# Algebraic approach to the Quantified Constraint Satisfaction Problem

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# **Main Question**

What is the complexity of  $QCSP(\Gamma)$  for different  $\Gamma$ ?

• If  $\Gamma$  contains all relations then QCSP( $\Gamma$ ) is PSPACE-complete.



- ▶ If Γ contains all relations then QCSP(Γ) is PSPACE-complete.
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coNP

 There exists Γ on a 10-element domain such that QCSP(Γ) is Θ<sup>P</sup><sub>2</sub>-complete.

PSPACE

## Algebraic approach

- Surjective polymorphisms determine the complexity of QCSP
- How to go from PSpace to NP?
- How to go from PSpace to coNP?
- How to prove PSpace-hardness?
- ► How to go from NP to P?
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# Surjective polymorphisms

## Observation

Suppose each relation of  $\Gamma_1$  is definable from  $\Gamma_2$  using quantified conjunctive formulas

$$R(x_1,\ldots,x_n) = \forall y_1 \exists y_2 \forall y_3 \exists y_4 \ldots R_1(\ldots) \land \cdots \land R_s(\ldots).$$

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Theorem (Galois Correspondence, Börner, Bulatov, Chen, Jeavons, and Krokhin)

 $\Gamma_1$  is definable by quantified conjunctive formulas over  $\Gamma_2$  IFF each surjective polymorphism of  $\Gamma_2$  is a polymorphism of  $\Gamma_1$ , i.e.  $sPol(\Gamma_1) \supseteq sPol(\Gamma_2)$ .

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## Corollary

Suppose sPol( $\Gamma_1$ )  $\supseteq$  sPol( $\Gamma_2$ ). Then  $QCSP(\Gamma_1)$  is polynomially reducible to  $QCSP(\Gamma_2)$ .

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#### From $\Pi_2$ to NP

# $\Pi_2$ -CSP( $\Gamma$ ):

Given a sentence  $\forall x_1 \dots \forall x_t \exists y_1 \dots \exists y_q (R_1(\dots) \land \dots \land R_s(\dots))$ , where  $R_1, \dots, R_s \in \Gamma$ . Decide whether it holds.

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- How many tuples it is sufficient to check?

For an algebra (A; F) (a set of operations F on a set A)  $d_F(n)$  is the minimal size of a generating set of  $A^n$ .

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# **Examples**

1. 
$$A = \{0, 1\}, F = \{x \lor y\}, d_F(n) = n + 1$$
. It is sufficient to have  $(0, ..., 0)$  and  $(0, ..., 0, 1, 0, ..., 0)$  for any position of 1 to generate  $\{0, 1\}^n$ .

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- 2.  $A = \{0, 1\}, F = \{\neg x\}, d_F(n) = 2^{n-1}$ . It is sufficient to have all tuples starting with 0 to generate  $\{0, 1\}^n$ .

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# Theorem[Zhuk, 2015]

Every finite algebra either has PGP, or has EGP.

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Pair  $(a_i, a_{i+1})$  with  $a_i \neq a_{i+1}$  is a switch in a tuple  $(a_1, \ldots, a_n)$ . (0,0,0,1,2,2,0,0,0,0) has 3 switches, (3,3,3,4,3,3,3,3,3,3) has 2 switches.

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# Theorem[Zhuk, 2015]

A finite algebra **A** has PGP IFF there exists k such that each **A**<sup>n</sup> is generated by all tuples with at most k switches.

 $\Pi_2$ -CSP( $\Gamma$ ):

Given a sentence  $\forall x_1 \dots \forall x_t \exists y_1 \dots \exists y_q (R_1(\dots) \land \dots \land R_s(\dots))$ , where  $R_1, \dots, R_s \in \Gamma$ . Decide whether it holds.

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If  $\Gamma$  is preserved by  $x \lor y$  then it is sufficient to check that  $(R_1(\ldots) \land \cdots \land R_s(\ldots))$  is satisfiable for  $(x_1, \ldots, x_t) = (0, \ldots, 0)$  and  $(x_1, \ldots, x_{i-1}, x_i, x_{i+1}, \ldots, x_t) = (0, \ldots, 0, 1, 0, \ldots, 0)$  for  $\forall i$ .

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# Observation

If Pol( $\Gamma$ ) has PGP, then  $\Pi_2$ -CSP( $\Gamma$ ) can be polynomially reduced to CSP( $\Gamma \cup \{\{a\} \mid a \in A\}$ ).

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**Proof:** the instance is equivalent to the CSP instance

$$\bigwedge_{\substack{(a_1,\ldots,a_t) \text{ with} \\ \text{at most } k \text{ switches}}} (R_1(\ldots) \wedge \cdots \wedge R_s(\ldots) \wedge (x_1 = a_1) \wedge \cdots \wedge (x_t = a_t))$$

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### Theorem

If Pol( $\Gamma$ ) has PGP, then  $\Pi_2$ -CSP( $\Gamma$ ) is equivalent to  $\Pi_2$ -CSP( $\Gamma$ ) with |A| universally quantified variables, i.e.

$$\forall z_1 \ldots \forall z_{|A|} \exists y_1 \ldots \exists y_q (R_1(\ldots) \land \cdots \land R_s(\ldots))$$

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**Proof:** Suppose  $A = \{a_1, \ldots, a_n\}$ . Consider the equivalent instance  $\mathcal{I}$  of  $CSP(\Gamma \cup \{\{a\} \mid a \in A\})$ .

 $\Pi_2$ -CSP( $\Gamma$ ):

Given a sentence  $\forall x_1 \dots \forall x_t \exists y_1 \dots \exists y_q (R_1(\dots) \land \dots \land R_s(\dots))$ , where  $R_1, \dots, R_s \in \Gamma$ . Decide whether it holds.

#### Theorem

If Pol( $\Gamma$ ) has PGP, then  $\Pi_2$ -CSP( $\Gamma$ ) is equivalent to  $\Pi_2$ -CSP( $\Gamma$ ) with |A| universally quantified variables, i.e.

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# Corollary

Suppose  $Pol(\Gamma)$  has PGP, then  $\Pi_2$ -CSP( $\Gamma$ ) is in NP



$$\exists y \forall x \ \Phi \\ \uparrow \\ \forall x^1 \forall x^2 \dots \forall x^{|\mathcal{A}|} \exists y \ \Phi_1 \land \Phi_2 \land \dots \land \Phi_{|\mathcal{A}|} \\ \Phi_i \text{ is obtained from } \Phi \text{ by renaming } x \text{ by } x^i$$

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$$\begin{array}{c} \forall x_1^1 \dots \forall x_1^{n_1} \ \forall x_2^1 \dots \forall x_2^{n_2} \dots \forall x_t^1 \dots \forall x_t^{n_t} \\ \exists y_1 \exists y_2^1 \dots \exists y_2^{m_2} \dots \ \exists y_t^1 \dots \exists y_t^{m_t} \ \Phi_1 \land \Phi_2 \land \dots \land \Phi_q \end{array}$$

Φ<sub>i</sub> is obtained from Φ by renaming x by x<sup>i</sup>

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$$\uparrow$$

$$1 \ 1 \ 1 \dots 1 \ 1 \ 1 \ 2 \dots 2 \dots 0 \ 0 \ 0 \ 0 \dots 0$$

$$\forall x_1^1 \dots \forall x_1^{n_1} \ \forall x_2^1 \dots \forall x_2^{n_2} \dots \forall x_t^1 \dots \forall x_t^{n_t}$$

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• We assign 
$$x_1^1 = \cdots = x_1^{n_1} = 1, \dots, x_t^1 = \cdots = x_t^{n_t} = 0$$

#### Theorem

Suppose Pol( $\Gamma$ ) is k-switchable, then  $\exists y_1 \forall x_1 \dots \exists y_t \forall x_t \Phi$  holds IFF for every  $1 \leq n_1 < n_2 < \dots < n_k \leq t$  the sentence

$$\forall z_0 \forall z_1 \dots \forall z_k \exists y_1 \dots \exists y_{n_1} \forall x_{n_1} \exists y_{n_1+1} \dots \exists y_{n_2} \forall x_{n_2} \dots \\ \dots \exists y_{n_{k-1}+1} \dots \exists y_{n_k} \forall x_{n_k} \exists y_{n_k+1} \dots \exists y_t \Phi',$$

where  $\Phi'$  is obtained from  $\Phi$  by renaming variables  $x_{n_i+1}, \ldots, x_{n_{i+1}}$  to  $z_i$  for every *i*.

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#### **Corollary 1**

Suppose  $Pol(\Gamma)$  has PGP, then  $QCSP(\Gamma)$  is in NP

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# **Corollary 1**

Suppose  $Pol(\Gamma)$  has PGP, then  $QCSP(\Gamma)$  is in NP

### **Corollary 2**

Suppose Pol( $\Gamma$ ) has PGP, then QCSP( $\Gamma$ ) is equivalent to  $\Pi_2$ -CSP( $\Gamma$ ) with |A| universally quantified variables.

# Algebraic approach

- Surjective polymorphisms determine the complexity of QCSP
- How to go from PSpace to NP?
- How to go from PSpace to coNP?
- How to prove PSpace-hardness?
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How to prove PSpace-hardness?

# How to prove PSpace-hardness? Let $A = \{+, -, 0, 1\}$

How to prove PSpace-hardness?

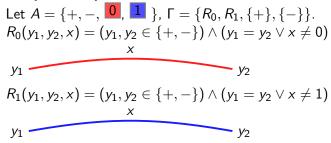
Let 
$$A = \{+, -, 0, 1\}$$
,  $\Gamma = \{R_0, R_1, \{+\}, \{-\}\}$ .

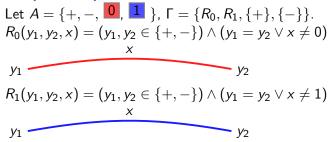
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 $R_0(y_1, y_2, x) = (y_1, y_2 \in \{+, -\}) \land (y_1 = y_2 \lor x \neq 0)$ 

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 $y_1$   
 $y_2$ 

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 $y_1$   
 $y_2$   
 $y_2$   
 $y_2$ 

 $R_1(y_1, y_2, x) = (y_1, y_2 \in \{+, -\}) \land (y_1 = y_2 \lor x \neq 1)$ 

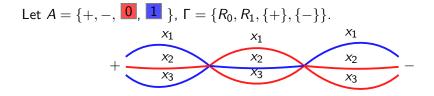


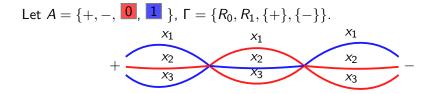


 $\exists u_1 \exists u_2 R_1(y_1, u_1, x_1) \land R_0(u_1, u_2, x_2) \land R_1(u_2, y_2, x_3)$ 

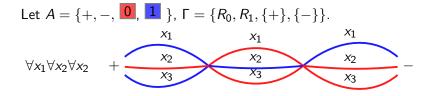


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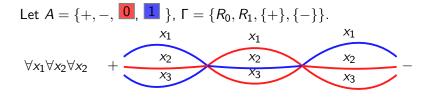




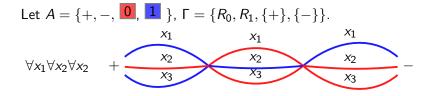
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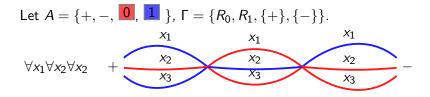


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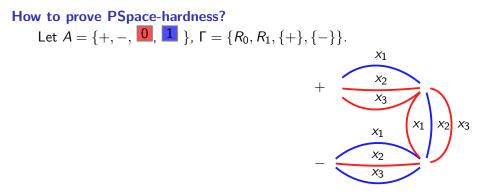
### Claim

 $QCSP(\Gamma)$  is coNP-hard.

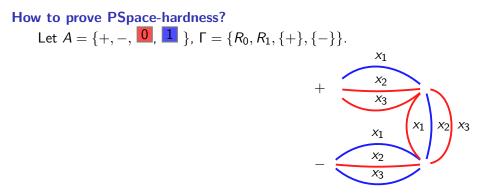
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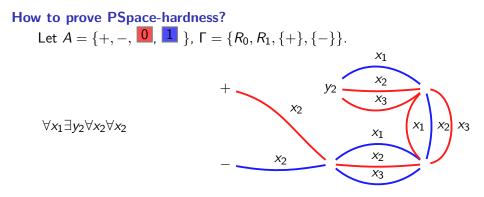
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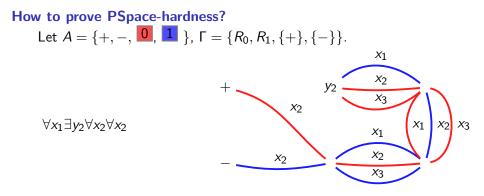
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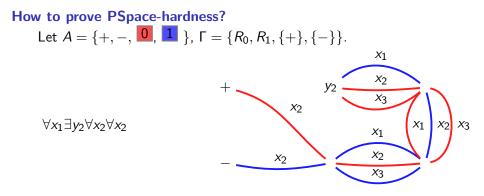


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# ∀-CSP(Γ)

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No-Rainbow, {0}	Р	Р	NPC
No-Rainbow, $\{0\},\{1\}$	Р	NPC	NPC
where No-Rainbow = $\{(a, b, c) \mid  \{a, b, c\}  < 3\}$ ,			
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▶ Introduce variables  $\overline{y}_i = (y_i^{a_1}, \dots, y_i^{a_n})$  over the domain  $A^{|A|}$ .

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- ► For each  $a \in A$  we need to find a solution  $(x, y_1, ..., y_t) = (a, b_1^a, ..., b_t^a)$ , equivalently, we need to find  $((b_1^{a_1}, ..., b_1^{a_n}), ..., (b_t^{a_1}, ..., b_t^{a_n}))$

▶ Introduce variables  $\overline{y}_i = (y_i^{a_1}, \dots, y_i^{a_n})$  over the domain  $A^{|A|}$ .

• Replace  $R_i(y_1, y_2)$  by  $R_i(\overline{y}_1, \overline{y}_2) := \bigwedge_j R_i(y_1^{a_j}, y_2^{a_j})$ 

Let 
$$A = \{a_1, ..., a_n\}$$
,  $\Gamma = (A; R_1, ..., R_s)$ .

# $\forall$ -CSP( $\Gamma$ )

What is the complexity for sentences  $\forall x \exists y_1 \dots \exists y_t (R_{i_1}(\dots) \land \dots \land R_{i_s}(\dots)).$ 

### Claim

 $\forall$ -CSP( $\Gamma$ ) is polynomially equivalent to CSP( $\Gamma^{|A|} \cup \{(a_1, \ldots, a_n)\})$ .

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 $\begin{array}{l} \Pi_2\text{-}\mathsf{CSP}(\Gamma) \text{ with } |\mathcal{A}| \text{ universal quantifiers is polynomially equivalent} \\ \text{to } \mathsf{CSP}(\Gamma^{|\mathcal{A}|^{|\mathcal{A}|}} \cup \{U_1, \ldots, U_{|\mathcal{A}|}\}) \text{ for unary relations } U_1, \ldots, U_{|\mathcal{A}|}. \end{array}$ 

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### Corollary

Suppose  $Pol(\Gamma)$  has PGP, then  $QCSP(\Gamma)$  is equivalent to  $CSP(\Gamma^{|A|^{|A|}} \cup \{U_1, \ldots, U_{|A|}\})$  for unary relations  $U_1, \ldots, U_{|A|}$ .

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## Is there a better characterization than this?

## Algebraic approach

- Surjective polymorphisms determine the complexity of QCSP
- How to go from PSpace to NP?
- How to go from PSpace to coNP?
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$$\exists y_1 \forall x_1 \ldots \exists y_t \forall x_t \Phi \iff \exists y_1 \forall x_1 \ldots \exists y_t \forall x_t (\Phi \land y_1 = a)$$

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How to choose between  $y_1 = a$  and  $y_2 = b$  if both satisfy this condition?

 $\exists y_1 \quad \forall x_1 \quad \exists y_2 \quad \forall x_2 \quad \cdots \quad \exists y_t \quad \forall x_t \quad \Phi$ 

 $\exists y_1 \quad \forall x_1 \quad \exists y_2 \quad \forall x_2 \quad \cdots \quad \exists y_t \quad \forall x_t \quad \Phi \qquad A = \{0, 1, 2\}$ 

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a 0 0 ··· 0

 $\exists y_1 \quad \forall x_1 \quad \exists y_2 \quad \forall x_2 \quad \cdots \quad \exists y_t \quad \forall x_t \quad \Phi \qquad A = \{0, 1, 2\}$   $a \quad 0 \qquad 0 \quad \cdots \quad 0 \\ a \quad 1 \qquad 1 \quad \cdots \qquad 1$ 

$\exists y_1$	$\forall x_1$	$\exists y_2$	$\forall x_2$	•••	$\exists y_t$	$\forall x_t$	Φ	$A = \{0, 1, 2\}$
а	0	$c_{2}^{0}$	0		$c_t^0$	0	$\in \Phi$	
		4			$c_t^1$			
а	2	$c_2^2$	2		$c_t^2$	2	$\in \Phi$	
b	0	$d_2^0$	0		$d_t^0$	0	$\in \Phi$	
b	1	$d_2^1$	1	•••	$d_t^1$	1	$\in \Phi$	
b	2	$d_2^2$	2		$d_t^2$	2	$\in \Phi$	

 $A = \{0, 1, 2\}$  $\exists y_1$  $\forall x_1$  $\exists y_2$  $\forall x_2$  $\cdots \exists y_t$  $\forall x_t$ φ  $c_{2}^{0}c_{2}^{1}c_{2}^{2}c_{2}^{0}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d$ 0 0  $c_t^0$   $c_t^1$   $c_t^2$   $c_t^2$   $d_t^0$ 0 а  $\in \Phi$ . . . 1 1 1 а  $\in \Phi$ . . . 2 2 2 а  $\in \Phi$ . . . 0 0 0 b  $\in \Phi$ . . .  $d_t^1$ 1 1 b 1  $\in \Phi$ . . .  $d_t^2$ 2 b 2 2  $\in \Phi$ . . . Fe(+1, ..., +t, 1)  $f_2(x_1)$  $x_1$ *x*<sub>2</sub> хt  $\in \Phi$ b . . .

 $\cdots \exists y_t$  $A = \{0, 1, 2\}$  $\exists y_1$  $\forall x_1$  $\exists y_2$  $\forall x_2$  $\forall x_t$ φ  $c_{2}^{0}c_{2}^{1}c_{2}^{2}c_{2}^{0}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d_{2}^{2}d_{2}^{0}d_{2}^{1}d$ 0  $c_t^0$   $c_t^1$   $c_t^2$   $c_t^2$   $d_t^0$ 0 а 0  $\in \Phi$ . . . 1 1 1 а . . .  $\in \Phi$ 2 2 2  $\in \Phi$ а . . . 0 0 0 b  $\in \Phi$ . . .  $d_t^1$ 1 1 b 1  $\in \Phi$ . . .  $d_t^2$ 2 b 2 2  $\in \Phi$ . . . Fe(+1, ..., +1, -1)  $f_2(x_1)$ *x*1 *x*<sub>2</sub> хt  $\in \Phi$ b . . . F((+1,...,+1,-1)  $f_{2}'(x_{1})$  $x_1$ xt а  $x_2$ . . . ∉Φ

$\exists y_1$		∃ <i>y</i> 2	$\forall x_2$		$\exists y_t$	$\forall x_t$	Φ	$A = \{0, 1, 2\}$
а	0		0			0	$\in \Phi$	
а	1		1	•••		1	$\in \Phi$	
а	2		2			2	$\in \Phi$	
b	0		0			0	$\in \Phi$	
b	1		1			1	$\in \Phi$	
Ь	2		2			2	$\in \Phi$	
b	<i>x</i> <sub>1</sub>		<i>x</i> <sub>2</sub>			x <sub>t</sub>	$\in \Phi$	

 $a \quad x_1 \qquad x_2 \quad \cdots \qquad x_t \notin \phi$ 

	-						
$\exists y_1$	$\forall x_1$	$\exists y_2$	$\forall x_2$	 $\exists y_t$	$\forall x_t$	Φ	$A = \{0, 1, 2\}$
а	0		0		0	$\in \Phi$	
а	1		1		1		
а	2		2		2	$\in \Phi$	
b	0		0		0	$\in \Phi$	
b	1		1		1	$\in \Phi$	
b	2		2		2		
b	0		1		2	$\in \Phi$	
а	0		1		2	∉ Φ	

$\exists y_1$	$\forall x_1$	$\exists y_2  \forall x_2$	 $\exists y_t$	$\forall x_t$	Φ	$A = \{0, 1, 2\}$
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а	1	1		1	$\in \Phi$	
а	2	2		2	$\in \Phi$	
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Ь	1	1		1	$\in \Phi$	
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b	0	1		2	$\in \Phi$	
а	0	1		2	∉ Φ	

• either there exists a pp-definable relation  $R_b$  s. t.  $\forall c, d (c, d, d, d) \in R_b, (b, 0, 1, 2) \in R_b, (a, 0, 1, 2) \notin R_b,$ 

$\exists y_1$	$\forall x_1$	$\exists y_2$	$\forall x_2$	 $\exists y_t$	$\forall x_t$	Φ	$A = \{0, 1, 2\}$
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- or there exists a polymorphism f s.t. f(x, 0, 1, 2) = x and f(b, 0, 1, 2) = a.

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а	1		1		1	$\in \Phi$	
а	2		2		2	$\in \Phi$	
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b	1		1		1	$\in \Phi$	
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## Claim 1

 $QCSP(\{\{a, b\}^3 \setminus \{(b, b, a)\}, R_b\})$  is PSpace-complete.

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Unless there is minority or majority on {a, b}
 (all relations are linear or conjunction of binary relations),
 the problem is PSpace-hard.

## Algebraic approach

- Surjective polymorphisms determine the complexity of QCSP
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$$\mathsf{EGP} \Longleftrightarrow \langle (x_1 = x_2) \lor \cdots \lor (x_{2n-1} = x_{2n}) \rangle_{\mathsf{Pol}(\Gamma)} \neq A^{2n} \text{ for every } n.$$

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 $(x_1 \sim x_2 \sim x_3) \lor \cdots \lor (x_{3n-2} \sim x_{3n-1} \sim x_{3n})$  is pp-definable over  $\Gamma$ .

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$$\neg \forall x_1 \ldots \forall x_{3n} ((x_1 \sim x_2 \sim x_3) \lor \cdots \lor (x_{3n-2} \sim x_{3n-1} \sim x_{3n}))$$

$$\mathsf{EGP} \Longleftrightarrow \langle (x_1 = x_2) \lor \cdots \lor (x_{2n-1} = x_{2n}) \rangle_{\mathsf{Pol}(\Gamma)} \neq A^{2n} \text{ for every } n.$$

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$$\Downarrow$$

$$\exists x_1 \dots \exists x_{3n} (\neg (x_1 \sim x_2 \sim x_3) \land \dots \land \neg (x_{3n-2} \sim x_{3n-1} \sim x_{3n}))$$

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$$(x_1 \sim x_2 \sim x_3) \lor \cdots \lor (x_{3n-2} \sim x_{3n-1} \sim x_{3n})$$
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If there exists a polynomial (and efficiently computable) pp-definition of ((x<sub>1</sub> ~ x<sub>2</sub> ~ x<sub>3</sub>) ∨···∨ (x<sub>3n-2</sub> ~ x<sub>3n-1</sub> ~ x<sub>3n</sub>)) then QCSP(Γ) is coNP-Hard

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## Conjecture

QCSP( $\Gamma$ ) is coNP-Hard IFF  $(x_1 \sim x_2) \lor \cdots \lor (x_{2n-1} \sim x_{2n})$  admits a pp-definition over  $\Gamma$  of polynomial size for some  $\sim$ .

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- is tractable otherwise.

# Thank you for your attention