Math 6210 — Fall 2012 Exam #1

Due Friday, October 26. Remember to cite any sources you use.

Problem 1. A topological space X is called path connected if for every pair of points x and y there is a path $f: [0,1] \to X$ such that f(0) = x and f(1) = y.

(a) Show that if X is path connected then X is connected.

Solution. Suppose $X = Y \amalg Z$. Suppose that Y is non-empty and pick $y \in Y$. For any $x \in X$ there is a path $f:[0,1] \to X$ connecting x to y. Since f is continuous and [0,1] is connected, the image of f is a connected subset of X, therefore is contained in Y. But then x is contained in Y. This holds for all $x \in X$ so X = Y and $Z = \emptyset$. This applies to any decomposition of X and Y II Z, so X must be connected.

(b) Let X be the union of $\{(x,y) \in \mathbb{R}^2 \mid x \neq 0, y = \sin(1/x)\}^1$ and $\{(0,0)\}$. Show that X is connected but not path connected.

Solution. Let $U = X \cap (-\infty, 0) \times \mathbf{R}$. The sequence $(-\frac{1}{k\pi}, 0)$ in U converges to (0, 0) so $\overline{U} = U \cup \{(0, 0)\} = U \cup \{(0, 0)\}$ $X \cap (-\infty, 0] \times \mathbf{R}.$

Since U is the continuous image of the function $f(t) = (t, \sin(1/t))$ it is connected. Therefore its closure is connected [?, 7 (c)]. The same argument shows that $\overline{V} = X \cap [0, \infty)$ is connected. But then $\overline{U} \cap \overline{V} = \{(0,0)\}$ is non-empty so $X = \overline{U} \cup \overline{V}$ is connected by [?, 7 (a)].

Now we show X is not path connected. Suppose there were a path f in X connecting $(1/\pi, 0)$ to (0,0). Since [0,1] is compact, the image of f is compact, hence closed. Composing with first projection $\mathbf{R}^2 \to \mathbf{R}$, we see that any path from $(1, \sin(1))$ to (0, 0) must induce a path from 1 to 0 in **R**. Since the interval is connected, such a path must be surjective. Therefore, for every $x \in (0, 1]$ there must be some $t \in [0,1]$ such that $f(t) = (x, \sin(1/x))$. In particular, the image of f must contain the points $x = (\frac{1}{\pi/2 + 2k\pi}, 1)$ for all integers $k \ge 0$. But the image of f is supposed to be closed, so it would also have to contain (0, 1), which is the limit of that sequence of points. Since (0, 1) is not in X, this is a contradiction.

(c) Show that the point (0,0) in the example X above does not have a neighborhood basis of compact subsets of X.

Solution. Let $U = (-1/2, 1/2) \times (-1/2, 1/2) \cap X$ and suppose $(0,0) \in V \subset K \subset U$ with V open and K compact. Then K is a compact subset of \mathbf{R}^2 , hence is closed in \mathbf{R}^2 . Choose a real number $y \in (0, \epsilon)$. Then for each sufficiently large integer k we have $1/2\pi k \in (0,\epsilon)$ and $1/(\pi/2 + 2\pi k) \in (0,\epsilon)$. By the intermediate value theorem, there is therefore some $x_k \in (1/(\pi/2 + 2\pi k), 1/2\pi k)$ with $\sin(1/x_k) = y$. We therefore have a sequence $(x_k, y) \in K$ with limit (0, y), which does not converge to an element of X. Therefore K is not closed in \mathbb{R}^2 , hence is not compact.

Problem 2. Let X be a topological space. Construct a new space $X' = X \cup \{\infty\}$ in which a subset $U \subset X'$ is called open if it is an open subset of X or if it contains ∞ and its complement is a closed,² compact subset \leftarrow_2 of $X.^3$

 \leftarrow_3

(a) Show that this is a topology on X' and that the inclusion map $X \to X'$ is continuous.

¹correction: added the hypothesis $x \neq 0$, which was omitted before; thanks Megan

²correction: "closed" forgotten originally; thanks Dmitro

³This problem had quite a few issues. I couldn't find a reasonable way to salvage part (d), so I deleted it.

Solution. Since \emptyset is an open subset of X it is an open subset of X'. Since the complement of X' is the empty set, which is a compact subset of X, the set X' is open as well.

Suppose that U_i are open subsets of X'. Let $U = \bigcup U_i$. If all U_i are contained in X then their union is also contained in X and is open because a union of open sets is open. If on the other hand $\infty \in U$ then $\infty \in U_j$ for some j. Therefore $X \setminus U_j$ is compact. Then $X \setminus U = \bigcap (X \setminus U_i)$ is a closed subset of X that is contained in $X \setminus U_j$. But $X \setminus U_j$ is compact, so $X \setminus U$ is compact (and closed, as already remarked). Therefore U is an open subset of X by definition.

Finally, suppose that U and V are open subsets of X'. Then $X \setminus (U \cap V) = (X \setminus U) \cup (X \setminus V)$ is a finite union of closed, compact subsets of X, hence is closed and compact.

Now we check that $i: X \to X'$ is continuous. Suppose that $U \subset X'$ is open. Then either $U \subset X$, in which case $i^{-1}(U) = U$, and U is an open subset of X. If U contains ∞ then $X \setminus U \subset X$ is closed in X, so $i^{-1}(U) = U \cap X$ is open in X. Thus $i^{-1}(U)$ is open in X for all open $U \subset X'$. This shows that i is continuous.

(b) Show that X' is compact.

Solution. Suppose $X' = \bigcup U_i$. We must have $\infty \in U_j$ for some j. Let $Z = X \setminus U_j$. By definition of the open subsets of X', the subset Z is compact. Then the U_i cover Z, and since Z is compact, finitely many of the U_i —say U_{i_1}, \ldots, U_{i_k} suffice. Then U_j and U_{i_1}, \ldots, U_{i_k} together constitute a finite subcover of $\{U_i\}$.

(c) Let Y be the subspace of X' whose underlying set is X. Show that the map $X \to Y$ is a homeomorphism. (Show, in other words, that the subspace topology on X induced from X' is the same as the original topology on X.)

Solution. We already know that the map $X \to Y$ is a continuous bijection. On the other hand, it is open because if $U \subset X$ is open then $U \subset X'$ is open. An open, continuous bijection is a homeomorphism. \Box

Problem 3. Let $\mathbb{R}P^n$ be the quotient of S^n by the action of $\{\pm 1\}$. Give $\mathbb{R}P^n$ the quotient topology.

(a) Show that the map $p: S^n \to \mathbb{R}P^n$ is a covering space.

Solution. Choose a cover of S^n by open subsets U with the property that $-U \cap U = \emptyset$. Then for each such U we have $p^{-1}(p(U)) = -U \cup U$, which is open in S^n , so p(U) is open in \mathbb{RP}^n . Therefore the sets p(U) form an open cover \mathbb{RP}^n . Moreover, for each such U, the map $U \to p(U)$ is a bijection: it is surjective by definition and injective because $U \cap -U = \emptyset$. Therefore $p^{-1}(p(U)) \cong \{\pm 1\} \times p(U)$. This shows that p is a covering space.

(b) Prove that $\pi_1(\mathbb{R}\mathbb{P}^n, *) \cong \mathbb{Z}/2\mathbb{Z}$ for $n \ge 2$ and $\pi_1(\mathbb{R}\mathbb{P}^1, *) \cong \mathbb{Z}.^4$

Solution. If $n \ge 2$ then S^n is a simply connected covering space of $\mathbb{R}P^n$ so $\pi_1(\mathbb{R}P^n)$ acts simply transitively on $p^{-1}(*)$, which is a 2-element set. Therefore $\pi_1(\mathbb{R}P^n)$ is a group with 2 elements, and there is only one such up to isomorphism.

 \leftarrow_4

If n = 1 we construct a homeomorphism $S^1 \cong \mathbb{R}P^1$. Consider the map $q: S^1 \to S^1$ given by $x \mapsto x^2$ when S^1 is viewed as the set of complex numbers of absolute value 1. Note that we have a commutative diagram



⁴correction: separated the case n = 1; thanks Megan

where the two maps $f, g: \mathbf{R} \to S^1$ are both open quotient maps. This means that the map $S^1 \to S^1$ is a quotient map $(q^{-1}(U))$ is open iff $f^{-1}q^{-1}(U)$ is open iff U is open). It also implies that q is open, since $q(U) = q(f(f^{-1}(U)))$ and qf is open. Now, note that if p(x) = p(y) then q(x) = q(y) (i.e., $x = \pm y$ if and only if $x^2 = y^2$). Therefore there is a well-defined function $r: S^1 \to \mathbf{RP}^1$ such that rq = p. By the universal property of the quotient topology, r is continuous. It is also open: $r(U) = r(q(q^{-1}(U))) = p(q^{-1}(U))$ is the image of the open set $q^{-1}(U)$ under the open map p.

This is injective: say r(x) = r(y); then pick x', y' such that x = q(x') and y = q(y'); then p(x') = rq(x') = rq(y') = p(y') so $x' = \pm y'$ so $x'^2 = y'^2$ so x = q(x') = q(y') = y. It is also surjective: if $x \in \mathbb{R}P^1$ then x = p(x') for some $x' \in S^1$ so x = rq(x') so x is in the image of r.

Thus r is an open, continuous bijection, hence a homeomorphism. It follows that $\pi_1(\mathbf{RP}^1) \cong \pi_1(S^1) = \mathbf{Z}$.

You may use the following fact without proof: If $n \ge 2$ and $f : [0,1] \to S^n$ is a continuous map then there exists a homotopy h, relative to the endpoints 0 and 1, between f and a continuous map $g : [0,1] \to S^n$ where g is not surjective.⁵

Problem 4. Let X be a topological space and PX = Cont([0,1], X) its **path space**. Let $\varphi : X \to PX$ be the function that sends $x \in X$ to the constant path at x. Show that φ is a homotopy equivalence.

Solution. We should first verify that φ is continuous. This is because the projection map $X \times [0,1] \to X$: $(x,t) \mapsto x$ is continuous. Therefore by the universal property of the path space, the map $\varphi : X \to PX$ sending x to the function $\varphi(x)$ with $\varphi(x)(t) = x$ for all $t \in [0,1]$ is a continuous function.

Let $\psi: PX \to X$ be the function sending a path $f: [0,1] \to X$ to f(0). We verify ψ is continuous: by the universal property of PX (which applies because [0,1] is compact and Hausdorff), there is a continuous map $PX \times [0,1] \to X$ sending (f,t) to f(t) (corresponding to the identity map $PX \to PX$). We also have a continuous map $PX \to PX \times [0,1]$ sending f to (f,0) by the universal property of the product. The map ψ is the composition of these two maps.

Now, we have $\psi \circ \varphi(x) = x$ so what we have to check is that $\varphi \circ \psi$ is homotopic to the identity. Let $F : PX \times [0,1] \to X$ be the universal map described above. Consider the map $\alpha : [0,1] \times [0,1] \to [0,1]$ defined by $\alpha(s,t) = st$. Then $H = F \circ (\operatorname{id} \times \alpha)$ defines a continuous map $H : PX \times [0,1] \times [0,1] \to X$ with H(f,s,t) = f(st). By the universal property of the path space, we obtain a continuous map $h : PX \times [0,1] \to PX$ with h(f,s)(t) = H(f,s,t) = f(st). But now, $h(f,0)(t) = f(0) = \varphi \circ \psi(f)(t)$ and $h(f,1)(t) = f(t) = \operatorname{id}(f)(t)$. Therefore h is a homotopy between $\varphi \circ \psi$ and the identity.

Problem 5. Let C be the Cantor set and let I be the unit interval.

(a) Show that by definition C is the subset of all real numbers in [0,1] whose ternary (base 3) expansion does not contain a 1 after the decimal point.

Solution. Let $\omega = \mathbb{Z}_{>0}$ be the set of positive integers. Give the set $\{0,2\}$ the discrete topology. Let $X = \{0,2\}^{\omega}$ with the product topology. Consider the map $\varphi : X \to [0,1]$ defined by

$$\varphi(a_1, a_2, \ldots) = \sum_{k=1}^{\infty} \frac{a_k}{3^k}$$

Lemma. The map φ defined above is continuous and defines a homeomorphism from $\{0,2\}^{\omega}$ onto C.

Proof. Let $a = (a_k)_{k \in \omega}$ be a point of X. To prove continuity, we must show that, given any $\epsilon > 0$, there is an open neighborhood U of $(a_k)_{k \in \omega}$ such that if $b = (b_k)_{\omega} \in U$ then $|\varphi(a) - \varphi(b)| < \epsilon$. Now, choose an N such that $3^{-N} < \epsilon$. Let U be the set of $b = (b_k)_{k \in \omega}$ such that $b_k = a_k$ for all $k \leq N$. Note that U is open by definition of the product topology. Then

$$|\varphi(a) - \varphi(b)| = |\sum_{k=N+1}^{\infty} \frac{a_k - b_k}{3^k}| \le \sum_{k=N+1}^{\infty} \frac{2}{3^k} = 3^{-N}.$$

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⁵clarification about what is supposed not to be surjective; thanks Jim

Now we show that the image of f is contained in C. The Cantor set has the following recursive structure: $C = \bigcap_{n=0}^{\infty} C_n$ where $C_0 = [0,1]$ and $C_{n+1} = \frac{1}{3}C_n \cup (\frac{2}{3} + \frac{1}{3}C_n)$. We will show by induction that for each $a \in X$ we have $\varphi(a) \in C_n$ for all n. This is obvious for n = 0. Assume then that $\varphi(a) \in C_n$ for all $a \in X$. Let b be the element of X obtained by shifting: $b_k = a_{k+1}$ for all $k \in \omega$. Then $\varphi(b) \in C_n$ by induction, and $\varphi(a)$ is either $\frac{1}{3}\varphi(b)$ or it is $\frac{2}{3} + \frac{1}{3}\varphi(b)$. Either way, $\varphi(a)$ is in either $\frac{1}{3}C_n$ or $\frac{2}{3} + \frac{1}{3}C_n$ so it is in C_{n+1} .

Since C has the subspace topology from [0, 1], the universal property of the subspace topology implies that φ defines a continuous map $X \to C$. To show it is bijective, we now construct an inverse $g: C \to X$. We define g by induction. Let $x_0 = x$. Inductively define $g(x)_n = 0$ if $x_n \in [0, 1/3]$ and $g(x)_n = 2$ if $x_n \in [2/3, 1]$; set $x_n = 3x_n - g(x)_n$. Note that by the inductive structure of the Cantor set, $x_n \in C$ for all n, so this definition is legitimate. It is easy to verify that φ and g are inverse to one another, so I will omit this.

Finally, we must check that g is continuous (or equivalently, that φ is open). Let a be a point of X. There is a basis of open neighborhoods of a of the form $U_N = \{b \in X \mid a_k = b_k \text{ for } k \leq N\}$. But $g^{-1}(U_N) = \varphi(U_N)$ is the interval

$$\left[\sum_{k=1}^N \frac{a_k}{3^k}, \frac{1}{3^N} + \sum_{k=1}^N \frac{a_k}{3^k}\right] \cap C.$$

This is open in C, since it coincides with

$$\left(-\frac{1}{3^N} + \sum_{k=1}^N \frac{a_k}{3^k}, \frac{2}{3^N} + \sum k = 1^N \frac{a_k}{3^k}\right) \cap C.$$

It now follows immediately that every element of the Cantor set can be expressed uniquely as $\sum_{k=1}^{\infty} \frac{a_k}{3^k}$ with $a_k \in \{0, 2\}$ for all k.

(b) Define a function $f: C \to [0, 1]$ as follows: Suppose that $x \in C$ has ternary expansion $0.a_1a_2a_3\cdots$. Then let f(x) be the number in [0, 1] with *binary* expansion $0.b_1b_2b_3\cdots$ where $b_i = 0$ if $a_i = 0$ and $b_i = 1$ if $a_i = 2$. Show that this function is well-defined, continuous, and surjective. (When showing this is well-defined, remember that the ternary expansion of a number is not unique!)

Solution. Since the ternary expansion of an element of the Cantor set is unique the function indicated above is unique. We compose f with the homeomorphism $\varphi : X \to C$ defined in the lemma to get a map $f \circ \varphi : X \to [0, 1]$ which is continuous if and only if f is. But

$$f \circ \varphi(a) = \sum_{k=1}^{\infty} \frac{a_k/2}{3^k}.$$

The proof of the continuity of f goes through exactly as in the proof of the lemma.

(c) Prove that $C \times C$ and C are homeomorphic. (Hint: use something like $g(0.a_1a_2a_3\cdots, 0.b_1b_2b_3\cdots) = 0.a_1b_1a_2b_2a_3b_3\cdots$, but be careful to make sure it is well defined.)

Solution. Note that $C \times C \cong X \times X = \{0,2\}^{\omega} \times \{0,2\}^{\omega}$. We therefore wish to show that $\{0,2\}^{\omega} \times \{0,2\}^{\omega} \cong \{0,2\}^{\omega}$.

First observe that for any topological space Y and any sets A and B, we have $Y^A \times Y^B \cong Y^{A \amalg B}$. Therefore

$$\{0,2\}^{\omega} \times \{0,2\}^{\omega} \cong \{0,2\}^{\omega \amalg \omega}$$

But now we observe that as sets ω and $\omega \amalg \omega$ are in bijection: they are both countably infinite. \Box

Solution. Here is a second solution that is more direct.

Let g be as defined above. It is well-defined since the ternary expansion of an element of C is unique. It is obviously bijective. We only need to check that it is open and continuous. For this it will be preferable to work with a convenient subbasis for the topology of C.

Lemma. Sets of the form $[a, a + 3^{-N}] \cap C$, where $a = \sum_{k=1}^{N} \frac{a_k}{3^k}$ and $a_k \in \{0, 2\}$ for all k, form a basis for the topology of C.

Proof. First note that the sets $[a, a+3^{-N}]$ are all open because if a is of the form above then there is an $\epsilon > 0$ such that $(a-\epsilon, a+3^{-N}+\epsilon)\cap C = [a, a+3^{-N}]$. If $x = \sum_{k=1}^{\infty} \frac{x_k}{3^k}$ is in C then we can take $x_k \in \{0, 2\}$ for all k. Let $\epsilon > 0$ be any positive number. Note that for each N, we have $x \in [x_N, x_N + 3^{-N}]$ where $x_N = \sum_{k=1}^{N} \frac{x_k}{3^k}$. If we choose N large enough then $3^{-N} < \frac{\epsilon}{2}$ so $[x_N, x_N + 3^{-N}] \subset B(x, \epsilon)$.

Note that the set $[a, a + 3^{-N}]$ of the lemma consists of all elements $x = \sum \frac{x_k}{3^k}$ of C such that $x_k = a_k$ for $k \leq N$. To show that g is continuous, we have to show that $g^{-1}([a, a + 3^{-N}])$ is open in $C \times C$. But $g^{-1}([a, a + 3^{-N}])$ consists of all $(\sum \frac{x_k}{3^k}, \frac{y_k}{3^k})$ such that $x_k = a_{2k-1}$ for $2k - 1 \leq N$ and $y_k = a_{2k}$ for $2k \leq N$. Thus $g^{-1}([a, a + 3^{-N}]) = [b, b + 3^{-M}] \times [c, c + 3^{-L}]$ where M is the largest integer such that $2M - 1 \leq N$ and L is the largest integer such that $2L \leq N$. By the lemma and the definition of the product topology, this is an open subset of $C \times C$. Therefore g is continuous.

Conversely, note that the set $[b, b + 3^{-M}] \times [c, c + 3^{-M}]$ with $b = \sum_{k=1}^{M} \frac{b_k}{3^k}$ and $c = \sum_{k=1}^{M} \frac{c_k}{3^k}$ form a basis for the topology of $C \times C$. And we have

$$g([b, b+3^{-M}] \times [c, c+3^{-M}]) = [g(b, c), g(b, c) + 3^{-2M}]$$

so g is also open.

(d) Let $g: C \to C \times C$ be a homeomorphism. Show that $h = (f \times f) \circ g$ is surjective.

Solution. Since h is the composition of a surjection $f \times f$ and a bijection g it must be surjective. \Box

(e) Extend h to a continuous function $I \to I^2$. (Hint: use linear interpolation.)

Solution. Since C is closed in I, the Tietze extension theorem applies to give an extension of $h: C \to I^2$ to $\tilde{h}: I \to I^2$. However, we didn't prove the Tietze extension theorem in class, so I'll give some direct arguments below.

Solution. Since C is compact, for each $x \in I$ that is not in C there is a greatest $y \in C$ such that $y \leq x$ and a least $z \in C$ such that $x \leq z$. Define

$$\widetilde{h}(x) = \frac{x-y}{z-y}h(z) + \frac{z-x}{z-y}h(y).$$

This agrees with the given function \tilde{h} on C. We only have to show that h is continuous.

We show that h is continuous at each point of I. If $x \in I \setminus C$ then \tilde{h} is linear near x so \tilde{h} is obviously continuous there. We therefore only need to check \tilde{h} is continuous on C.

Suppose $x \in C$ and $\epsilon > 0$. Since h is continuous, we can choose $\delta > 0$ such that if $y \in C$ and $|x-y| < \delta$ then $|h(x) - h(y)| < \frac{\epsilon}{2}$. If we choose δ so that $x - \delta$ and $x + \delta$ do not lie in C then $C \cap (x - \delta, x + \delta) = C \cap [x - \delta, x + \delta]$ is a closed subset of I, hence is compact. Therefore $C \cap (x - \delta, x + \delta)$ has a least element, which we call a, and a greatest element, b. Let a' be the greatest element of C that is less than a and let b' be the smallest element of C greater than b (which exist for the same reason we could find a and b). Since \tilde{h} is continuous on [a', a] we can find $\alpha > 0$ such that if $y \in (a - \alpha, a]$

then $\left|\tilde{h}(y) - h(a)\right| < \frac{\epsilon}{2}$. Likewise, we can find β such that if $y \in [b, b+\beta)$ then $\left|\tilde{h}(y) - h(b)\right| < \frac{\epsilon}{2}$. Thus if $y \in (a - \alpha, b + \beta)$ then either $y \in (a - \alpha, x]$ or $y \in [x, b + \beta)$. In the former case,

$$\begin{split} \left| \widetilde{h}(y) - \widetilde{h}(x) \right| &\leq \left| \widetilde{h}(y) - \widetilde{h}(a) \right| + \left| h(a) - h(x) \right| \\ &\leq \frac{\epsilon}{2} + \frac{\epsilon}{2} < \epsilon. \end{split}$$

The case $y \in [x, b + \beta)$ is essentially identical. Therefore \tilde{h} is continuous at x. As this holds for all $x \in C$, and we have already shown \tilde{h} continuous for $x \in I \setminus C$, it follows that \tilde{h} is continuous. \Box

(f) Prove that there is a continuous surjection from I to I^n for all $n \ge 0$.

Solution. Let h be a continuous surjection from I onto I^2 . Then the composition

$$I \xrightarrow{h} I^2 \xrightarrow{h \times \mathrm{id}} I^3 \xrightarrow{h \times id} I^4 \xrightarrow{h \times \mathrm{id}} \cdots \xrightarrow{h \times \mathrm{id}} I^n$$

is a composition of continuous surjections, hence is a continuous surjection from I onto I^n .

(g) Conclude that there is also a continuous surjection from S^1 to S^n for all n.

Solution. Note that h(0) and h(1) both lie on the boundary of I^2 . Therefore if $h_n : I \to I^n$ is the map constructed in the last part then $h_n(0)$ and $h_n(1)$ both lie on ∂I^n . Therefore if $p : I^n \to I^n / \partial I^n = S^n$ is the projection then $p \circ h_n$ factors set-theoretically through $I / \partial I = S^1$. But by definition of the quotient topology, this means that the induced function $S^1 \to S^n$ is continuous. Moreover, because $p \circ h_n$ is surjective (the composition of surjective functions) so must be the induced map $S^1 \to S^n$. \Box

Solution. We have a continuous surjection of I^n onto S^n by collapsing the boundary. We can also find a continuous surjection $S^1 \to I$, e.g., the function f(x, y) = 2x - 1, where we view S^1 as a subset of \mathbf{R}^2 with coordinates x, y. The composition

$$S^1 \to I \to I^n \to S^n$$

is therefore a composition of continuous surjections, hence is a continuous surjection.