Converting to polar coordinates, we obtain

$$A = \int_0^{2\pi} \int_0^3 \sqrt{1 + 4r^2} \, r \, dr \, d\theta = \int_0^{2\pi} d\theta \, \int_0^3 r \sqrt{1 + 4r^2} \, dr$$
$$= 2\pi \left(\frac{1}{8}\right)\frac{2}{3}(1 + 4r^2)^{3/2}\Big]_0^3 = \frac{\pi}{6} \left(37\sqrt{37} - 1\right)$$

The question remains whether our definition of surface area (6) is consistent with the surface area formula from single-variable calculus (8.2.4).

We consider the surface S obtained by rotating the curve y = f(x),  $a \le x \le b$ , about the x-axis, where  $f(x) \ge 0$  and f' is continuous. From Equations 3 we know that parametric equations of S are

$$x = x$$
  $y = f(x)\cos\theta$   $z = f(x)\sin\theta$   $a \le x \le b$   $0 \le \theta \le 2\pi$ 

To compute the surface area of S we need the tangent vectors

$$\mathbf{r}_x = \mathbf{i} + f'(x)\cos\theta\,\mathbf{j} + f'(x)\sin\theta\,\mathbf{k}$$

$$\mathbf{r}_{\theta} = -f(x)\sin\theta\,\mathbf{j} + f(x)\cos\theta\,\mathbf{k}$$

Thus

$$\mathbf{r}_{x} \times \mathbf{r}_{\theta} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ 1 & f'(x)\cos\theta & f'(x)\sin\theta \\ 0 & -f(x)\sin\theta & f(x)\cos\theta \end{vmatrix}$$
$$= f(x)f'(x)\mathbf{i} - f(x)\cos\theta\mathbf{j} - f(x)\sin\theta\mathbf{k}$$

and so

$$|\mathbf{r}_{x} \times \mathbf{r}_{\theta}| = \sqrt{[f(x)]^{2}[f'(x)]^{2} + [f(x)]^{2}\cos^{2}\theta + [f(x)]^{2}\sin^{2}\theta}$$
$$= \sqrt{[f(x)]^{2}[1 + [f'(x)]^{2}]} = f(x)\sqrt{1 + [f'(x)]^{2}}$$

because  $f(x) \ge 0$ . Therefore the area of S is

$$A = \iint\limits_{D} |\mathbf{r}_{x} \times \mathbf{r}_{\theta}| dA = \int_{0}^{2\pi} \int_{a}^{b} f(x) \sqrt{1 + [f'(x)]^{2}} dx d\theta$$
$$= 2\pi \int_{a}^{b} f(x) \sqrt{1 + [f'(x)]^{2}} dx$$

This is precisely the formula that was used to define the area of a surface of revolution in single-variable calculus (8.2.4).

## 16.6 EXERCISES

**1–2** Determine whether the points P and Q lie on the given surface.

**1.** 
$$\mathbf{r}(u, v) = \langle 2u + 3v, 1 + 5u - v, 2 + u + v \rangle$$
  
  $P(7, 10, 4), \ Q(5, 22, 5)$ 

**2.** 
$$\mathbf{r}(u, v) = \langle u + v, u^2 - v, u + v^2 \rangle$$
  
  $P(3, -1, 5), Q(-1, 3, 4)$ 

3-6 Identify the surface with the given vector equation.

**3.** 
$$\mathbf{r}(u, v) = (u + v)\mathbf{i} + (3 - v)\mathbf{j} + (1 + 4u + 5v)\mathbf{k}$$

**4.** 
$$\mathbf{r}(u, v) = 2 \sin u \, \mathbf{i} + 3 \cos u \, \mathbf{j} + v \, \mathbf{k}, \quad 0 \le v \le 2$$

**5.** 
$$\mathbf{r}(s, t) = \langle s, t, t^2 - s^2 \rangle$$

**6.** 
$$\mathbf{r}(s,t) = \langle s \sin 2t, s^2, s \cos 2t \rangle$$

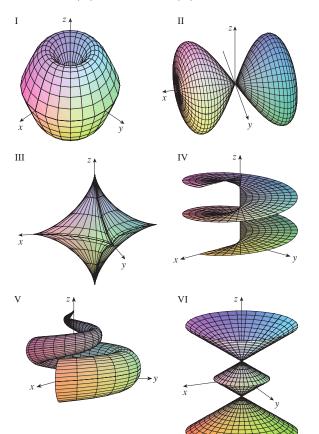
7-12 Use a computer to graph the parametric surface. Get a printout and indicate on it which grid curves have u constant and which have v constant.

**7.** 
$$\mathbf{r}(u,v) = \langle u^2 + 1, v^3 + 1, u + v \rangle, \quad -1 \le u \le 1, \ -1 \le v \le 1$$

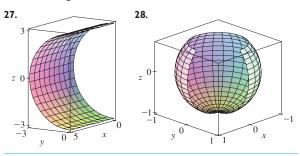
**8.** 
$$\mathbf{r}(u, v) = \langle u + v, u^2, v^2 \rangle, -1 \le u \le 1, -1 \le v \le 1$$

**9.** 
$$\mathbf{r}(u,v) = \langle u \cos v, u \sin v, u^5 \rangle, -1 \le u \le 1, \ 0 \le v \le 2\pi$$

- **10.**  $\mathbf{r}(u, v) = \langle \cos u \sin v, \sin u \sin v, \cos v + \ln \tan(v/2) \rangle$ ,  $0 \le u \le 2\pi, \ 0.1 \le v \le 6.2$
- 11.  $x = \sin v$ ,  $y = \cos u \sin 4v$ ,  $z = \sin 2u \sin 4v$ ,  $0 \le u \le 2\pi$ ,  $-\pi/2 \le v \le \pi/2$
- 12.  $x = u \sin u \cos v$ ,  $y = u \cos u \cos v$ ,  $z = u \sin v$
- 13-18 Match the equations with the graphs labeled I-VI and give reasons for your answers. Determine which families of grid curves have u constant and which have v constant.
- **13.**  $\mathbf{r}(u, v) = u \cos v \mathbf{i} + u \sin v \mathbf{j} + v \mathbf{k}$
- 14.  $\mathbf{r}(u, v) = u \cos v \mathbf{i} + u \sin v \mathbf{j} + \sin u \mathbf{k}, \quad -\pi \le u \le \pi$
- 15.  $\mathbf{r}(u, v) = \sin v \mathbf{i} + \cos u \sin 2v \mathbf{j} + \sin u \sin 2v \mathbf{k}$
- **16.**  $x = (1 u)(3 + \cos v)\cos 4\pi u$ ,  $y = (1 - u)(3 + \cos v) \sin 4\pi u$ ,  $z = 3u + (1 - u)\sin v$
- 17.  $x = \cos^3 u \cos^3 v$ ,  $y = \sin^3 u \cos^3 v$ ,  $z = \sin^3 v$
- **18.**  $x = (1 |u|)\cos v$ ,  $y = (1 |u|)\sin v$ , z = u



- 19-26 Find a parametric representation for the surface.
- 19. The plane that passes through the point (1, 2, -3) and contains the vectors  $\mathbf{i} + \mathbf{j} - \mathbf{k}$  and  $\mathbf{i} - \mathbf{j} + \mathbf{k}$
- **20.** The lower half of the ellipsoid  $2x^2 + 4y^2 + z^2 = 1$
- **21.** The part of the hyperboloid  $x^2 + y^2 z^2 = 1$  that lies to the right of the xz-plane
- **22.** The part of the elliptic paraboloid  $x + y^2 + 2z^2 = 4$  that lies in front of the plane x = 0
- **23.** The part of the sphere  $x^2 + y^2 + z^2 = 4$  that lies above the cone  $z = \sqrt{x^2 + y^2}$
- **24.** The part of the sphere  $x^2 + y^2 + z^2 = 16$  that lies between the planes z = -2 and z = 2
- **25.** The part of the cylinder  $y^2 + z^2 = 16$  that lies between the planes x = 0 and x = 5
- **26.** The part of the plane z = x + 3 that lies inside the cylinder  $x^2 + y^2 = 1$
- [45] 27–28 Use a computer algebra system to produce a graph that looks like the given one.



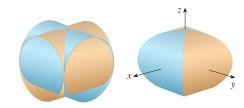
- 29. Find parametric equations for the surface obtained by rotating the curve  $y = e^{-x}$ ,  $0 \le x \le 3$ , about the x-axis and use them to graph the surface.
- **30.** Find parametric equations for the surface obtained by rotating the curve  $x = 4y^2 - y^4$ ,  $-2 \le y \le 2$ , about the y-axis and use them to graph the surface.
- **31.** (a) What happens to the spiral tube in Example 2 (see Figure 5) if we replace  $\cos u$  by  $\sin u$  and  $\sin u$  by  $\cos u$ ?
  - (b) What happens if we replace  $\cos u$  by  $\cos 2u$  and  $\sin u$ by  $\sin 2u$ ?
- **32.** The surface with parametric equations

$$x = 2 \cos \theta + r \cos(\theta/2)$$
$$y = 2 \sin \theta + r \cos(\theta/2)$$
$$z = r \sin(\theta/2)$$

where  $-\frac{1}{2} \le r \le \frac{1}{2}$  and  $0 \le \theta \le 2\pi$ , is called a **Möbius** strip. Graph this surface with several viewpoints. What is unusual about it?

- **33–36** Find an equation of the tangent plane to the given parametric surface at the specified point. If you have software that graphs parametric surfaces, use a computer to graph the surface and the tangent plane.
- **33.** x = u + v,  $y = 3u^2$ , z = u v; (2, 3, 0)
- **34.**  $x = u^2$ ,  $y = v^2$ , z = uv; u = 1, v = 1
- **35.**  $\mathbf{r}(u, v) = u^2 \mathbf{i} + 2u \sin v \mathbf{j} + u \cos v \mathbf{k}; \quad u = 1, v = 0$
- **36.**  $\mathbf{r}(u, v) = uv \mathbf{i} + u \sin v \mathbf{j} + v \cos u \mathbf{k}; \quad u = 0, v = \pi$
- 37-47 Find the area of the surface.
- 37. The part of the plane 3x + 2y + z = 6 that lies in the first octant
- **38.** The part of the plane 2x + 5y + z = 10 that lies inside the cylinder  $x^2 + y^2 = 9$
- **39.** The surface  $z = \frac{2}{3}(x^{3/2} + y^{3/2}), \ 0 \le x \le 1, \ 0 \le y \le 1$
- **40.** The part of the plane with vector equation  $\mathbf{r}(u, v) = \langle 1 + v, u 2v, 3 5u + v \rangle$  that is given by  $0 \le u \le 1, 0 \le v \le 1$
- **41.** The part of the surface z = xy that lies within the cylinder  $x^2 + y^2 = 1$
- **42.** The part of the surface  $z = 1 + 3x + 2y^2$  that lies above the triangle with vertices (0, 0), (0, 1), and (2, 1)
- **43.** The part of the hyperbolic paraboloid  $z = y^2 x^2$  that lies between the cylinders  $x^2 + y^2 = 1$  and  $x^2 + y^2 = 4$
- **44.** The part of the paraboloid  $x = y^2 + z^2$  that lies inside the cylinder  $y^2 + z^2 = 9$
- **45.** The part of the surface  $y = 4x + z^2$  that lies between the planes x = 0, x = 1, z = 0, and z = 1
- **46.** The helicoid (or spiral ramp) with vector equation  $\mathbf{r}(u, v) = u \cos v \mathbf{i} + u \sin v \mathbf{j} + v \mathbf{k}, 0 \le u \le 1, 0 \le v \le \pi$
- **47.** The surface with parametric equations  $x = u^2$ , y = uv,  $z = \frac{1}{2}v^2$ ,  $0 \le u \le 1$ ,  $0 \le v \le 2$
- **48–49** Find the area of the surface correct to four decimal places by expressing the area in terms of a single integral and using your calculator to estimate the integral.
- **48.** The part of the surface  $z = \cos(x^2 + y^2)$  that lies inside the cylinder  $x^2 + y^2 = 1$
- **49.** The part of the surface  $z = e^{-x^2 y^2}$  that lies above the disk  $x^2 + y^2 \le 4$
- **50.** Find, to four decimal places, the area of the part of the surface  $z = (1 + x^2)/(1 + y^2)$  that lies above the square  $|x| + |y| \le 1$ . Illustrate by graphing this part of the surface.

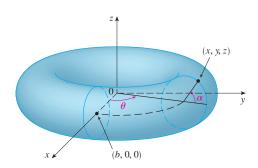
- **51.** (a) Use the Midpoint Rule for double integrals (see Section 15.1) with six squares to estimate the area of the surface  $z = 1/(1 + x^2 + y^2)$ ,  $0 \le x \le 6$ ,  $0 \le y \le 4$ .
- (b) Use a computer algebra system to approximate the surface area in part (a) to four decimal places. Compare with the answer to part (a).
- [AS] **52.** Find the area of the surface with vector equation  $\mathbf{r}(u,v) = \langle \cos^3 u \cos^3 v, \sin^3 u \cos^3 v, \sin^3 v \rangle, \ 0 \le u \le \pi, \ 0 \le v \le 2\pi.$  State your answer correct to four decimal places
- **53.** Find the exact area of the surface  $z = 1 + 2x + 3y + 4y^2$ ,  $1 \le x \le 4$ ,  $0 \le y \le 1$ .
  - **54.** (a) Set up, but do not evaluate, a double integral for the area of the surface with parametric equations  $x = au \cos v$ ,  $y = bu \sin v$ ,  $z = u^2$ ,  $0 \le u \le 2$ ,  $0 \le v \le 2\pi$ .
    - (b) Eliminate the parameters to show that the surface is an elliptic paraboloid and set up another double integral for the surface area.
- (c) Use the parametric equations in part (a) with a = 2 and b = 3 to graph the surface.
- (d) For the case a = 2, b = 3, use a computer algebra system to find the surface area correct to four decimal places.
  - **55.** (a) Show that the parametric equations  $x = a \sin u \cos v$ ,  $y = b \sin u \sin v$ ,  $z = c \cos u$ ,  $0 \le u \le \pi$ ,  $0 \le v \le 2\pi$ , represent an ellipsoid.
- (b) Use the parametric equations in part (a) to graph the ellipsoid for the case a = 1, b = 2, c = 3.
  - (c) Set up, but do not evaluate, a double integral for the surface area of the ellipsoid in part (b).
  - **56.** (a) Show that the parametric equations  $x = a \cosh u \cos v$ ,  $y = b \cosh u \sin v$ ,  $z = c \sinh u$ , represent a hyperboloid of one sheet.
- (b) Use the parametric equations in part (a) to graph the hyperboloid for the case a = 1, b = 2, c = 3.
  - (c) Set up, but do not evaluate, a double integral for the surface area of the part of the hyperboloid in part (b) that lies between the planes z=-3 and z=3.
  - **57.** Find the area of the part of the sphere  $x^2 + y^2 + z^2 = 4z$  that lies inside the paraboloid  $z = x^2 + y^2$ .
  - **58.** The figure shows the surface created when the cylinder  $y^2 + z^2 = 1$  intersects the cylinder  $x^2 + z^2 = 1$ . Find the area of this surface.



- **59.** Find the area of the part of the sphere  $x^2 + y^2 + z^2 = a^2$  that lies inside the cylinder  $x^2 + y^2 = ax$ .
- **60.** (a) Find a parametric representation for the torus obtained by rotating about the *z*-axis the circle in the *xz*-plane with center (b, 0, 0) and radius a < b. [*Hint:* Take as parameters the angles  $\theta$  and  $\alpha$  shown in the figure.]



- (b) Use the parametric equations found in part (a) to graph the torus for several values of *a* and *b*.
- (c) Use the parametric representation from part (a) to find the surface area of the torus.



## 16.7

## SURFACE INTEGRALS

The relationship between surface integrals and surface area is much the same as the relationship between line integrals and arc length. Suppose f is a function of three variables whose domain includes a surface S. We will define the surface integral of f over S in such a way that, in the case where f(x, y, z) = 1, the value of the surface integral is equal to the surface area of S. We start with parametric surfaces and then deal with the special case where S is the graph of a function of two variables.

## PARAMETRIC SURFACES

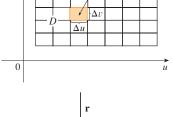
Suppose that a surface S has a vector equation

$$\mathbf{r}(u,v) = x(u,v)\,\mathbf{i} + y(u,v)\,\mathbf{j} + z(u,v)\,\mathbf{k} \qquad (u,v) \in D$$

We first assume that the parameter domain D is a rectangle and we divide it into subrectangles  $R_{ij}$  with dimensions  $\Delta u$  and  $\Delta v$ . Then the surface S is divided into corresponding patches  $S_{ij}$  as in Figure 1. We evaluate f at a point  $P_{ij}^*$  in each patch, multiply by the area  $\Delta S_{ij}$  of the patch, and form the Riemann sum

$$\sum_{i=1}^{m} \sum_{j=1}^{n} f(P_{ij}^*) \Delta S_{ij}$$

Then we take the limit as the number of patches increases and define the **surface integral** of f over the surface S as





$$\iint_{S} f(x, y, z) dS = \lim_{m, n \to \infty} \sum_{i=1}^{m} \sum_{j=1}^{n} f(P_{ij}^{*}) \Delta S_{ij}$$

Notice the analogy with the definition of a line integral (16.2.2) and also the analogy with the definition of a double integral (15.1.5).

To evaluate the surface integral in Equation 1 we approximate the patch area  $\Delta S_{ij}$  by the area of an approximating parallelogram in the tangent plane. In our discussion of surface area in Section 16.6 we made the approximation

$$\Delta S_{ij} pprox |\mathbf{r}_u imes \mathbf{r}_v| \Delta u \, \Delta v$$

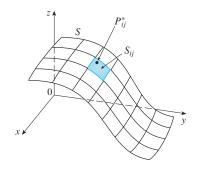


FIGURE I