

University of Colorado Boulder  
Math 5001, Midterm, Part 1, In-Class

Fall 2019

NAME:           *Solutions*          

Question	Points	Score
1	20	
2	20	
3	20	
Total:	60	

- Read instructions carefully. Show all your reasoning and work for full credit unless indicated otherwise.

1. (20 points) Short answer questions.

(a) (2 pts) [2 pts correct; -2 pts incorrect, 1 pts blank] **True** or False (no work is needed): Let  $k$  be a fixed positive integer, then  $\mathbb{R}^k$  is complete.

(b) (10 pts) Finish the definition and then write the Cauchy criterion for convergence:

A series  $\sum a_n$  converges if and only if  
the sequence of partial sums converges.

Cauchy criterion for convergence: Let  $\epsilon > 0$ , then the series  $\sum a_n$  converges if

$\exists N$  s.t. if  $m \geq n \geq N$ , then  
$$\left| \sum_{i=n}^m a_i \right| < \epsilon.$$

(c) (4 pts) **True** or False (no work is needed): Given the alternating harmonic series

$$\sum_{n=1}^{\infty} (-1)^n \frac{1}{n},$$

there exists a rearrangement of the series such that it converges to  $\tan(\sinh(\cos(\pi e)))$ .

(d) (4 pts) Finish the statement of the Arzela-Ascoli Theorem: Let  $K$  be a compact metric space. A set  $A$  in  $C(K)$  is compact if and only if

$A$  is closed, bounded & equicontinuous.

2. (20 points) (a) Prove or disprove: the following series converges (you can quote any theorem from class):

$$\sum_{n=1}^{\infty} \frac{1}{n + n^{\frac{3}{2}}}$$

The series converges by the comparison test w/ the p series w/  $p = \frac{3}{2} > 1$

b/c we have

$$\frac{1}{n + n^{3/2}} < \frac{1}{n^{3/2}} \quad (\Leftrightarrow n^{3/2} < n + n^{3/2} \quad \forall n \geq 1)$$

- (b) Show that if in the ratio test one can take a true limit (not just lim sup) and obtain 1, then the root test will also give 1.

Proof:

This follows from Thm 0.1 in the back & the fact that  $\liminf \leq \limsup$ . (\*)

If  $\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = 1$ , then

$$\liminf_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = \limsup_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = 1,$$

so by (0.1), (0.2) & (\*), we have

$$\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = 1 \Rightarrow \limsup_{n \rightarrow \infty} \sqrt[n]{a_n} = 1.$$

□

3. (20 points) Consider the following space:

$$C^1([0, 1]) = \{f : [0, 1] \rightarrow \mathbb{R} : f \text{ and } f' \text{ are continuous on } [0, 1]\}.$$

Let

$$\|f\| = \|f\|_\infty + \|f'\|_\infty. \quad (0.1)$$

Using that  $\|\cdot\|_\infty$  defines a norm on  $C([0, 1])$ , one can show (0.1) defines a norm on  $C^1([0, 1])$ .

Show  $C^1([0, 1])$  is a complete space with this norm. (You can quote any theorem from class.)

Proof: Let  $\{f_n\}$  be a Cauchy sequence in  $C^1$ .

Then  $\forall \varepsilon > 0 \exists N$  s.t. if  $m, n \geq N$ , then

$$\|f_n - f_m\|_\infty + \|f'_n - f'_m\|_\infty < \varepsilon.$$

This means  $\{f_n\}$  &  $\{f'_n\}$  are Cauchy in  $\|\cdot\|_\infty$ .

B/c  $C([0, 1])$  is a Banach space,

$f_n \rightarrow f$  in  $\|\cdot\|_\infty$  for some  $f \in C([0, 1])$   
and  $f'_n \rightarrow g$  in  $\|\cdot\|_\infty$  —  $g \in C([0, 1])$ .

B/c  $f_n \rightarrow f$  in  $\|\cdot\|_\infty$ , it converges pointwise  $\forall x_0 \in [0, 1]$ ,

so Thm 0.2 (Thm 7.17 in the book) applies and

$g = f'$ . And b/c  $g \in C([0, 1])$ , the  
sequence converges in  $C^1([0, 1])$  as needed.  $\square$

Theorems that may or may not be useful:

**Theorem 0.1.** *For any sequence  $\{c_n\}$  of positive numbers,*

$$\liminf \frac{c_{n+1}}{c_n} < \liminf \sqrt[n]{c_n}, \quad (0.2)$$

$$\limsup \sqrt[n]{c_n} < \limsup \frac{c_{n+1}}{c_n}. \quad (0.3)$$

**Theorem 0.2.** *Suppose  $\{f_n\}$  is a sequence of functions, differentiable on  $[a, b]$  and such that  $\{f_n(x_0)\}$  converges for some point  $x_0$  on  $[a, b]$ . If  $\{f'_n\}$  converges uniformly on  $[a, b]$ , then  $\{f_n\}$  converges uniformly on  $[a, b]$ , to a function  $f$ , and*

$$f'(x) = \lim_{n \rightarrow \infty} f'_n(x), \quad (a \leq x \leq b).$$