

# Arithmetical hierarchy and Turing jumps

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## Question

What is the connection between

- ▶ the arithmetical hierarchy (classification of sets by definability)
- ▶ and Turing degrees (classification by computability)?

# Finite approximations

Analyzing oracle machines requires computable approximations:

If  $\varphi_e^A(x) \downarrow$ , then only a finite part of  $A$  is used in this computation.

- ▶ For  $A \subseteq \mathbb{N}$  and  $s \in \mathbb{N}$ , the  $s$ -tuple

$$(\chi_A(0), \chi_A(1), \dots, \chi_A(s-1)) \in \{0, 1\}^s$$

is an **initial segment** (finite approximation) of  $\chi_A$ .

- ▶ For  $\sigma \in \{0, 1\}^s$  write

$$|\sigma| = s,$$

$$\sigma = (\sigma(0), \dots, \sigma(s-1)) \text{ and}$$

$$\sharp(\sigma) := \prod_{i < |\sigma|} p_i^{\sigma(i)+1} \text{ (prime power encoding).}$$

Note that  $|\sigma|, \sigma(i)$  are computable from  $\sharp(\sigma), i$ .

- ▶ For  $\sigma, \tau \in \{0, 1\}^*$  write  $\sigma \subseteq \tau$  and call  $\sigma$  an initial segment of  $\tau$  if  $|\sigma| \leq |\tau|$  and  $\sigma(i) = \tau(i)$  for all  $i \leq |\sigma|$ .

## Definition

- ▶ Let  $A \subseteq \mathbb{N}$ . If  $M_e^A$  halts on input  $x$  with output  $y$  and if  $u$  is the maximum element for which the oracle is used (queried for  $u \in A$ ) during the computation, write

$$\varphi_e^A(x) := y \quad \text{use}_e^A(x) := u.$$

$\text{use}_e^A$  is called the **use function** corresponding to  $\varphi_e^A(x)$ .

- ▶  $\varphi_e^\sigma(x) := y$  if  $\varphi_e^A(x) = y$ ,  $\sigma \in \{0, 1\}^*$  is an initial segment of  $\chi_A \in \{0, 1\}^{\mathbb{N}}$  and  $\text{use}_e^A(x) < |\sigma|$  (i.e., only  $\sigma$  is queried).
- ▶  $\varphi_{e,s}^A(x) := y$  if  $\varphi_e^A(x) = y$  is computed by  $M_e^A$  in  $< s$  steps and  $e, x, y, \text{use}_e^A(x) < s$ .
- ▶  $\varphi_{e,s}^\sigma(x) := y$  if  $\varphi_{e,s}^A(x) = y$ ,  $\sigma \in \{0, 1\}^*$  is an initial segment of  $\chi_A$  and  $\text{use}_e^A(x) < |\sigma|$ .
- ▶  $W_{e,s}^\sigma := \text{domain } \varphi_{e,s}^\sigma$ , etc.

# Computable approximations

## Lemma

1.  $\varphi_e^A(x) = y$  iff  $\exists s \exists \sigma \subseteq \chi_A : \varphi_{e,s}^\sigma(x) = y$
2. If  $\varphi_{e,s}^\sigma(x) = y$ , then  $\forall t \geq s \forall \tau \supseteq \sigma : \varphi_{e,t}^\tau(x) = y$ .
3.  $W_{e,s}^\sigma$  (i.e.  $\{(e, \#(\sigma), x, s) : \varphi_{e,s}^\sigma(x) \downarrow\}$ ) is computable.

## Proof.

1. Any computation that halts does so after finitely many steps, using a finite part of the oracle.
2. If a computation halts after  $s$  steps with access to  $\sigma$ , its output will not change when given more time and a larger part of the oracle.
3. Run  $M_e$  on  $x$  with queries to  $\sigma$  until it halts or  $s$  steps are completed.



# Post's Theorem relating $\Sigma_n$ and $\emptyset^{(n)}$

## Recall

- ▶  $B \subseteq \mathbb{N}$  is  $\Sigma_n$  if there is some computable  $R \subseteq \mathbb{N}^{n+1}$  such that  $B = \{x : \exists y_1 \forall y_2 \dots \exists/\forall y_n (x, y_1, \dots, y_n) \in R\}$ .
- ▶  $A' := \{x : \varphi_x^A(x) \downarrow\}$ .

## Post's Theorem

Let  $n \in \mathbb{N}$ ,  $B \subseteq \mathbb{N}$ .

1.  $B$  is  $\Sigma_{n+1}$  iff  $B$  is c.e. in some  $\Pi_n$ -set iff  $B$  is c.e. in some  $\Sigma_n$ -set.
2.  $\emptyset^{(n)}$  is  $\Sigma_n$ -complete for  $n > 0$ .
3.  $B$  is  $\Sigma_{n+1}$  iff  $B$  is  $\emptyset^{(n)}$ -c.e.
4.  $B$  is  $\Delta_{n+1}$  iff  $B \leq_T \emptyset^{(n)}$ .

**Note:** Properties of  $\Sigma_1$  relativize to  $\Sigma_{n+1} = \Sigma_1^{\emptyset^{(n)}}$  by 3.

## Proof 1.

$\Rightarrow$ : Let  $B \in \Sigma_{n+1}$ . Then we have  $R \in \Pi_n$  such that

$$x \in B \text{ iff } \exists y R(x, y).$$

Then  $B \in \Sigma_1^R$ , hence  $R$ -c.e. by our characterization of  $A$ -c.e. sets.

$\Leftarrow$ : Assume  $B$  is  $A$ -c.e. for some  $A \in \Pi_n$ . Then for some  $e$

$$\begin{aligned} x \in B \text{ iff } x \in W_e^A \\ \text{iff } \exists s \exists \sigma : \sigma \subset \chi_A \wedge \underbrace{x \in W_{e,s}^\sigma}_{\text{computable}} \end{aligned}$$

**Claim:**  $\sigma \subset \chi_A$  is  $\Sigma_{n+1}$

$$\begin{aligned} \sigma \subset \chi_A \text{ iff } \forall y \leq |\sigma| : \sigma(y) = \chi_A(y) \\ \text{iff } \forall y \leq |\sigma| : \underbrace{(\sigma(y) = 1, y \in A)}_{\Pi_n} \vee \underbrace{(\sigma(y) = 0, y \notin A)}_{\Sigma_n} \end{aligned}$$

$\underbrace{\hspace{15em}}_{\Sigma_{n+1}}$

Since  $\Sigma_{n+1}$  is closed under bounded  $\forall$ , the claim follows.

Then  $B \in \Sigma_{n+1}$ .

**Note:**  $A$ -c.e. =  $\bar{A}$ -c.e. yields the second equivalence in 1.

## Proof

2. Show  $\emptyset^{(n)}$  is  $\Sigma_n$ -complete for  $n > 0$  by induction.

**Base case:** For  $n = 1$ ,  $\emptyset' = K$  is  $\Sigma_1$ -complete.

**Induction step:** Let  $B \subseteq \mathbb{N}$ . Then

- $B \in \Sigma_{n+1}$  iff  $B$  is c.e. in some  $\Sigma_n$  set by 1.
- iff  $B$  is c.e. in  $\emptyset^{(n)}$  by induction assumption
- iff  $B \leq_m \emptyset^{(n+1)}$  by the Jump Theorem 2.

Hence  $\emptyset^{(n+1)}$  is  $\Sigma_{n+1}$ -complete.

3. follows from 1. and 2.

- 4.  $B \in \Delta_{n+1}$  iff  $B, \bar{B} \in \Sigma_{n+1}$
- iff  $B, \bar{B}$  are  $\emptyset^{(n)}$ -c.e. by 3.
- iff  $B$  is  $\emptyset^{(n)}$ -computable by Complementation Thm.

