

Reductions and Rice's Theorem

Peter Mayr

Computability Theory, February 8, 2021

Recall

- ▶ $[M]$ denotes the encoding of the DTM M .
- ▶ The **self acceptance problem**

$$\text{SAP} := \{[M] : M \text{ is a DTM that accepts } [M]\}$$

is c.e. but its complement $\overline{\text{SAP}}$ is not c.e. by a diagonalization argument.

- ▶ Hence SAP is not computable.

Question

The **acceptance problem**

$$\text{AP} := \{([M], x) : M \text{ is a DTM, } x \in \Sigma^*, M \text{ accepts } x\}$$

is c.e. Is it computable?

Piggy-backing

Using that SAP is not computable, we can show that AP is neither.

Theorem

AP is not computable.

Proof.

Seeking a contradiction, suppose U is a **halting** DTM with $L(U) = \text{AP}$. Note

$$\underline{[M] \in \text{SAP} \text{ iff } ([M], [M]) \in \text{AP}.}$$

Hence SAP is computable by the following DTM U' :

- ▶ On input x run U on (x, x) .
- ▶ If U accepts (x, x) , then U' accepts.
- ▶ If U rejects (x, x) , then U' rejects (in particular if x is not TM-code).

Since U is halting, so is U' . Contradiction. □

Many-one reductions

Definition

Let $A, B \subseteq \Sigma^*$. A **many-one reduction** from A to B is a computable function $f: \Sigma^* \rightarrow \Sigma^*$ such that

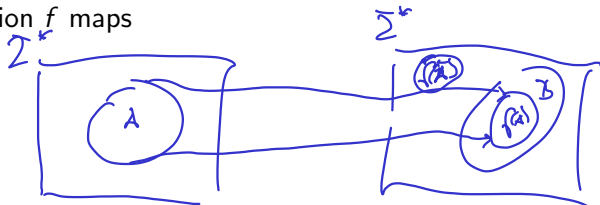
** not injective*

$$\forall x \in \Sigma^*: \underline{x \in A \text{ iff } f(x) \in B.}$$

If a many-one reduction from A to B exists, A is **many-one reducible** to B (short $A \leq_m B$).

A many-one reduction f maps

- ▶ A to B and
- ▶ \bar{A} to \bar{B} .



Example

$SAP \leq_m AP$ via $x \mapsto (x, x)$

Hard problems don't reduce to easy ones

Theorem

Assume $A \leq_m B$.

If B is computable, c.e., co-c.e., respectively, then so is A .

(Often used in its contrapositive form.)

Proof.

HW



Note

- ▶ $A \leq_m B$ iff $\bar{A} \leq_m \bar{B}$.
- ▶ \leq_m is transitive.
- ▶ Outlook: Polytime-, logspace- ... reductions are many-one reductions computable with restricted resources.

Halting Problem

The **halting problem** is

$$\text{HP} := \{([M], x) : M \text{ is a DTM, } x \in \Sigma^*, M \text{ halts on } x\}.$$

Theorem

HP is c.e. but not computable.

Proof.

Show $\text{HP} \leq_m \text{AP}$ and $\text{AP} \leq_m \text{HP}$ (HW).



Properties of c.e. languages

- ▶ A **property** S of c.e. languages is a set of c.e. languages.
Ex. property finite = set of finite languages
- ▶ S is **trivial** if $S = \emptyset$ (*satisfied by no language*) or $S =$ set of all c.e. languages.

Rice's Theorem (1951)

Let S be a non-trivial property of c.e. languages. Then

$$P_S := \{ \underline{[M]} : M \text{ is a DTM with } \underline{L(M)} \in S \}$$

is not computable.

Show: No nontrivial property is computable.

Proof.

Wlog

- ▶ $\emptyset \notin S$ (else consider \bar{S}).
- ▶ Fix DTM N such that $L(N) \in S$ (possible since $S \neq \emptyset$).

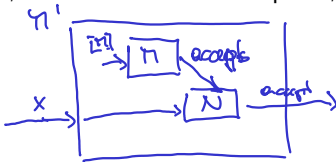
Claim: $\text{SAP} \leq_m P_S$ (equivalent to $\overline{\text{SAP}} \leq_m \overline{P_S}$)

- ▶ Need computable $f: [M] \rightarrow [M']$ such that

$$\underline{[M] \in L(M)} \text{ iff } L(M') \in S \quad (\dagger)$$

(and non-TM codes are mapped to, say, 0).

- ▶ M' does the following on input x :
 1. Run M on input $[M]$. If M rejects, then M' rejects.
 2. Else if M accepts, run N on x . If N accepts x , then M' accepts.



- ▶ Then

$$L(M') = \begin{cases} L(N) & \text{if } \underline{[M]} \in L(M), \\ \emptyset & \text{else.} \end{cases}$$

(Handwritten annotations: e^S above the first case, $\notin S$ below the second case)

Hence (†) holds.

- ▶ Note that $[M']$ is computable from $\underline{[M]}$, $\underline{[N]}$ and $\underline{[U]}$ for a universal DTM U . □

Note

The proof of Rice's Theorem for a non-trivial property S yields:

- ▶ if $\emptyset \notin S$, then $\overline{P_S}$ is not c.e.;
- ▶ if $\emptyset \in S$, then P_S is not c.e.

Nothing can be decided

By Rice's Theorem no non-trivial property of c.e. languages (DTMs) is computable, in particular:

- ▶ Emptiness: Is $L(M) = \emptyset$?
- ▶ Finiteness: Is $L(M)$ finite?
- ▶ Regularity: Is $L(M)$ regular?
- ▶ Computability: Is $L(M)$ computable?
- ▶ Equality: Is $L(M_1) = L(M_2)$? * not even for fixed M_2 with $L(M_2) = \emptyset$
- ▶ Inclusion: Is $L(M_1) \subseteq L(M_2)$?

Question

Which of these (or their complements) are c.e.?