb{V}\}$  is the largest modal companion of  $\mathbb{V}$  (**Gödel translation**).
- The class  $L(\mathbb{V}) = \{L(A) \mid A \in \mathbb{V}\}$  may not be a variety of interior algebras (may not be closed under products).
- Let  $L^*(\mathbb{V})$  be the variety generated by  $L(\mathbb{V})$ .
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# HAUSDORFF RESIDUE AND GRZEGORCZYK ALGEBRAS

- Let  $\diamond$  be the **closure operator** defined by  $\diamond a = (\Box a^*)^*$ .
- The **Hausdorff residue** is defined by  $\rho(a) = a \wedge \diamond(\diamond a \wedge a^*)$ .
- Define  $\rho^{k+1}(a) = \rho(\rho^k(a))$  and call  $a$  **cyclic** if  $a \neq 0$  and  $\rho^k(a) = \rho^{k+1}(a) \neq 0$  for some  $k$ .
- An interior algebra is a **Grzegorzcyk algebra** if it has no cyclic elements.

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# BLOK-ESAKIA THEOREM

- The variety  $\text{GRZ}$  of Grzegorzcyk algebras is exactly the variety generated by  $L(\text{HA})$ .
- Therefore,  $\text{GRZ}$  is the least modal companion of  $\text{HA}$ .
- The modal companions of  $\mathbb{V}$  form the interval  $[L^*(\mathbb{V}), F^{-1}(\mathbb{V})]$  in the lattice of subvarieties of  $\text{IA}$ .
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# PRIESTLEY DUALS OF HEYTING ALGEBRAS

- For a Heyting algebra  $A$ , let  $X_A$  be the poset of prime filters of  $A$  (ordered by inclusion).
- For  $a \in A$ , let  $\varphi(a) = \{x \in X_A \mid a \in x\}$  (Stone map).
- The Priestley topology on  $X_A$  is given by the subbasis  $\{\varphi(a) \mid a \in A\} \cup \{\varphi(a)^c \mid a \in A\}$ .
- This is a Stone topology on  $X_A$  (zero-dimensional, compact, Hausdorff). Moreover, if  $x \not\leq y$ , then  $x$  can be separated from  $y$  by a clopen upset (Priestley separation).
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- An **Esakia space** is a Stone space  $X$  equipped with a continuous partial order  $\leq$ .
- An **Esakia morphism** is a continuous map  $f : X \rightarrow Y$  such that  $\uparrow f(x) = f[\uparrow x]$  (**p-morphism**)
- Let  $\mathbb{ES}$  be the category of Esakia spaces and Esakia morphisms.
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- For an interior algebra  $(B, \Box)$ , let  $X_B$  be the **Stone space** (space of ultrafilters) of  $B$ .
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- Then  $\sqsubseteq$  is a continuous pre-order on  $X_B$ .
- Let  $\mathbb{PES}$  be the category of pre-ordered Esakia spaces and continuous  $\rho$ -morphisms.
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- The **depth** of a Heyting algebra  $A$  is the maximal length of chains in  $X_A$  provided the max is finite. Otherwise it is infinite.
- The **depth** of a variety  $\mathbb{V}$  of Heyting algebras is  $\leq n$  if  $n$  bounds the depths of all  $A \in \mathbb{V}$ . Otherwise it is infinite.
- For each  $n$ , there exist the least and greatest varieties of depth  $n$ . The least one is the variety  $\mathbb{C}_n$  generated by the  $(n+1)$ -chain and the greatest is the variety  $\mathbb{HA}_n$  of all Heyting algebras of depth  $n$ .
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# CARDINALITY OF $\mathcal{S}_n$

- $\mathcal{S}_0$  only consists of the trivial variety.
- $\mathcal{S}_1$  only consists of the variety  $\mathbb{BA} = \mathbb{C}_1 = \mathbb{HA}_1$ .
- $\mathcal{S}_2$  is countable.
- Let  $A_n$  be the Heyting algebra obtained by adjoining a new top to the boolean algebra  $2^n$ . Its dual space is the  $n$ -fork.
- Let  $\mathbb{V}_n = \text{HSP}(A_n)$ . Then  $\mathcal{S}_2$  is the chain

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- Let  $A_n$  be the Heyting algebra obtained by adjoining a new top to the boolean algebra  $2^n$ . Its dual space is the  $n$ -fork.
- Let  $\mathbb{V}_n = \text{HSP}(A_n)$ . Then  $\mathcal{S}_2$  is the chain

$$\mathbb{V}_1 \subset \mathbb{V}_2 \subset \cdots \subset \mathbb{V}_n \subset \cdots \subset \text{HSP}\{A_n \mid n \geq 1\}$$

- If  $n \geq 3$ , then  $\mathcal{S}_n$  is uncountable.

# LOCALLY FINITE VARIETIES

- A variety  $\mathbb{V}$  is of **finite depth** if  $\mathbb{V} \in \mathcal{S}_n$  for some  $n$ .
- Every variety of finite depth is locally finite (Kuznetsov, Komori, 1970s). However, there exist locally finite varieties of infinite depth.
- For example, the variety  $\mathbb{LC}$  of all chains is locally finite.
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# MARDAEV'S THEOREM

- Roughly speaking, a **Brouwerian algebra** is a Heyting algebra without bottom in the signature.
- **Mardaev's theorem:** Each  $n$ -generated Brouwerian algebra embeds into a 2-generated Brouwerian algebra.
- But the embedding does not preserve  $0$ . And there's now evidence suggesting that it is likely that for each  $n$  there exists a non-locally finite variety  $\mathbb{V}$  of Heyting algebras such that the free  $n$ -generated  $\mathbb{V}$ -algebra is finite.
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- $\mathcal{FG}$  = finitely generated varieties (generated by one finite algebra).
- $\mathcal{LF}$  = locally finite varieties.
- $\mathcal{FMP}$  = varieties generated by finite algebras.
- $\mathcal{KR}$  = varieties generated by algebras of the form  $\text{Up}(X)$  for a poset  $X$  (Kripke completeness).
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# (IN)COMPLETENESS

- $FG \subset LF \subset FMP \subset KR \subseteq TOP \subseteq CHA$ .
- It remains open whether there exist varieties (logics) that are Kripke incomplete, but topologically complete.
- **Kuznetsov's problem:** It also remains open if there exist varieties (logics) that are topologically incomplete.
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## RELATED RESULTS

- All these classes become distinguishable in the signature of modal algebras.
- In particular, Kuznetsov's problem has a negative solution for varieties of interior algebras ([Shehtman](#)).
- A Heyting algebra  $A$  is a **bi-Heyting algebra** if its order-dual  $A^d$  is also a Heyting algebra.
- **Recent result:** Kuznetsov's problem has a negative solution for varieties of bi-Heyting algebras (jointly with [Gabelaia and Jibladze](#)).
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# $\mathcal{P}$ -DEGREES

- Let  $\mathcal{P}$  be a property. For a cardinal  $\kappa$  and variety  $\mathbb{V}$ , we say that the  $\mathcal{P}$ -degree of  $\mathbb{V}$  is  $\kappa$  provided there are  $\kappa$ -many varieties that share the property  $\mathcal{P}$  with  $\mathbb{V}$ .
- This way we can talk about the degree of Kripke incompleteness of  $\mathbb{V}$  (Fine, 1970s).
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# DEGREES OF FMP

- We know that there are continuum many Kripke incomplete varieties of Heyting algebras. But we know little about the degree of Kripke incompleteness for varieties of Heyting algebras.
- The situation becomes drastically different from Blok's dichotomy theorem if we ask about the degree of fmp.
- **Antidichotomy theorem:** If  $\kappa \geq 1$  is countable or  $2^{\aleph_0}$ , then  $\kappa$  is realized as the degree of fmp of some variety  $\mathbb{V}$  of Heyting algebras. Assuming CH, every cardinal  $1 \leq \kappa \leq 2^{\aleph_0}$  is realized as the degree of fmp of some  $\mathbb{V}$  (jointly with [my brother Nick and Tommaso Moraschini](#)).



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# Thank you!