

Does ω^* know its right hand from its left?

Will Brian

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PALS

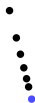
April 2, 2024

The space $\beta\omega$

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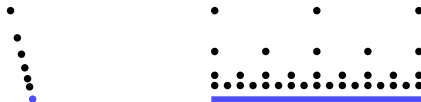
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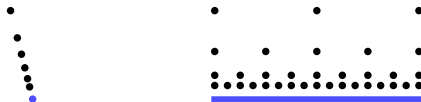
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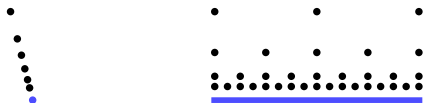


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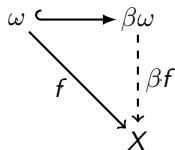
$\beta\omega$ is the *largest* compactification of ω :

i.e., if $\gamma\omega$ is any other compactification of ω , then there is a continuous surjection $\pi : \beta\omega \rightarrow \gamma\omega$ that fixes ω .

The space $\beta\omega$

$\beta\omega$ is the unique compactification of ω with the following property (called the *Stone extension property*):

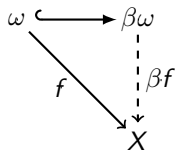
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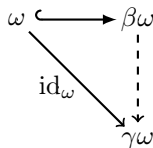
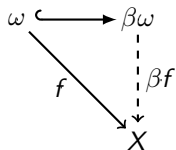
The image of some $u \in \beta\omega$ in this extension is often denoted by

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The fact that $\beta\omega$ is the largest compactification of ω follows from the extension property.

The space ω^*

The space of all non-principal ultrafilters on ω , known as the *Stone-Čech remainder* of ω , is denoted

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$$\begin{array}{ccccc}
 \omega & \hookrightarrow & \beta\omega & \supseteq & \omega^* \\
 & \searrow f & \downarrow \beta f & & \downarrow F = \beta f \upharpoonright \omega^* \\
 & & \beta\omega & \supseteq & \omega^*
 \end{array}$$

Explicitly, for any ultrafilter $u \in \omega^*$, $F(u) = \{f[A] : A \in u\}$.

Trivial self-homeomorphisms

For example, the *shift map* $\sigma : \omega^* \rightarrow \omega^*$ is defined by setting

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Theorem (W. Rudin, 1956)

The Continuum Hypothesis (CH) implies there are $2^{\mathfrak{c}}$ self-homeomorphisms of ω^ . In particular, some of them are non-trivial.*

A theorem of Shelah

In contrast with Rudin's theorem, we have the following.

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- (Shelah and Steprāns, 1988) PFA implies that all self-homeomorphisms of ω^* are trivial.
- (Vecličković, 1992) OCA+MA implies that all self-homeomorphisms of ω^* are trivial.
- (Farah, 2000) OCA+MA imposes strong restrictions on all self-maps of ω^* (not just self-homeomorphisms), and there is a sense in which all of them are nearly trivial.

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This is the natural notion of isomorphism in the category of dynamical systems. A weaker notion is that of a *factor map*, which is defined the same way, except that ϕ is only required to be a continuous surjection.

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Corollary (van Douwen and Shelah)

It is consistent that (ω^, σ) and (ω^*, σ^{-1}) are not conjugate.*

In fact, it is consistent that there is not even a factor map from (ω^*, σ) to (ω^*, σ^{-1}) or vice versa.

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In what time remains, we will sketch a part of the proof.

Back-and-forth arguments

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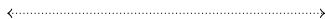
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In its simplest form, this is the kind of argument used to show that any two countable dense subsets of the reals are order-isomorphic.

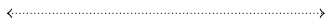
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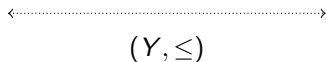
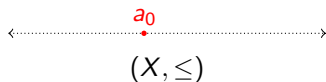


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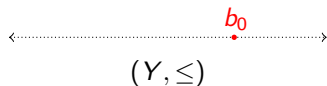
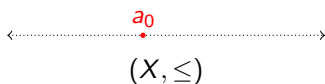
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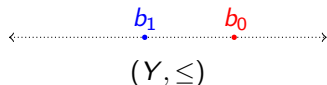
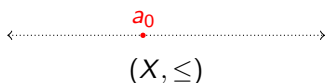
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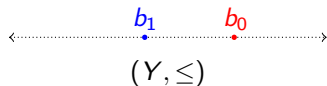
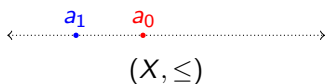
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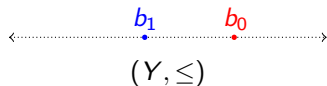
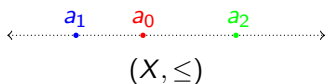
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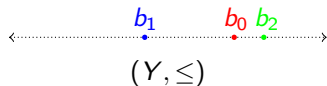
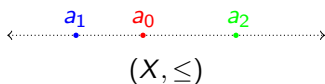
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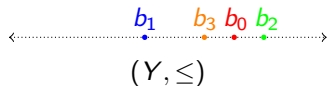
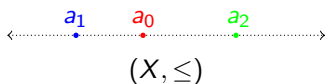
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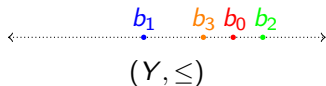
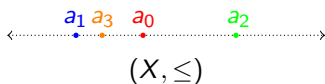
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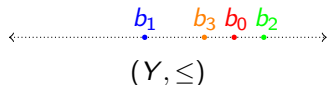
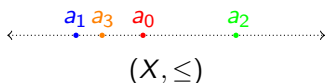
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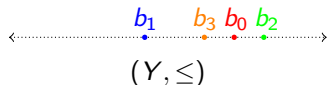
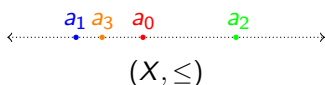


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The rest is a routine construction by recursion.

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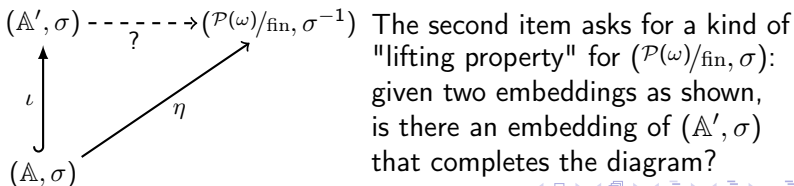
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Not so fast . . .

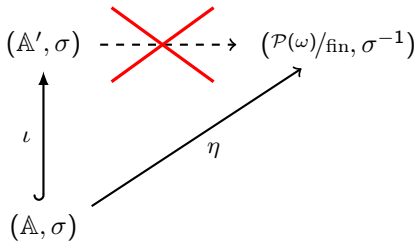
A very annoying fact:

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A very annoying fact:

The second bullet point on the previous slide is not generally true. More precisely, there are countable substructures $(\mathbb{A}, \sigma^{-1})$ and $(\mathbb{A}', \sigma^{-1})$ of $(\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$, with $\mathbb{A} \subseteq \mathbb{A}'$, and an embedding $\eta : (\mathbb{A}, \sigma^{-1}) \rightarrow (\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$, such that there is no embedding $\bar{\eta} : (\mathbb{A}', \sigma^{-1}) \rightarrow (\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$ with $\bar{\eta} \circ \iota = \eta$.



What to do?

In other words, some of the tasks that need doing in our transfinite back-and-forth argument are undoable.

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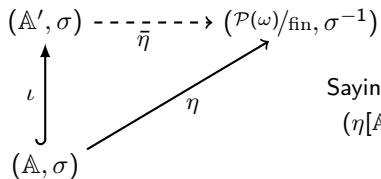
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In other words, some of the tasks that need doing in our transfinite back-and-forth argument are undoable. To cope with this fact, we will do the transfinite recursion more carefully, so as to avoid ever running into undoable instances of this lifting problem.

Main Lemma:

Suppose $(\mathbb{A}, \sigma^{-1})$ and $(\mathbb{A}', \sigma^{-1})$ are countable substructures of $(\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$ with $\mathbb{A} \subseteq \mathbb{A}'$, and $\eta : (\mathbb{A}, \sigma) \rightarrow (\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$ is an “elementary” embedding. Then η extends to an embedding $\bar{\eta} : (\mathbb{A}', \sigma^{-1}) \rightarrow (\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$, so that $\bar{\eta} \circ \iota = \eta$.



Saying η is “elementary” means
 $(\eta[\mathbb{A}], \sigma^{-1}) \prec (\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$

A better back-and-forth

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- (2) Prove that our main lemma can work in either direction; i.e., it is still true when the roles of σ and σ^{-1} are interchanged.

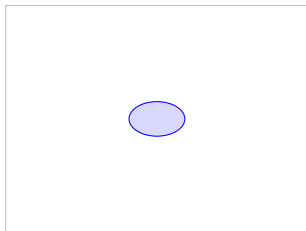
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- (3) Begin the recursion by fixing a countable elementary substructure of $(\mathcal{P}(\omega)/\text{fin}, \sigma)$.

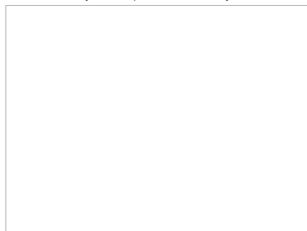
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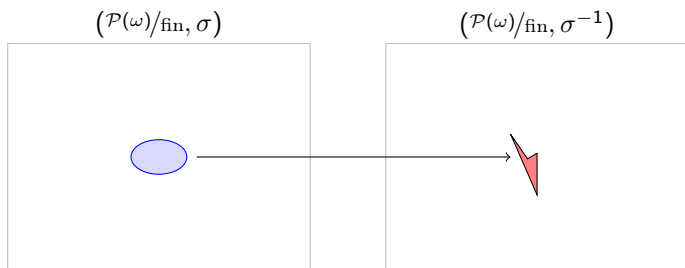
$(\mathcal{P}(\omega)/\text{fin}, \sigma)$



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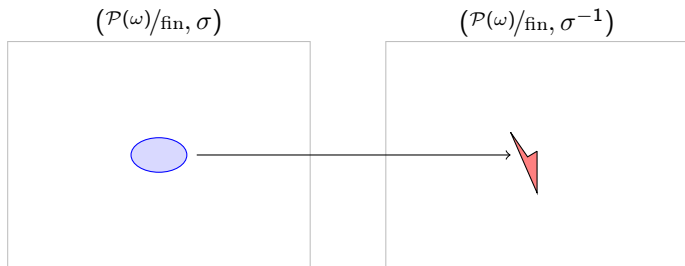


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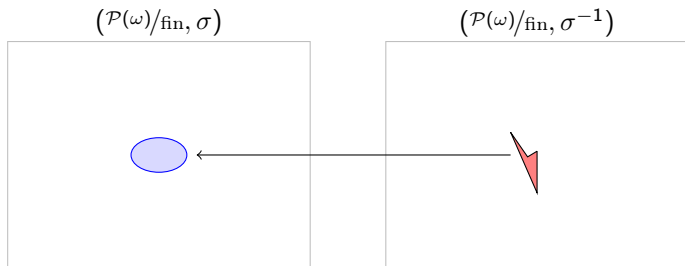
(4) Embed this structure into $(\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$.

A better back-and-forth



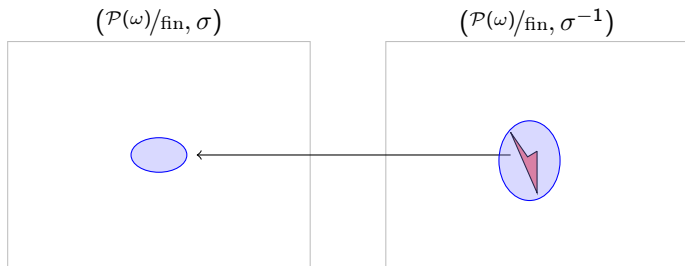
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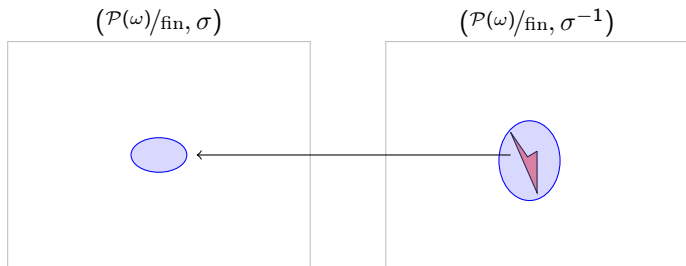
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- (5) This embedding is a partial isomorphism, and can be viewed as going in the other direction.

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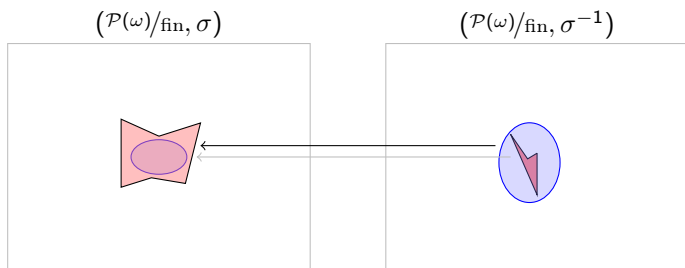
- (4) Embed this structure into $(\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$. Note: we have no way to guarantee the image of this embedding is elementary.
- (5) This embedding is a partial isomorphism, and can be viewed as going in the other direction.
- (6) Find a countable elementary substructure of $(\mathcal{P}(\omega)/\text{fin}, \sigma^{-1})$ that contains the image of our embedding.

A better back-and-forth



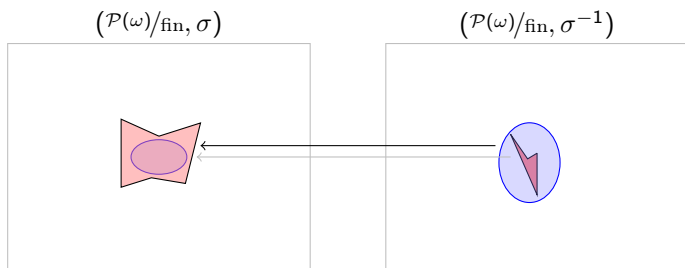
(7) This is exactly the kind of situation where our lemma applies!

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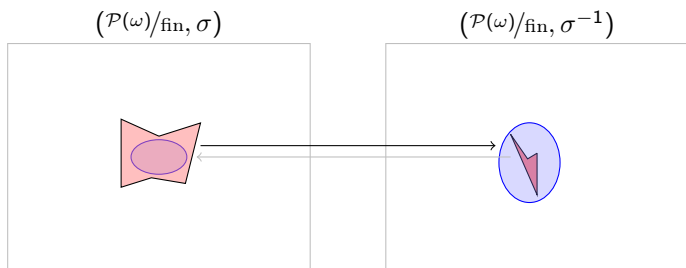
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Use the lemma to extend the mapping to the larger structure.

A better back-and-forth



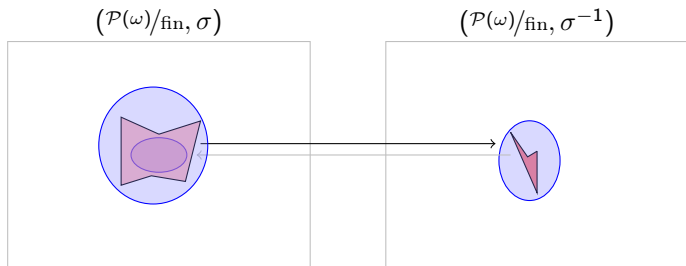
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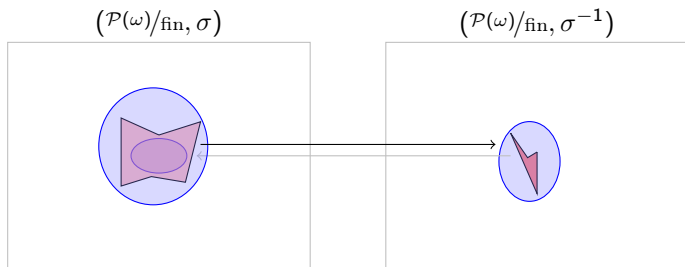
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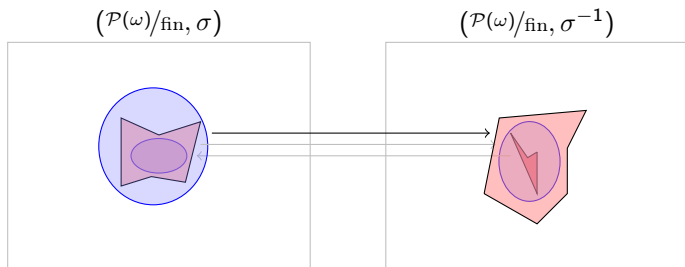
- (7) This is exactly the kind of situation where our lemma applies! Use the lemma to extend the mapping to the larger structure. We cannot guarantee the image of this extension is elementary.
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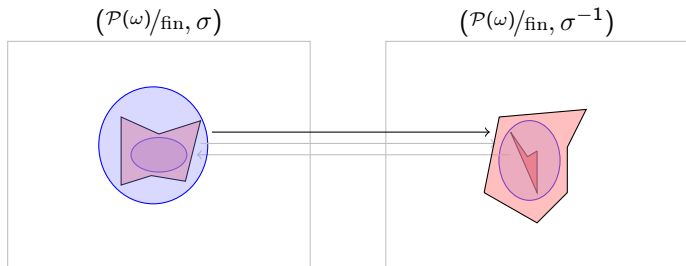
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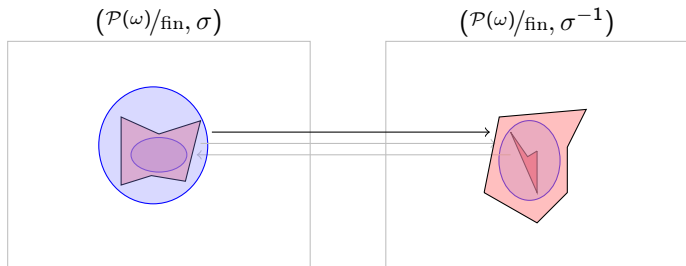
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Extend the mapping to the larger structure.
- (11) Continue in this way for ω_1 steps, taking unions at limit stages.
- (12) At stage α , be sure that the elementary structure used on each side contains the α^{th} member of $\mathcal{P}(\omega)/\text{fin}$.

Two corollaries and a question

Observe that, when we take unions at the countable limit stages of the construction, we get elementary substructures on both sides, and an isomorphism between them.

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Question

Is there an order-reversing self-homeomorphism of $[0, \infty)^$?*

The end

Thank you for listening