Model theoretical tameness and CSPs

Bertalan Bodor, joint work with Manuel Bodirsky and Paolo Marimon

TU Wien

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Tameness and CSPs

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 $CSP(\mathfrak{B})$ is the following decision problem.

- INPUT: a finite structure \mathfrak{A} (with the same signature as \mathfrak{B})
- QUESTION: Is there a homomorphism $\mathfrak{A} \to \mathfrak{B}$?

Polymorphisms

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Notation: $Pol(\mathfrak{B})$.

Theorem (Jeavons '98 + Geiger; Bodnarčuk, Kalužnin, Kotov, Romov '69) If \mathfrak{B} is finite, then the complexity of $CSP(\mathfrak{B})$ is uniquely determined by $Pol(\mathfrak{B})$.

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Clones

Fact

 $Pol(\mathfrak{A})$ forms a clone.

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Definition

$$\mathcal{C} \subseteq \bigcup_{k=1}^{\infty} X^{X^{k}} \text{ is a clone if}$$

$$\mathcal{C} \text{ contains all projections } (\pi_{i} : (x_{1}, \dots, x_{k}) \mapsto x_{i})$$

$$\mathcal{F}, g_{1}, \dots, g_{k} \in \mathcal{C} \Rightarrow f \circ (g_{1}, \dots, g_{k}) \in \mathcal{C}.$$

Clone and minion homomorphisms

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 $\mathcal{C},\mathcal{D}:$ clones.

 $\xi: \mathcal{C} \rightarrow \mathcal{D}$ is a clone homomorphism if

• ξ preserves arities,

$$(\mathbf{2} \ \xi(\pi_i) = \pi_i$$

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Source of hardness: finite case

 \mathfrak{A} : finite.

 $\mathscr{P}\colon$ the clone of projections on a 2-element set. Facts:

• If $Pol(\mathfrak{A}) = \mathscr{P}$, then $CSP(\mathfrak{A})$ is **NP**-complete.

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- $\exists \operatorname{Pol}(\mathfrak{A}) \to \mathscr{P}$ minion homomorphism, then $\operatorname{CSP}(\mathfrak{A})$ is **NP**-hard.

Cores

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 \mathfrak{A} and \mathfrak{B} are homomorphically equivalent iff there are homomorphisms $\mathfrak{A} \to \mathfrak{B}$ and $\mathfrak{B} \to \mathfrak{A}$.

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A finite \mathfrak{A} is a core iff $Aut(\mathfrak{A}) = End(\mathfrak{A})$.

Observation

Every finite structure is homomorphically equivalent to a core.

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Theorem (Barto, Kozik, Siggers, ...)

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- **2** \nexists Pol(\mathfrak{A} ; *c* : *c* ∈ \mathfrak{A}) \rightarrow \mathscr{P} clone homomorphism.
- Pol(\mathfrak{A}) contains a Siggers operation: f(x, y, x, z, y, z) = f(y, x, z, x, z, y).

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• Pol(\mathfrak{A}) contains a cyclic operation: $f(x_1, \ldots, x_k) = f(x_2, \ldots, x_k, x_1)$.

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If \bullet - \bullet hold then $CSP(\mathfrak{A})$ is in **P**.

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Theorem (Bulatov; Zhuk)

If \bullet - \bullet hold then $CSP(\mathfrak{A})$ is in **P**.

Therefore if \mathfrak{A} is finite then $CSP(\mathfrak{A})$ is in **P** or it is **NP**-complete.

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Theorem (Bodirsky, Nešetřil '03)

If \mathfrak{A} is ω -categorical, then the complexity of $CSP(\mathfrak{A})$ is uniquely determined by $Pol(\mathfrak{A})$.

Model-complete cores

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Theorem (Bodirsky '05)

Every ω -categorical structure is homomorphically equivalent to a model-complete core.

This is a unique up to isomorphism, and again ω -categorical.

Source of hardness

 \mathscr{P} : the clone of projections on a 2-element set. Facts:

- If $Pol(\mathfrak{A}) = \mathscr{P}$, then $CSP(\mathfrak{A})$ is **NP**-complete.
- ∃ Pol(𝔅) → Pol(𝔅) uniformly continuous minion homomorphism, then CSP(𝔅) is at least as hard as CSP(𝔅).
- $\exists \operatorname{Pol}(\mathfrak{A}) \to \mathscr{P}$ uniformly continuous minion homomorphism, then $\operatorname{CSP}(\mathfrak{A})$ is **NP**-hard.

Infinite-domain CSP dichotomy

Algebraic formulation

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- O Pol(𝔅) contains a pseudo-Siggers operation: (α∘f)(x, y, x, z, y, z) = (β∘f)(y, x, z, x, z, y) : α, β ∈ Aut(𝔅).

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Remark

 $\bigcirc \Leftrightarrow \oslash$ does not hold in general, but it does hold for "reasonable"

structures.

(Barto, Kompatscher, Olšák, Pham, Pinsker '17).

The conjecture

Conjecture (Bodirsky, Pinsker '11)

If \mathfrak{A} is a first-order reduct of a finitely bounded homogeneous structure (FOROFBHS) then CSP(\mathfrak{A}) is in **P** or it is **NP**-complete,

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Examples: (\mathbb{Q} ; <), random graph, random poset, unary ω -categorical structures

Known CSP dichotomies

Solved for

- reducts of $(\mathbb{N}; =)$ (Bodirsky, Kára '08)
- reducts of $(\mathbb{Q}; <)$ (Bodirsky, Kára '09)
- reducts of the homogeneous binary branching C-structure (Bodirsky, Jonsson, Pham '16)
- reducts of homogeneous graphs (Bodirsky, Martin, Pinsker, Pongrácz '19)
- reducts of the random poset (Kompatscher, Pham '18)
- reducts of unary ω-categorical structures (Bodirsky, Mottet '18)
- MMSNPs (Bodirsky, Madelaine, Mottet '18)
- reducts of the random tournament (Mottet, Pinsker '21)
- first-order expansions of the homogeneous RCC5 structure (Bodirsky, B. '21)
- hereditarily cellular structures (B. '22)
- first-order expansions of powers of (Q; <) (Bodirsky, Jonsson, Martin, Mottet, Semanišinová '22)
- reducts of random uniform hypergraphs (Mottet, Nagy, Pinsker '23)
- reducts of Johnson graphs (Bodirsky, B. '25)

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Definition

 \mathfrak{A} interprets \mathfrak{B} if $\exists I \colon A^d \to B$ surjective partial map such that for all relations R of \mathfrak{B}

$$\{(a_1^1,\ldots,a_d^1,\ldots,a_1^k,\ldots,a_d^k):(I(a_1),\ldots,I(a_k))\in R\}$$

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Notation

 $\mathcal{I}(\mathfrak{A})$: structures interpretable in \mathfrak{A} . ($\mathfrak{A} \in \{(\mathbb{N};=), (\mathbb{Q};<)\}$).

Definition

$$\mathcal{J}_k \coloneqq \left(\binom{\mathbb{N}}{k} ; S_0, S_1, \ldots, S_{k-1} \right)$$
 where $S_i = \{ (a, b) : |a \cap b| = i \}$.

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- These are all the primitive oligomorphic actions of $Sym(\omega)$.

Johnson graphs The k = 1 case

The k = 1 case (Equality CSPs)

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Theorem (Bodirsky, Kára '08)

Let \mathfrak{B} be a first-order reduct of $(\mathbb{N}; =)$ (the pure set). Then exactly one of the following holds.

() \mathfrak{B} has a 1-element model-complete core, and $\mathsf{CSP}(\mathfrak{B})$ is trivial.

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- ② 𝔅 is a model-complete core and it has a binary injective polymorphism, and CSP(𝔅) ∈ P.

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- ② 𝔅 is a model-complete core and it has a binary injective polymorphism, and CSP(𝔅) ∈ P.
- ③ 第 is a model-complete core and all polymorphisms are essentially unary, and CSP(3) is NP-complete.

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 $CSP(\mathcal{J}_k)$ is **NP**-complete for $k \geq 2$.

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Let \mathfrak{B} be a reduct of \mathcal{J}_k , and let \mathfrak{C} be its model-complete core. Then \mathfrak{C} is bidefinable with \mathcal{J}_ℓ for some $\ell \leq k$.

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Corollary

If \mathfrak{B} is a reduct of \mathcal{J}_k then $\mathsf{CSP}(\mathfrak{B})$ is in \mathbf{P} or NP -complete.

Lemma

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Corollary

If \mathfrak{B} is a reduct of \mathcal{J}_k then $\mathsf{CSP}(\mathfrak{B})$ is in **P** or **NP**-complete.

I think $1 < \ell < k$ is not possible in the theorem above.

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 $\mathcal{I}(\mathbb{N};=)$ and $\mathcal{I}(\mathbb{Q};<)$ are NOT closed under taking model-complete cores.

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 \mathfrak{A} : structure, S: paramameter definable subset of A.

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rk(S) ≥ 0 iff S ≠ Ø.

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Definition (Morley rank)

 \mathfrak{A} : structure, S: paramameter definable subset of A.

•
$$\mathsf{rk}(S) \ge 0$$
 iff $S \ne \emptyset$.

•
$$\mathsf{rk}(S) \ge \alpha + 1$$
 iff $S = \bigsqcup_{i \in \omega} S_i$ with $\mathsf{rk}(S_i) \ge \alpha$.

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 $\mathcal{I}(\mathbb{N};=)$ and $\mathcal{I}(\mathbb{Q};<)$ are NOT closed under taking model-complete cores.

Something in between: ω -stability.

Definition (Morley rank)

 \mathfrak{A} : structure, S: paramameter definable subset of A.

- $\mathsf{rk}(S) \ge 0$ iff $S \ne \emptyset$.
- $\mathsf{rk}(S) \ge \alpha + 1$ iff $S = \bigsqcup_{i \in \omega} S_i$ with $\mathsf{rk}(S_i) \ge \alpha$.
- If α is limit then $\mathsf{rk}(S) \ge \alpha$ iff $\mathsf{rk}(S) \ge \beta$ for all $\beta < \alpha$.

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Definition (or theorem)

 \mathfrak{A} is ω -stable iff $\mathsf{rk}(A)$ exists (not bigger than all ordinals).

Example: everything in $\mathcal{I}(\mathbb{N}; =)$, vector spaces over finite fields. Non-example: $(\mathbb{Q}; <)$. Example: everything in $\mathcal{I}(\mathbb{N}; =)$, vector spaces over finite fields. Non-example: $(\mathbb{Q}; <)$.

Fun fact (Cherlin, Lachlan, Harrington '85)

Every ω -categorical ω -stable structure has finite rank.

Theorem (Lachlan '87+easy)

TFAE.

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- *<i>Δ* is ω-stable and it is a reduct of a finitely bounded homogeneous *Ramsey* structure.

Lachlan's class Strategy for solving the CSP dichotomy

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- Profit.

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We think: this is all and they all have hard CSPs (except for the pure set).

Lachlan's class

Putting pieces together

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Remark: this would imply the dichotomy.



PALS, 8th April 2025