Stable subalgebras and weak consistency

Zarathustra Brady

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If V is a pseudovariety of finite algebras, we get an associated multisorted Constraint Satisfaction Problem, which we will call CSP(V).

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- An instance X of CSP(V) consists of a set of variables and constraints.
- A variable is a variable name x together with a variable domain A_x ∈ V.

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• a constraint relation $\mathbb{R} \in \mathcal{V}$,

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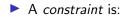
- a constraint relation $\mathbb{R} \in \mathcal{V}$,
- a list of variable names $x_1, ..., x_k$, and

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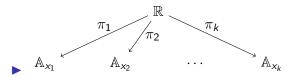
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- a projection homomorphism $\pi_i : \mathbb{R} \to \mathbb{A}_{x_i}$ for each *i*.

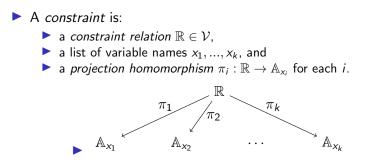
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▶ A solution is an assignment $x \mapsto a_x \in \mathbb{A}_x$, such that for each constraint, $\exists r \in \mathbb{R}$ with

$$\pi_i(r) = a_{x_i}$$

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for i = 1, ..., k.

► A step from y to z is a constraint

$$(\mathbb{R}, (x_1, ..., x_k), (\pi_1, ..., \pi_k))$$

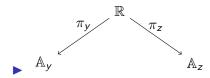
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and a pair i, j such that $x_i = y$ and $x_j = z$.

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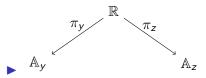


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• A *step* from *y* to *z* is a constraint

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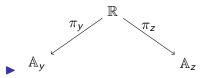
• A *path* is a sequence of steps where the endpoints match up.

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A path is a sequence of steps where the endpoints match up.

We use additive notation for combining paths: p + q means "first follow p, then q".

If B ⊆ A_y and p is a step from y to z through a relation ℝ, we write

$$B+p=B+\pi_{yz}(\mathbb{R})=\pi_z(\pi_y^{-1}(B))\subseteq \mathbb{A}_z.$$

If B ⊆ A_y and p is a step from y to z through a relation ℝ, we write

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▶ This encodes the implication: "if $a_y \in B$, then $a_z \in B + p$ ".

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- ▶ This encodes the implication: "if $a_y \in B$, then $a_z \in B + p$ ".
- Extend this notation to paths in the obvious way:

$$B + (p_1 + p_2) = (B + p_1) + p_2$$
, etc.

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If B ≤ A_y is a subalgebra, then B + p ≤ A_z is also a subalgebra.

An instance is *arc-consistent* if for all paths *p* from *x* to *y*, we have

$$\mathbb{A}_x + p = \mathbb{A}_y.$$

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- Arc-consistency is equivalent to: for all constraint relations ℝ, the projections π_i : ℝ → A_{xi} are surjective.
- An instance is cycle-consistent if for all paths p from x to x, and for all a ∈ A_x, we have

$$a \in \{a\} + p$$
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Beginner Sudoku players start by establishing arc-consistency, then they move on to establishing cycle-consistency.

If \mathcal{V} is a pseudo-variety of finite idempotent algebras, then TFAE:

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- ▶ *V* is congruence meet-semidistributive,

If \mathcal{V} is a pseudo-variety of finite idempotent algebras, then TFAE:

- CSP(V) can be solved by a local consistency algorithm,
- V contains no nontrivial quasi-affine algebras,
- ▶ *V* is congruence meet-semidistributive,
- every cycle-consistent instance of CSP(V) has a solution.

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The original proof of the cycle-consistency result proved something stronger: only need "pq-consistency".

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- The original proof of the cycle-consistency result proved something stronger: only need "pq-consistency".
- An instance is *pq-consistent* if for all cycles *p*, *q* from *x* to *x* and all *a* ∈ A_x, there exists a *j* ≥ 0 such that

 $a \in \{a\} + j(p+q) + p.$

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- pq-consistency is a strange condition, but usefully weak.
- Before pq-consistency was introduced, there were "Prague instances".

An instance is a *weak Prague instance* if:

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• (P2)
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 Condition (P2) is closely related to the Linear Programming relaxation of the instance.

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 Condition (P3) is closely related to the Semidefinite Programming relaxation of the instance.

Weak Prague Instances

An instance is a weak Prague instance if:

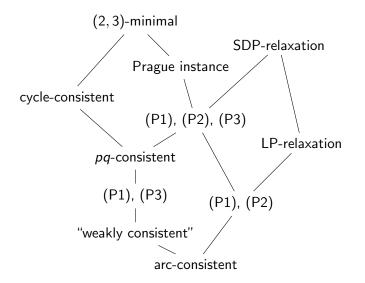
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- Condition (P2) is closely related to the Linear Programming relaxation of the instance.
- Condition (P3) is closely related to the Semidefinite Programming relaxation of the instance.
- Barto asks: are (P1) and (P3) enough to guarantee solvability for bounded width CSPs?

Relationships between consistency notions



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Even weaker consistency!

I call an instance weakly consistent if it satisfies:

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- (P1) arc-consistency, and
- (W) A + p + q = A implies $A \cap (A + p) \neq \emptyset$.

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This is equivalent to requiring that for all cycles p, q from x to x and a ∈ A_x, there exist j, k ≥ 0 such that

$$a \in \{a\} + j(p+q) + p - k(p+q).$$

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My main result:

Theorem (Z.)

If \mathcal{V} is a pseudovariety of finite SD(\wedge) algebras, then every weakly consistent instance of CSP(\mathcal{V}) has a solution.

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Main tool

The only algebraic tool needed to prove this result is the concept of a stable subalgebra, based on the ideas in Zhuk's paper "Strong subalgebras and the Constraint Satisfaction Problem".

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- Stable subalgebras are like absorbing subalgebras, but they are aimed at constraining the structure of *subdirect* relations instead of arbitrary relations.

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Main tool

- The only algebraic tool needed to prove this result is the concept of a *stable subalgebra*, based on the ideas in Zhuk's paper "Strong subalgebras and the Constraint Satisfaction Problem".
- Stable subalgebras are like absorbing subalgebras, but they are aimed at constraining the structure of *subdirect* relations instead of arbitrary relations.
- My definition of stable subalgebras is ugly, so instead I will describe the axioms that stable subalgebras satisfy.

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Definition

A binary relation \prec on $\mathcal V$ is a *stability concept* if \prec satisfies the following axioms:

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• (Subalgebra) If $\mathbb{B} \prec \mathbb{A}$, then $\mathbb{B} \leq \mathbb{A}$.

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- (Intersection) If $\mathbb{B}, \mathbb{C} \prec \mathbb{A}$ and $\mathbb{B} \cap \mathbb{C} \neq \emptyset$, then $\mathbb{B} \cap \mathbb{C} \prec \mathbb{B}$.

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- (Propagation) If $f : \mathbb{A} \to \mathbb{B}$ is surjective, then
 - (Pushforward) if $\mathbb{C} \prec \mathbb{A}$, then $f(\mathbb{C}) \prec \mathbb{B}$, and

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- (Propagation) If $f : \mathbb{A} \to \mathbb{B}$ is surjective, then
 - (Pushforward) if $\mathbb{C} \prec \mathbb{A}$, then $f(\mathbb{C}) \prec \mathbb{B}$, and
 - (Pullback) if $\mathbb{D} \prec \mathbb{B}$, then $f^{-1}(\mathbb{D}) \prec \mathbb{A}$.

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- ▶ (Intersection) If $\mathbb{B}, \mathbb{C} \prec \mathbb{A}$ and $\mathbb{B} \cap \mathbb{C} \neq \emptyset$, then $\mathbb{B} \cap \mathbb{C} \prec \mathbb{B}$.
- (Propagation) If $f : \mathbb{A} \to \mathbb{B}$ is surjective, then
 - (Pushforward) if $\mathbb{C} \prec \mathbb{A}$, then $f(\mathbb{C}) \prec \mathbb{B}$, and
 - (Pullback) if $\mathbb{D} \prec \mathbb{B}$, then $f^{-1}(\mathbb{D}) \prec \mathbb{A}$.
- ▶ (Helly) If $\mathbb{B}, \mathbb{C}, \mathbb{D} \prec \mathbb{A}$ have $\mathbb{B} \cap \mathbb{C} \neq \emptyset$, $\mathbb{C} \cap \mathbb{D} \neq \emptyset$, and $\mathbb{B} \cap \mathbb{D} \neq \emptyset$, then $\mathbb{B} \cap \mathbb{C} \cap \mathbb{D} \neq \emptyset$.

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- (Intersection) If $\mathbb{B}, \mathbb{C} \prec \mathbb{A}$ and $\mathbb{B} \cap \mathbb{C} \neq \emptyset$, then $\mathbb{B} \cap \mathbb{C} \prec \mathbb{B}$.
- (Propagation) If $f : \mathbb{A} \to \mathbb{B}$ is surjective, then
 - (Pushforward) if $\mathbb{C} \prec \mathbb{A}$, then $f(\mathbb{C}) \prec \mathbb{B}$, and
 - (Pullback) if $\mathbb{D} \prec \mathbb{B}$, then $f^{-1}(\mathbb{D}) \prec \mathbb{A}$.
- ▶ (Helly) If $\mathbb{B}, \mathbb{C}, \mathbb{D} \prec \mathbb{A}$ have $\mathbb{B} \cap \mathbb{C} \neq \emptyset$, $\mathbb{C} \cap \mathbb{D} \neq \emptyset$, and $\mathbb{B} \cap \mathbb{D} \neq \emptyset$, then $\mathbb{B} \cap \mathbb{C} \cap \mathbb{D} \neq \emptyset$.
- (Ubiquity) For all A ∈ V, there is some a ∈ A such that {a} ≺ A.

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Alternate forms of the axioms

The propagation axiom is equivalent to:

 $\mathbb{R} \leq_{\textit{sd}} \mathbb{A} \times \mathbb{B}, \ \mathbb{C} \prec \mathbb{A} \implies \mathbb{C} + \mathbb{R} \prec \mathbb{B}.$

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The propagation, intersection, and Helly axioms imply that

$$\left. \begin{array}{l} \mathbb{R} \leq_{sd} \prod_{i} \mathbb{A}_{i} \\ \mathbb{B}_{i} \prec \mathbb{A}_{i} \\ \pi_{ij}(\mathbb{R}) \cap (\mathbb{B}_{i} \times \mathbb{B}_{j}) \neq \emptyset \end{array} \right\} \implies \mathbb{R} \cap \left(\prod_{i} \mathbb{B}_{i} \right) \neq \emptyset.$$

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Main technical result:

Theorem (Z.)

If \mathcal{V} is a pseudovariety of finite idempotent SD(\land) algebras, then there is at least one stability concept \prec on \mathcal{V} .

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Let's use ≺ to prove the result about weakly consistent instances of CSP(V).

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Say an instance is stably consistent if:
(P1) it is arc-consistent, and

(S) if $\mathbb{B} \prec \mathbb{A}_x$ and $\mathbb{B} + p + q = \mathbb{B}$, then $\mathbb{B} \cap (\mathbb{B} + p) \neq \emptyset$.

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We will prove that every stably consistent instance has a solution by induction.

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- Step 1 produce an arc-consistent reduction with nice algebraic properties,
- Step 2 prove that every arc-consistent reduction with nice algebraic properties inherits a stronger form of consistency.
- By a reduction, I mean replace all of the variable domains and constraint relations of the instance by subalgebras of the original ones.

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- We now try to restrict A_x to B for every (x, B) in our maximal strongly connected component C.

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▶ If (x, \mathbb{B}) and (x, \mathbb{C}) are both in C, then there are p, q s.t.

$$\mathbb{B} + p = \mathbb{C}, \ \mathbb{C} + q = \mathbb{B}.$$

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By the stronger version of the Helly axiom, we then have

$$\bigcap_{(x,\mathbb{B})\in\mathcal{C}}\mathbb{B}\neq\emptyset.$$

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Looks good so far, but is this strong enough to guarantee arc-consistency?

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- ▶ ℝ is subdirect in the product of the variable domains by arc-consistency.
- Any pair of copies of A_x can be simultaneously restricted to B by stable consistency.
- By the Helly axiom, we can restrict all copies of A_x to B simultaneously.

► For Step 2, let + be addition of paths in the original instance, and let +' be addition of paths in the reduced instance, and let A'_x be the reduced variable domains.

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- ► For Step 2, let + be addition of paths in the original instance, and let +' be addition of paths in the reduced instance, and let A'_x be the reduced variable domains.
- We just need to show that

$$\mathbb{B} \cap (\mathbb{B} + \rho) \neq \emptyset \implies \mathbb{B} \cap (\mathbb{B} + \rho) \neq \emptyset$$

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for $\mathbb{B}' \prec \mathbb{A}'_x$.

- ► For Step 2, let + be addition of paths in the original instance, and let +' be addition of paths in the reduced instance, and let A'_x be the reduced variable domains.
- We just need to show that

$$\mathbb{B} \cap (\mathbb{B} + p) \neq \emptyset \implies \mathbb{B} \cap (\mathbb{B} + p) \neq \emptyset$$

for $\mathbb{B}' \prec \mathbb{A}'_x$.

Unroll the path p (duplicating vertices that occur along it multiple times):

$$\begin{array}{ccc} \mathbb{A}_{u} & \mathbb{A}_{v} \\ \uparrow & \uparrow \\ \mathbb{A}_{x} \twoheadleftarrow \mathbb{R}_{1} \twoheadrightarrow \mathbb{A}_{y} \twoheadleftarrow \mathbb{R}_{2} \twoheadrightarrow \mathbb{A}_{z} \twoheadleftarrow \mathbb{R}_{3} \twoheadrightarrow \mathbb{A}_{x} \\ & \downarrow \\ \mathbb{A}_{w} \end{array}$$

We need to show that there is a solution to the reduced path instance

$$\begin{array}{ccc} \mathbb{A}'_{u} & \mathbb{A}'_{v} \\ \uparrow & \uparrow \\ \mathbb{A}'_{x} \twoheadleftarrow \mathbb{R}'_{1} \twoheadrightarrow \mathbb{A}'_{y} \twoheadleftarrow \mathbb{R}'_{2} \twoheadrightarrow \mathbb{A}'_{z} \twoheadleftarrow \mathbb{R}'_{3} \twoheadrightarrow \mathbb{A}'_{x} \\ \downarrow \\ \mathbb{A}'_{w} \end{array}$$

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We need to show that there is a solution to the reduced path instance

$$\begin{array}{ccc} \mathbb{A}'_{u} & \mathbb{A}'_{v} \\ \uparrow & \uparrow \\ \mathbb{A}'_{x} \twoheadleftarrow \mathbb{R}'_{1} \twoheadrightarrow \mathbb{A}'_{y} \twoheadleftarrow \mathbb{R}'_{2} \twoheadrightarrow \mathbb{A}'_{z} \twoheadleftarrow \mathbb{R}'_{3} \twoheadrightarrow \mathbb{A}'_{x} \\ \downarrow & \downarrow \\ \mathbb{A}'_{w} \end{array}$$

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where the two copies of x are assigned values in \mathbb{B} .

► Let ℝ be the solution set to the original unrolled path instance.

We need to show that there is a solution to the reduced path instance

$$\begin{array}{ccc} \mathbb{A}'_{u} & \mathbb{A}'_{v} \\ \uparrow & \uparrow \\ \mathbb{A}'_{x} \twoheadleftarrow \mathbb{R}'_{1} \twoheadrightarrow \mathbb{A}'_{y} \twoheadleftarrow \mathbb{R}'_{2} \twoheadrightarrow \mathbb{A}'_{z} \twoheadleftarrow \mathbb{R}'_{3} \twoheadrightarrow \mathbb{A}'_{x} \\ \downarrow & \downarrow \\ \mathbb{A}'_{w} \end{array}$$

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- Let \mathbb{R} be the solution set to the original unrolled path instance.
- Let \mathbb{R}' be the solution set to the reduced path instance.

We need to show that there is a solution to the reduced path instance

$$\begin{array}{ccc} \mathbb{A}'_{u} & \mathbb{A}'_{v} \\ \uparrow & \uparrow \\ \mathbb{A}'_{x} \twoheadleftarrow \mathbb{R}'_{1} \twoheadrightarrow \mathbb{A}'_{y} \twoheadleftarrow \mathbb{R}'_{2} \twoheadrightarrow \mathbb{A}'_{z} \twoheadleftarrow \mathbb{R}'_{3} \twoheadrightarrow \mathbb{A}'_{x} \\ & \downarrow \\ \mathbb{A}'_{w} \end{array}$$

- Let \mathbb{R} be the solution set to the original unrolled path instance.
- Let \mathbb{R}' be the solution set to the reduced path instance.
- Let S₁ be the set of elements of ℝ where the first copy of x is assigned a value in B, and similarly define S₂.

We need to show that there is a solution to the reduced path instance

$$\begin{array}{ccc} \mathbb{A}'_{u} & \mathbb{A}'_{v} \\ \uparrow & \uparrow \\ \mathbb{A}'_{x} \twoheadleftarrow \mathbb{R}'_{1} \twoheadrightarrow \mathbb{A}'_{y} \twoheadleftarrow \mathbb{R}'_{2} \twoheadrightarrow \mathbb{A}'_{z} \twoheadleftarrow \mathbb{R}'_{3} \twoheadrightarrow \mathbb{A}'_{x} \\ \downarrow & \downarrow \\ \mathbb{A}'_{w} \end{array}$$

- ► Let ℝ be the solution set to the original unrolled path instance.
- Let \mathbb{R}' be the solution set to the reduced path instance.
- Let S₁ be the set of elements of ℝ where the first copy of x is assigned a value in B, and similarly define S₂.
- Apply the Helly axiom to $\mathbb{S}_1, \mathbb{S}_2, \mathbb{R}' \prec \mathbb{R}$.

Can we use weak consistency to improve the robust algorithm for solving bounded width CSPs due to Barto and Kozik?

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- Can we use weak consistency to improve the robust algorithm for solving bounded width CSPs due to Barto and Kozik?
 - We can at least use it to improve the derandomization of the robust algorithm.

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- Can we use weak consistency to improve the robust algorithm for solving bounded width CSPs due to Barto and Kozik?
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Is there a "canonical" stability concept?

- Can we use weak consistency to improve the robust algorithm for solving bounded width CSPs due to Barto and Kozik?
 - We can at least use it to improve the derandomization of the robust algorithm.

- Is there a "canonical" stability concept?
- How much do we have to weaken the ubiquity axiom for stability concepts in pseudovarieties which are not SD(^)?

Thank you for your attention.

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